

**Ian Skinner**  
HM Assistant Chief Inspector of Railways



The Secretary of State for Transport  
TWA Orders Unit  
General Counsel's Office  
Department for Transport  
Zone 1/18  
Great Minster Road  
33 Horseferry Road  
London  
SW1P 4DR

31 January 2020

2nd Floor (West)  
Tara House  
46 Bath Street  
Glasgow  
G2 1HG

For the attention of Colin Dunn and Shenaz Chaudhury

Email: [ian.skinner@orr.gov.uk](mailto:ian.skinner@orr.gov.uk)

Dear Sirs,

### **Proposed Rother Valley Railway (Bodiam to Robertsbridge Junction) Order**

I am writing to confirm that ORR has now reviewed the proposed railway works through an internal panel, principally to consider whether there are 'exceptional circumstances' in this case to justify a departure from ORR's policy of no new or reinstated level crossings.

I attach to this letter a copy of our statement of case in consideration of the application for the Rother Valley Railway (Bodiam to Robertsbridge Junction) Order. Also included are a number of supporting documents.

I confirm that we intend to be present at the pre-inquiry meeting on 24 February at Woodlands Enterprise Centre, Hastings Road, Flimwell, Wadhurst. ORR's representative will be Ian Raxton, HM Principal Inspector of Railways.

Yours faithfully

A handwritten signature in blue ink, appearing to read 'I. Skinner', is placed below the 'Yours faithfully' text.

**Ian Skinner**  
HM Assistant Chief Inspector of Railways

Encl:

1. ORR Statement of Case for RVR TWA Inquiry
2. Level Crossings: A guide for managers, designers and operators; RSP7, December 2011
3. User Worked Railway Level Crossings: Devising a safe system of work for crossing the railway
4. Railway Level Crossings on Farms Safety Notice





**TRANSPORT AND WORKS ACT 1992 (TWA)**  
**APPLICATION FOR**  
**THE ROTHER VALLEY RAILWAY (BODIAM TO ROBERTSBRIDGE JUNCTION) ORDER**

**STATEMENT OF CASE**  
**OFFICE OF RAIL AND ROAD**

[Contents](#)

Purpose of this submission .....	2
Scope of Office of Rail and Road duties .....	2
ORR Policies and Guidance .....	3
Summary of ORR engagement with RVR to date.....	5
Current evidence base .....	5
ORR opinion on proposals.....	7
Northbridge Street:.....	7
A21: .....	7
Junction Road / B2244: .....	8
Footpath “Salehurst and Robertsbridge 31” .....	8
Bridleway “Salehurst and Robertsbridge 36b” .....	9
Private user worked Crossings .....	9
Form of Level Crossings .....	9
Scope of ORR’s assessment.....	9
Open points to be resolved.....	10
Appendix A – Reasonable Practicability and Gross Disproportion .....	12
Reasonable Practicability .....	12
Gross Disproportion .....	12
Appendix B – Mainline railway level crossing statistical data .....	14
Data on risks to members of the public at crossings .....	14
Fatalities as a result of passenger train collisions at crossings with road vehicles .....	15
Appendix C – Abbreviations and other terms.....	18

## Purpose of this submission

1. ORR has been invited to make a submission to the TWA Inquiry principally to set our view on whether the case made by the Rother Valley Railway for the creation of new level crossings as part of their proposed railway extension meets the test that ORR has set for such new crossings. This document aims to set out for each crossing whether the test of 'exceptional circumstances' has been met and set out the factors that we have taken into consideration.

## Scope of Office of Rail and Road duties

2. The Office of Rail and Road (ORR) is the non-Ministerial Government department with duties of oversight and enforcement of health and safety in relation to railways, tramways and certain forms of guided transport. ORR's powers in the health and safety field are largely derived from the Health and Safety at Work etc. Act 1974 (HSWA) and relevant statutory provisions to that Act, as well as some other specific Acts such as the Level Crossings Act 1983 (LCA) and its associated regulations.  
ORR has duties to regulate the efficient performance of Highways England, but has no role in road safety such as investigation of highway safety incidents or offering road safety advice.
3. The railway powers delegated to ORR by the Secretary of State (SoS) are set out in the Agency Agreement<sup>1</sup> between the Department for Transport (DfT) and ORR.
4. ORR also has an Agency Agreement<sup>2</sup> in place with the Health and Safety Executive (HSE) to act on their behalf to address issues of railway operational safety at the design stage of projects, where those projects would not otherwise be in scope of ORR enforcement.
5. ORR's duties in relation to HSWA include well-known aspects such as enforcing the duties not to place workers or others at risk, as well as duties found in regulations such as the obligation to conduct risk assessments and act on the findings.
6. ORR's delegated functions in relation to the LCA are principally assessing and issuing Level Crossing Orders on behalf of the SoS. This involves assessing both the safety of proposed

---

<sup>1</sup> [https://orr.gov.uk/\\_data/assets/pdf\\_file/0017/2960/mou\\_ORR\\_DfT.pdf](https://orr.gov.uk/_data/assets/pdf_file/0017/2960/mou_ORR_DfT.pdf)

<sup>2</sup> [https://orr.gov.uk/\\_data/assets/pdf\\_file/0019/21592/orr-hse-agency-agreement-on-safety-by-design-2016-signed.pdf](https://orr.gov.uk/_data/assets/pdf_file/0019/21592/orr-hse-agency-agreement-on-safety-by-design-2016-signed.pdf)

crossing arrangements as well as making a consideration of the effects on convenience of road users if the crossing is necessary.

7. The name 'Office of Rail and Road' was introduced by the Office of Rail Regulation (Change of Name) Regulations 2015 and some documentation may refer to the ORR by the organisation's former name 'Office of Rail Regulation'. It is possible that reference may also be encountered to 'HMRI' or 'Her Majesty's Railway Inspectorate'; this body, originally established in 1840, was a part of the HSE from 1990 until 2006 when the staff and functions of HMRI were transferred to the Office of Rail Regulation as part of a merger with the Office of the Rail Regulator.
8. Further information on ORR's role in regulation of Highways England can be found on our website: <https://orr.gov.uk/highways-monitor>.

## ORR Policies and Guidance

9. ORR publishes both guidance and operational policies on the organisation website: [www.orr.gov.uk](http://www.orr.gov.uk).
10. Of specific relevance to the Rother Valley Railway Ltd (RVR) proposals are our guidance and policies in relation to level crossings.  
  
ORR has a long established policy that new level crossings should only be considered appropriate in exceptional circumstances because of the risk they introduce to the railway. This is consistent with eliminating risk as the priority in a hierarchy of risk control. We clarified what we mean by exceptional circumstances in our internal process for considering proposals for new level crossings (RIG-2014-06) which was updated in 2018. There would only be exceptional circumstances where there is no reasonably practicable alternative to a level crossing at the location in question. We would consider an alternative to be reasonably practicable unless it can be demonstrated that the costs are grossly disproportionate when weighed against the safety benefits. The test of reasonable practicability is not solely a numerical calculation, the disproportion figure is one of a range of factors that we consider such as the operating method and characteristics of the railway, the road and traffic characteristics, and the physical practicability of alternatives.

11. The assessment of whether the alternatives to a level crossing are reasonably practicable should be informed by as much information as can be gathered on the costs of constructing and operating each alternative; the costs of constructing and operating a level crossing; and the cost of the risk created by the level crossing in so far as it can be estimated in terms of the potential for fatalities and injuries.
12. The initial development of the RVR proposals took place from around 2009 when ORR first set out the policy that there should be no new or reinstated crossings on the mainline railway. ORR subsequently updated the policy in 2014 in the light of emerging incidents to extend this view to all types of railway, including heritage, and introduced the terminology of 'exceptional circumstances'. It is against this version of the policy that RVR have developed their final proposals.
13. It is the application of this policy in cases like RVR where careful exploration of the issues of *Reasonable Practicability* and *Gross Disproportion* must be made to decide if the safety dis-benefit of creating a level crossing are substantially outweighed by the costs of creating the practicable alternatives. As noted above this is not solely a numerical test and is largely a qualitative test that considers the many factors involved at each specific location. Additional information on the principles of Reasonable Practicability and Gross Disproportion is given in Appendix A.
14. Guidance on how we apply our policy is available on our website<sup>3</sup>.
15. Information on level crossing matters generally is also available on the ORR website<sup>4</sup>. This includes our strategy for regulation of health and safety at level crossings.
16. We also provide guidance for the operators and managers of level crossings on minimum standards to be achieved, guidance for those operating 'user worked' level crossings, and crossings on farms. Copies of these documents accompany this submission.

---

<sup>3</sup> [https://orr.gov.uk/\\_data/assets/pdf\\_file/0003/16527/rig-2014-06-new-level-crossings-orrs-policy-and-approach-to-handling-requests-for-new-or-reinstated-crossings-on-the-mainline-or-heritage-networks.pdf](https://orr.gov.uk/_data/assets/pdf_file/0003/16527/rig-2014-06-new-level-crossings-orrs-policy-and-approach-to-handling-requests-for-new-or-reinstated-crossings-on-the-mainline-or-heritage-networks.pdf)

<sup>4</sup> <https://orr.gov.uk/rail/health-and-safety/infrastructure-safety/level-crossings>

## Summary of ORR engagement with RVR to date

17. The RVR has been discussing the proposals for the route between Robertsbridge and Bodiam with ORR for over 10 years, pre-dating our present policy in relation to new and reinstated crossings on mainline and heritage networks.
18. In the more recent times we have received substantive submissions from RVR which encompassed road traffic surveys and indicative crossing arrangements.
19. In April 2018 ORR was served with a copy of the draft Order and relevant supporting documentation in line with the requirements of the Transport and Works (Applications and Objections Procedure) (England and Wales) Rules 2006. ORR considers that this met the requirements under categories 24 and 25 of Schedule 5 to the Rules.
20. RVR provided updated and supplementary information in 2019 with additional reviews of traffic levels and more specific crossing layout proposals, as well as indicative costings for the various practicable alternatives to crossings.
21. On 25 February 2019 ORR and Highways England met jointly with the consultants to RVR to be clear on the common information that both bodies required in relation to road safety impacts of the proposals and the underpinning evidence necessary to support that.
22. An updated set of the project documentation was provided by RVR to ORR in July 2019, and further supplementary submissions were received in September, November and December 2019.

## Current evidence base

23. The evidence that ORR has used to form the opinion in this document is formed of the most current submissions made to us in July, September, November and December 2019.
24. ORR visited the sites of the road crossings most recently on 19 July 2019, and previous visits had been made in July 2009 and November 2010. ORR has not visited the location of the bridleway.
25. ORR has accepted the cost information provided by RVR and has not verified the values. We have made our own estimates of the safety performance of the proposed level crossing

arrangements. This is because the information provided by RVR was drawn from Network Rail data relating to a type of level crossing which we believe was not directly comparable to their proposals. Our safety performance estimate was developed in two stages. Firstly, we have identified what we consider the closest comparable crossing type on the mainline network (where the train speed is comparable). Then we incorporated a weighting to reflect the fact that there are features of the heritage operation which cannot be changed and which potentially increase the risk. These features relate to the different performance characteristics of heritage locomotives and lower crashworthiness of heritage rolling stock.

26. We note that RVR's figures for the cost of level crossing options reflect a degree of unpaid or low cost labour from within the heritage company's own resources. This has the effect of reducing the cost of a level crossing alternative when compared with the other engineering solutions which would be need to be delivered almost entirely by professional external contractors. ORR has not sought confirmation of the suitability of the in-house resource and has taken at face value that work would be delivered to expected standards.
27. The proposed route of the new railway is through areas of outstanding natural beauty and adjacent to a river in a floodplain. Both these factors appear to create significant additional costs for engineering solutions other than level crossings. ORR has accepted that these factors influence the costings under consideration as part of the assessment of reasonable practicability and draw this to the attention of the Inquiry as these are not factors on which ORR can consider as part of our assessment.
28. We note also that the level crossing costs for the A21 appear to be disproportionate in comparison to the other two road crossings even taking into account the relative scale of the road. It is our assumption that this relates to the provision of the signal box at the A21 location, and the majority of the control systems for all three crossings being at this location. We have made no attempt to balance out the costs in this respect.
29. ORR has excluded assumptions on maintenance costs as part of our consideration of gross disproportion. This is partly to simplify the calculations and ensure that it is clear that the data on gross disproportion is an indicator and not an exact forecast figure, and partly to avoid making assumptions on forward maintenance costs for the various options that are not substantiated by good evidence. The figures for maintenance are likely to be dwarfed by

the capital costs for the various works options anyway so this is considered an appropriate assumption in this case.

## ORR opinion on proposals

30. The sections below 33 to 43 deal with ORR's assessment of the proposed railway line. These sections focus on the specific issue of the level crossings that are proposed along the alignment and give a summary of the outcome of our consideration for each location.
31. On the more general point of the railway proposals overall, ORR would not have any objection as long as the project follows good practice in the industry and delivers an infrastructure and operational capability that is compatible with the Kent and East Sussex Railway with which it will create an operational connection. We would expect to engage with the project as part of our normal inspection process to monitor development of the works, and make use of our normal regulatory tools to deal with any issues that arise where we felt risks were not being reduced to as low as is reasonably practicable.
32. ORR's views are principally directed to the safety of the level crossing proposals. If ORR were solely considering an application for Level Crossing Orders using our delegated functions in relation to the LCA, and were the sole party making the determination, then we may take both safety and convenience issues into our considerations. However this is not such a case, and ORR believes that the local Highway Authority and Highways England are better placed to make assessment and comment on the convenience impacts of the proposed crossings of the three roads and the bridleway and that it is then for the Inquiry to take the holistic view of the proposals.

### Northbridge Street:

33. Introducing a level crossing at Northbridge Street will introduce a new and therefore increased safety risk. However there does not appear to be a reasonably practicable alternative to a level crossing based on the information that we have been provided with.

### A21:

34. Introducing a level crossing on the A21 will introduce a new and therefore increased safety risk.



35. The arguments in the case of the A21 crossing are more finely balanced in terms of whether the costs of an alternative are grossly disproportionate. Initial opinion was that in an overall context the case was not entirely convincing. However further consideration and discussion has established that it is our opinion there is a degree of gross disproportion between the costs of a level crossing and the cheapest form of grade separation, and that a tolerably safe level crossing could be created. The form of crossing controls suggested by the railway is unusual and perhaps not the ideal solution. We also think there are wider road safety issues which fall outside of our remit and are more appropriate for Highways England to comment on.
36. So we are satisfied that in railway safety terms the test of exceptional circumstances (as defined in our policy) has been met and that an alternative to a level crossing is not reasonably practicable on the basis of railway safety issues. We acknowledge that there are wider issues related to highway safety that we are not competent to comment on, and various environmental impacts of alternatives to crossings that we are also unable to offer a view on, and that it is quite properly the role of the Inquiry to consider the wider issues.
37. If the Inquiry leads to a recommendation of a crossing at this location then we would wish to explore further with the company what the most appropriate crossing control and operation arrangements would be. In any case this is a stage that we would expect to engage in as a normal part of the Level Crossing Order process.

#### [Junction Road / B2244:](#)

38. Introducing a level crossing at the B2244 will introduce a new and therefore increased safety risk. However there does not appear to be a reasonably practicable alternative to a level crossing based on the information that we have been provided with.

#### [Footpath “Salehurst and Robertsbridge 31”](#)

39. ORR supports the diversion of the footpath beneath the railway alignment and we expect that provisions will be made in the TWA Order for the diversion of the footpath to avoid an at-grade crossing. ORR would have significant reservations if there were proposals to create an at-grade foot crossing in such close proximity to the A21 crossing location. Footpath crossings on other railway systems do not generally have a good safety record on average; this is partly due to user behaviour and partly to infrastructure issues such as poor sighting.

### Bridleway “Salehurst and Robertsbridge 36b”

40. RVR has not demonstrated the case for an at-grade level crossing at the bridleway as it is likely that a bridge to take the bridleway over the line would be reasonably practicable in physical and cost terms. We note that there may be additional issues in relation to land take and visual impact that the Inquiry may wish to consider but which are outside of ORR’s consideration.

### Private user worked Crossings

41. RVR has not set out the case for the user worked crossings. These crossings should be avoided if at all reasonably practicable and we refer the Inquiry to the poor safety record of such crossings on the mainline railway. While crossings on the mainline generally experience far higher levels of train movements and at much higher speeds than is proposed here, there is evidence that such crossings on minor railways also suffer from levels of user misuse that make them undesirable.

We recommend that the railway and landowners be required to come to agreement on alternative methods of access that do not require at-grade crossings of the railway route.

### Form of Level Crossings

42. In consideration of the scheme overall ORR advise that regardless of the technology and operating methods being proposed by the railway in their documents, that if the crossings are authorised through a TWA Order then ORR would expect that the railway would install the highest level of protection at crossings that was reasonably practicable. ORR would expect to have further discussion on the type and detail of any crossings as part of the project development and the drawing up of appropriate Orders under the Level Crossings Act 1983.

### Scope of ORR’s assessment

43. ORR’s comments are restricted to the railway safety aspects of the proposed crossings and to a limited extent the suitability of the barriers, signs and signals for road traffic. We cannot offer a wider view on the road safety aspects of the proposals.

## Open points to be resolved

44. The most significant issue for ORR remains the uncertainty over the numbers and types of private user worked crossings that RVR has suggested. These represent a high risk to users if not used correctly; experience on other railways suggests that the user compliance with safety procedures can be extremely poor leading to collisions with serious consequences.
45. ORR would prefer in the first instance for there to be no such crossings.
46. The documents sent to ORR give no indication that any consideration has been given to the provision of 'cattle creeps' beneath the railway. Given the expected need for flood alleviation across the railway alignment these may be a practicable option at some locations.
47. If the avoidance of some user worked crossings is not reasonably practicable by providing access from other points then ORR would encourage that the number of such crossings is minimised and that a commitment is obtained from the railway to provide some form of appropriate user warning system to the crossings.
48. In all cases if the outcome of the Inquiry is to support the proposals including the creation of the road, bridleway and private user worked level crossings then ORR will expect to engage in further discussion with the RVR on the exact details of each crossing and the protective arrangements for road and rail users at each location. This will include where appropriate the consideration of applications for Orders under the Level Crossings Act 1983.
49. We note the specific reference to the 1983 Act in section 15 of the draft Order and these are powers delegated to ORR by the Secretary of State.

The Law Commissions for England and Wales, and for Scotland had recommended<sup>5</sup> to the Department for Transport in 2013 that the current regulatory regime around level crossings should be modified which would very likely have led to the 1983 Act being replaced. However in May 2018 the Department clarified that it wished to take forward the spirit of the recommendations through administrative process change rather than regulatory reform<sup>6</sup>. As a result the 1983 Act is likely to stay in place for the foreseeable future, certainly over the likely period of the development and construction of this proposed railway. The

---

<sup>5</sup> <https://www.lawcom.gov.uk/project/level-crossings/>

<sup>6</sup> [https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment\\_data/file/746807/railway-level-crossing-reform-response.pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/746807/railway-level-crossing-reform-response.pdf)



ORR can offer no advice on the long term impact of referring to specific legislation in the Order, for example if the 1983 Act were to be revoked at some point in the future. The Inquiry may wish to seek separate advice on this matter.

## Appendix A – Reasonable Practicability and Gross Disproportion

ORR follows the policy position of the HSE in relation to the interpretation of aspects of HSWA and relevant statutory provisions and this includes the key issues of whether a safety measure is reasonably practicable and whether the costs of such safety measures are grossly disproportionate to the benefit that they would bring.

### Reasonable Practicability

The guidance from HSE [<http://www.hse.gov.uk/risk/theory/alarp1.htm>] on interpreting this term is:

There is little guidance from the courts as to what reducing risks as low as is reasonably practicable means. The key case is *Edwards v. The National Coal Board*. In that case, the Court of Appeal considered whether or not it was reasonably practicable to make the roof and sides of a road in a mine secure. The Court of Appeal held that -

"... in every case, it is the risk that has to be weighed against the measures necessary to eliminate the risk. The greater the risk, no doubt, the less will be the weight to be given to the factor of cost."

and

"'Reasonably practicable' is a narrower term than 'physically possible' and seems to me to imply that a computation must be made by the owner in which the quantum of risk is placed on one scale and the sacrifice involved in the measures necessary for averting the risk (whether in money, time or trouble) is placed in the other, and that, if it be shown that there is a gross disproportion between them - the risk being insignificant in relation to the sacrifice - the defendants discharge the onus on them."

Thus, determining that risks have been reduced ALARP involves an assessment of the risk to be avoided, of the sacrifice (in money, time and trouble) involved in taking measures to avoid that risk, and a comparison of the two.

This process can involve varying degrees of rigour which will depend on the nature of the hazard, the extent of the risk and the control measures to be adopted. The more systematic the approach, the more rigorous and more transparent it is to the regulator and other interested parties. However, duty-holders (and the regulator) should not be overburdened if such rigour is not warranted. The greater the initial level of risk under consideration, the greater the degree of rigour HSE requires of the arguments purporting to show that those risks have been reduced ALARP.

### Gross Disproportion

The concept of gross disproportion is more complex to define strictly. There is no statutory definition of the term, and no authoritative guidance from the Courts on the factors that should be considered.

It requires a duty-holder to weigh up the costs (in money, time or 'trouble') of a safety measure against the benefits that it will bring.

In a case such as those of the RVR crossings what is being considered is the cost difference of a grade-separated crossing of road and rail from an at-grade crossing, against the potential safety disbenefit of an at-grade crossing.

The cost to benefit ratio must then be judged to decide if it is grossly disproportionate.

Again we turn the advice of the HSE [<http://www.hse.gov.uk/risk/theory/alarpcba.htm>] to consider what such terms mean in practice:

- rules of thumb adopted by D/Ds [Divisions / Directorates];
  - NSD [Nuclear Safety Directorate] takes as its starting point the HSE submission to the 1987 Sizewell B Inquiry that a factor of up to 3 (i.e., costs three times larger than benefits) would apply for risks to workers; for low risks to members of the public a factor of 2, for high risks a factor of 10;
  - HID [Hazardous Injuries Directorate] uses similar rules of thumb;

So, HSE's range for effects to the public ranges between a factor of 2 for low risks, and up to 10 for high risk events.

Effectively this range tells us that factors below 2 do not demonstrate disproportion and should not normally be entertained. Factors that come in above 10 will normally be considered to demonstrate gross disproportion unless there are significant issues in a specific case. Between these two levels the level of gross disproportion has to be considered against the level of risk and consequence that is involved a particular case. As level crossings present a significant risk of fatality if there is failure or misuse, not just for the crossing user but in some scenarios for the occupants of the train, then it might be expected generally that they would only be considered reasonably practicable where gross disproportion factors tend toward the upper end of the range. The risks of crossings are an understood issue however and very much in the control of the crossing operators and the users. This reinforces the issue that local factors at a specific site are more important to consider and not focus on the numeric calculation of cost disproportion factors.

For any level crossing the additional issues that we would give a qualitative consideration of include:

- the operating speed of the railway line;
- the operating pattern of the railway services;
- the type of rolling stock on the railway;
- issues around the control of train speed and braking ability;
- protections against trains which pass signals at danger on approach to a crossing;
- topography of the rail line;
- distractions to the train crew;
- type of crossing proposed and comparable safety statistics;
- likely types of road users;
- forward sighting of level crossing for road users;
- likely forms of crossing misuse (whether error or violation) and consequences thereof.

Each location will always be judged on the specific issues therefore and other factors may be relevant at some locations.

## Appendix B – Mainline railway level crossing statistical data

The data below has been extracted from data collected by the Rail Safety and Standards Board (RSSB) and downloaded on 17 January 2020. The RSSB data reference is “Safety Risk Model v8.5.0.2 - Table B1”<sup>7</sup> this was published in March 2018.

Abbreviations are listed in Appendix C.

### Data on risks to members of the public at crossings

The table to the right indicates for various crossing types the number of fatalities that can be expected in an average year on the mainline railway for members of the public (pedestrian or cyclist) being struck or crushed by a train on a vehicle level crossing or a footpath crossing. The data does not include trespassers. In these collisions no fatalities to train crew or passengers are expected.

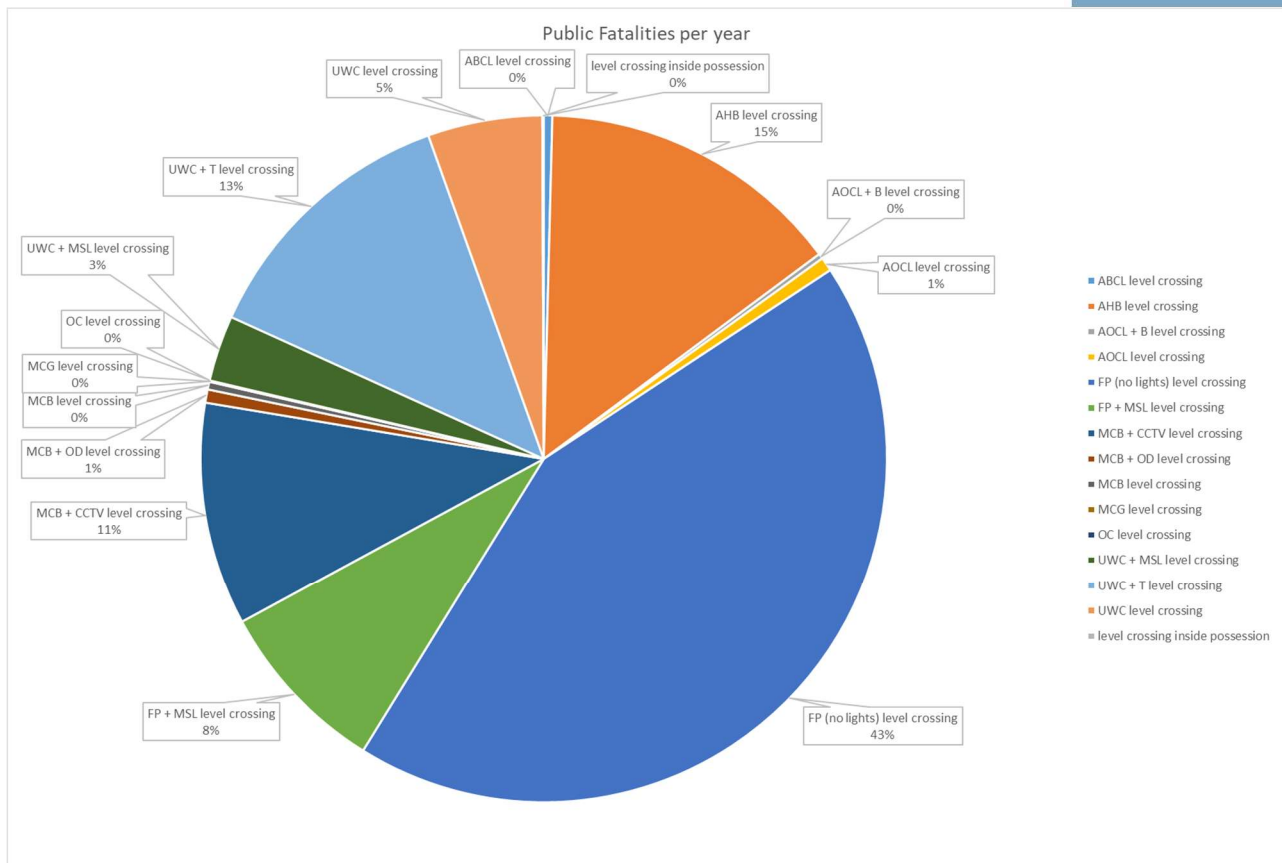
This data is shown as a pie chart on the following page. It is clear that footpath crossings account for roughly half of the fatalities to members of the public, with user worked crossings accounting for around 21% of fatalities.

Type of Crossing	Fatalities per year
FP (no lights) level crossing	2.3489
AHB level crossing	0.7865
UWC + T level crossing	0.6958
MCB + CCTV level crossing	0.5735
FP + MSL level crossing	0.4512
UWC level crossing	0.2940
UWC + MSL level crossing	0.1692
AOCL level crossing	0.0359
MCB + OD level crossing	0.0339
ABCL level crossing	0.0220
MCB level crossing	0.0199
AOCL + B level crossing	0.0132
level crossing inside possession	0.0036
MCG level crossing	0.0022
OC level crossing	0.0011
Total no of fatalities per year	5.4509

RSSB subdivide these main headings further; for example the breakdown of events that contribute to the “Footpath with no lights” type of crossings are:

Event	Fatalities per year
Fails to stop, look and listen	0.756
Distracted/forced by dog	0.520
Poor sighting	0.433
Slipped, tripped, fell or snagged on crossing	0.235
Nips across in front of train	0.174
Unaware of crossing	0.130
Second train coming	0.101
Total	2.349

<sup>7</sup> Source: <https://www.rssb.co.uk/Standards-and-Safety/Improving-Safety-Health--Wellbeing/Analysing-and-modelling-risk> (requires log in)



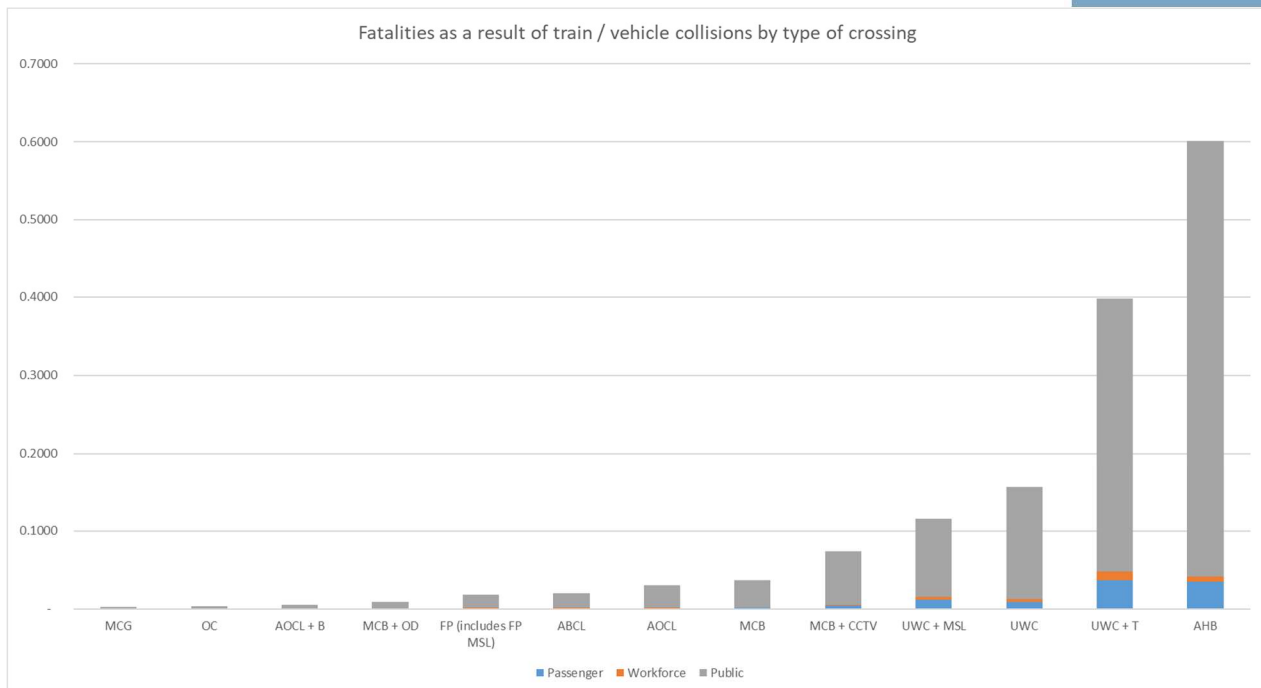
### Fatalities as a result of passenger train collisions at crossings with road vehicles

The data below shows for various crossing types the number of fatalities that can be expected on the mainline railway due to a train colliding with a vehicle on a crossing.

Crossing Type	Passenger	Workforce	Public	Total
AHB	0.0349	0.0065	0.5597	0.6010
UWC + T	0.0362	0.0116	0.3499	0.3977
UWC	0.0084	0.0041	0.1438	0.1564
UWC + MSL	0.0116	0.0034	0.1004	0.1154
MCB + CCTV	0.0041	0.0008	0.0687	0.0736
MCB	0.0015	0.0003	0.0343	0.0362
AOCL	0.0008	0.0005	0.0287	0.0300
ABCL	0.0007	0.0003	0.0186	0.0197
FP (includes FP MSL)	0.0009	0.0002	0.0168	0.0178
MCB + OD	0.0007	0.0001	0.0076	0.0084
AOCL + B	0.0001	0.0001	0.0052	0.0055
OC	0.0000	0.0000	0.0034	0.0034
MCG	0.0001	0.0000	0.0025	0.0026
Passenger train collision with road vehicle on level crossing – Total:	0.1000	0.0279	1.3396	1.4675

The total for UWC, UWC+T and UWC+MSL is 0.6695.





The data shows that automatic half barrier (AHB) crossings are likely to account for the majority of fatalities, but that user worked crossings as a group account for more: AHB total is 0.6010 and the three types of UWC have a combined total of 0.6695. These are the type that RVR would propose to use at their farmland accommodation crossings.

The manually controlled barrier crossings with obstacle detection can be seen to have relatively good performance compared to other types, though as noted in ORR's assessment of the RVR scheme we do not believe that the crossing type that they have described exactly matches this type as seen on the mainline railway.

Some care has to be taken in interpreting this statistical data. For example the 'OC' open crossing type appears to have a relatively good safety performance compared to automatic half barrier crossings, but this headline figure disguises the relative numbers of such crossings, and also that open crossings would only be considered for sites with relatively low train and road traffic flows, low road and rail speeds and good sighting arrangements whereas automatic half barrier crossings would have been installed on busier roads with higher train speeds. The outcome of this would be that collisions at AHB crossings when they do occur are likely to have higher consequences.

Generally of course the UK mainline railway operates the majority of lines at significantly higher speeds and train frequencies than would exist on the proposed Rother Valley line, so the data in this appendix is indicative of relative performance of crossing types and not an absolute indicator of potential performance.

Unpacking one category as an example - fatalities on user worked crossings without telephone or miniature stop lights (UWC) are due to the following causes:

Event	Passenger fatalities	Workforce fatalities	Public fatalities	Total
RV Fails to stop, look and listen	0.00425	0.00205	0.07200	0.07830
RV Grounding	0.00193	0.00093	0.03300	0.03586
Incorrect use due to gates left open	0.00193	0.00093	0.03300	0.03586
Poor sighting	0.00021	0.00010	0.00363	0.00395
Incorrect use	0.00004	0.00002	0.00065	0.00071
RV stranded/failed on LC	0.00003	0.00002	0.00055	0.00059
RV incorrectly on LC due to RTA	0.00002	0.00001	0.00041	0.00044
RV incorrectly on LC due to environmental factors/driver error: user brakes too late	0.00002	0.00001	0.00037	0.00040
RV incorrectly on LC due to environmental factors: sunlight obscures crossing/view up and down tracks	0.00001	0.00000	0.00011	0.00012
RV struck by train - on UWC - RV deliberately placed on level crossing	0.00000	0.00000	0.00001	0.00001
RV struck by train - on UWC - second train coming	0.00000	0.00000	0.00000	0.00000
RV struck by train - on UWC - RV incorrectly on LC due to environmental factors: poor crossing visibility	0.00000	0.00000	0.00000	0.00000
RV struck by train - on UWC - RV driver suicide	0.00000	0.00000	0.00000	0.00000

The RSSB data also includes estimates for major and minor injuries and shock/trauma events, this data has been excluded from this summary note for simplicity. This data is not therefore comparable to the Fatalities and Weighted Injuries (FWI) data that both RVR and ORR have used in the calculations that form part of our decision making.

For information:

The number of the various types of crossing recorded by RSSB for this data was:

Number of ABCL crossings	57
Number of AHB crossings	429
Number of AOCL crossings	38
Number of AOCL+B crossings	66
Number of FP crossings	2,624
Number of FP+MSL crossings	106
Number of MCB crossings	182
Number of MCB+CCTV crossings	429
Number of MCB+OD crossings	93
Number of MCG crossings	130
Number of OC crossings	51
Number of UWC crossings	529
Number of UWC+MSL crossings	113
Number of UWC+T crossings	1,753

## Appendix C – Abbreviations and other terms

<u>Term</u>	<u>Meaning</u>
ALARP	As Low As is Reasonably Practicable
Cattle Creep	A low bridge beneath a railway for use by livestock
DfT	Department for Transport
Gross Disproportion	See appendix A
HID	Hazardous Injuries Directorate (a part of HSE)
HMRI	Her Majesty's Railway Inspectorate (now part of ORR)
HSE	Health and Safety Executive
HSWA	Health and Safety at Work etc. Act 1974
LCA	Level Crossings Act 1983
NSD	Nuclear Safety Directorate (a part of HSE)
ORR	Office of Rail and Road
Reasonable Practicability	See appendix A
RSSB	Rail Safety and Standards Board
RVR	Rother Valley Railway Ltd
SoS	Secretary of State

### Specific level crossing abbreviations

ABCL	Automatic Barrier Crossing Locally Monitored
AHB	Automatic Half Barrier Crossing
AOCL	Automatic Open Crossing Locally Monitored
AOCL + B	Automatic Open Crossing Locally Monitored plus Barriers
FP (includes FP MSL)	Foot Path – and Foot Path with Miniature Stop Lights
LC	Level Crossing
MCB	Manually Controlled Barriers
MCB + CCTV	Manually Controlled Barriers plus CCTV Monitoring
MCB + OD	Manually Controlled Barriers plus Obstacle Detection
MCG	Manually controlled gates
OC	Open Crossing
RTA	Road Traffic Accident
RV	Road Vehicle
UWC	User Worked Crossing
UWC + MSL	User Worked Crossing plus Miniature Stop Lights
UWC + T	User Worked Crossing plus Telephone

# **Level Crossings: A guide for managers, designers and operators**

Railway Safety Publication 7

December 2011



---

# Contents

<b>Foreword</b>	<b>4</b>
What is the purpose of this guide?	4
Who is this guide for?	4
<b>Introduction</b>	<b>5</b>
Why is managing level crossing risk important?	5
What is ORR's policy on level crossings?	5
<b>1. The legal framework</b>	<b>6</b>
Overview	6
Highways and planning law	7
<b>2. Managing risks at level crossings</b>	<b>9</b>
Introduction	9
Level crossing types – basic protection and warning arrangements	12
General guidance	15
Gated crossings operated by railway staff	16
Barrier crossings operated by railway staff	17
Barrier crossings with obstacle detection	19
Automatic half barrier crossings (AHBC)	21
Automatic barrier crossings locally monitored (ABCL)	23
Automatic open crossings locally monitored (AOCL)	25
Open crossings	28
User worked crossings (UWCs) for vehicles	29
Footpath and bridleway crossings	30
Foot crossings at stations	32
Provision for pedestrians at public vehicular crossings	32
Additional measures to protect against trespass	35
The crossing	36
Gates, wicket gates and barrier equipment	39
Telephones and telephone signs	41
Miniature stop lights (MSL)	43
Traffic signals, traffic signs and road markings	44
<b>3. Level crossing order submissions</b>	<b>61</b>
Overview and introduction	61

---

Background and other information on level crossing management	61
Level crossing orders: scope, content and format	62
Level crossing order request and consideration process	64
Information for local traffic and planning authorities	68
Requiring a request for a level crossing order	70
Relevant legislation and publications	70
<b>Appendix A - Common terms</b>	<b>72</b>
<b>Appendix B - Limitation on road and rail traffic at AOCL</b>	<b>74</b>
<b>Appendix C - Definition of viewing zone at open crossings</b>	<b>76</b>
<b>Appendix D - Train pedestrian value (TPV) calculation</b>	<b>78</b>
<b>Appendix E - ORR level crossings team – Contact details</b>	<b>79</b>
<b>Appendix F - Level Crossings Act 1983</b>	<b>80</b>
<b>Appendix G - Supporting documentation - level crossing order assessment checklist</b>	<b>83</b>
Major works at existing level crossings, including change in protection method, complete renewal or major modernisation	83
Practical guidance on recording assessment findings	83
Minor works at existing level crossings	86
<b>Appendix H - Legislation and publications</b>	<b>87</b>
Legislation	87
Publications	88

---

# Foreword

## What is the purpose of this guide?

1. The Office of Rail Regulation (ORR) has issued this guidance after extensive consultation. It provides general guidance on the safe management, operation, modification and use of Britain's level crossings. It also provides detailed information on the level crossing order making process which is managed by ORR. It updates earlier guidance (RSPG2E), in particular to align it with developments in industry standards and with recommendations from the Rail Accident and Investigation Branch (RAIB).
2. Please note that it is intended to be used as **guidance**. Following the guidance is not compulsory and you are free to take other action. The guidance aims to help people involved in the management and operation of level crossings to understand the associated risks and responsibilities
3. We expect level crossing risks to be controlled to the appropriate degree. If innovative or alternative ways of doing things emerge as ways of properly controlling risk, then this guidance should not hinder their introduction.
4. ORR wants its advice on level crossing safety to be accessible to everyone who has a role to play in making level crossings safer and more efficient.

## Who is this guide for?

5. This document is for people who design, install, maintain and operate level crossings. It may be of interest to others who use or are affected by the use of level crossings.
6. Interested parties may include any of the following:
  - (a) railway infrastructure managers;
  - (b) highway authorities;
  - (c) road authorities;
  - (d) planning authorities;
  - (e) train and station operators;
  - (f) landowners
  - (g) level crossing users, including groups representing motorists, cyclists, ramblers and persons with reduced mobility.
7. This guidance does **not** apply to tramways, as the characteristics of tramway crossings and the principles of tramway operation are different.
8. If in doubt, you should contact ORR for advice about how to interpret and apply this guidance to particular circumstances. The guidance will be regularly updated and the version on the ORR website shows the date of the latest update.



Ian Prosser

Director, Railway Safety



---

# Introduction

## Why is managing level crossing risk important?

1. Level crossings account for nearly half of the catastrophic train accident risk on Britain's railways. ORR believes that the safe design, management and operation of level crossings can reduce the risks, have a positive effect on user behaviour and so reduce the number of fatal and serious incidents.

## What is ORR's policy on level crossings?

2. ORR seeks to influence duty holders and others to reduce risk at Britain's level crossings. It does this through a variety of means ranging from advice to formal enforcement action. ORR checks that preventive and protective measures are implemented in accordance with the principles of prevention set out in the Management of Health and Safety at Work Regulations 1999. Risk control should, where practicable, be achieved through the elimination of level crossings in favour of bridges, underpasses or diversions. Where elimination is not possible, ORR aims to ensure that duty holders reduce risk so far as is reasonably practicable and in accordance with the principles of protection.

3. As the safety regulator for Britain's railways, ORR's role is to provide clear advice and enforce relevant legislation – including that which relates to level crossings. We also exercise the powers of the Secretary of State in making level crossing orders under the Level Crossings Act 1983. The Agency Agreement made between the Secretary of State for Transport and the Office of Rail Regulation relates to functions which ORR has agreed to perform on behalf of the Secretary of State. The Agreement is on ORR's website at [http://www.rail-reg.gov.uk/upload/pdf/mou\\_ORR\\_DfT.pdf](http://www.rail-reg.gov.uk/upload/pdf/mou_ORR_DfT.pdf)

4. ORR believes that it is neither effective nor efficient for only rail companies to be responsible for managing safety at level crossings. Decisions about level crossings should involve rail companies, traffic authorities and other relevant organisations as early on as possible. Relevant authorities should recognise the wider benefits that safety improvements at level crossings (for example, replacing them with bridges) can bring about, particularly for road users. If wider benefits can be achieved, the appropriate funding bodies should agree on how the costs of making safety improvements will be met.

5. ORR is also committed to helping people understand the importance of the safe use of level crossings. The 'Using Level Crossings Safely' guidance is available on ORR's website.



---

# 1. The legal framework

## Overview

1.1 The law relating to level crossings is not straightforward as there is a need to balance the interests of road and rail, and take account of the impact of local circumstances that affect the use of the crossing.

1.2 The law applying to level crossings has evolved over the past 160 years. No single government department controls all level crossing legislation. Currently, laws relating to the highways, railways and health and safety apply.

1.3 The Law Commission for England and Wales and the Scottish Law Commission are undertaking a joint review of the existing law governing level crossings. Check their website for the latest position at: [http://www.lawcom.gov.uk/level\\_crossings.htm](http://www.lawcom.gov.uk/level_crossings.htm)

1.4 Duties are placed on a number of bodies and individuals including:

- (a) railway infrastructure managers;
- (b) level crossing operators;
- (c) highway, road and traffic authorities;
- (d) employers and employees;
- (e) train and freight operators;
- (f) land owners;
- (g) road users; and
- (h) other crossing users

1.5 ORR is the enforcing authority for railway health and safety legislation.

1.6 The key pieces of legislation that operators and users of level crossings should be familiar with are:

- Health and Safety at Work etc. Act 1974 is the primary piece of legislation covering occupational health and safety in Great Britain. It requires undertakings to manage and control risks arising from their work activities in connection with level crossings, so far as is reasonably practicable. It also gives ORR inspectors the powers to inspect and enforce safety at level crossings.
- The Management of Health and Safety at Work Regulations 1999 require employers to carry out risk assessments, make arrangements to implement necessary measures, appoint competent people and arrange for appropriate information and training.
- Level Crossings Act 1983 enables the Secretary of State for Transport to make orders that take account of both safety and convenience aspects of crossings. The order can specify the protection arrangements required at certain types of crossing. Detailed advice on the level crossing order process can be found in Chapter 3.

- Transport and Works Act 1992 enables the Secretary of State for Transport to make orders that authorise the construction of a railway in England, including allowing it to cross the highway by means of a level crossing. The authorisation of railway schemes wholly in Wales is by way of an order made by Welsh Ministers. Cross-border rail schemes are authorised by orders made by the Secretary of State subject to the agreement of Welsh Ministers. For Scotland, the Transport and Works (Scotland) Act 2007 enables Scottish Ministers to make orders that authorise the construction of a railway in Scotland, including allowing it to cross the highway by means of a level crossing. Prior to these Acts being made, crossings would have been authorised either by orders made under the Light Railways Act 1896 or under Private Acts.
- Level Crossings Regulations 1997 make it an offence for a crossing operator to fail to comply with a level crossing order.
- Railways and Other Guided Transport Systems (Safety) Regulations 2006 (ROGS), as amended by The Railways and Other Guided Transport Systems (Safety) (Amendment) Regulations 2011, require all infrastructure managers to have a safety management system that enables them to control risk – including risk arising from level crossings. ORR's published guidance on ROGS is at: <http://www.rail-reg.gov.uk/upload/pdf/rogs-guidance-may11.pdf>
- The Traffic Signs Regulations and General Directions 2002 contain requirements for road signs, including carriageway markings. These are supported by the Traffic Signs Manual found on DfT's website at: <http://www.dft.gov.uk/pgr/roads/tss/tsmanual/>
- The Private Crossings (Signs and Barriers) Regulations 1996 prescribe the types of signs that may be used on or near private level crossings.
- The Equality Act 2010 places duties on designers and managers to ensure that facilities at crossings do not cause an unnecessary barrier to access across the railway for those with disabilities.
- The current Railway Group Standard relating to level crossings (GK/RT0192, Level Crossing Interface Requirements, Issue 1) defines the requirements for level crossings systems at the interface between the mainline infrastructure manager and railway undertakings. GK/RT0192 can be found at: [http://www.rgsonline.co.uk/Railway\\_Group\\_Standards/Control%20Command%20and%20Signalling/Railway%20Group%20Standards/GKRT0192%20Iss%201.pdf](http://www.rgsonline.co.uk/Railway_Group_Standards/Control%20Command%20and%20Signalling/Railway%20Group%20Standards/GKRT0192%20Iss%201.pdf)

## Highways and planning law

1.7 A process for involving affected local authorities in level crossing protection arrangements is in place.

1.8 The modifications to the Level Crossings Act 1983, introduced by the Road Safety Act 2006, formalised existing good practice in securing consultation on changes to level crossings in advance of formal circulation of a draft level crossing order. The changes also permit the order to require both the operator of the crossing and the local traffic authority to provide, operate and maintain any protective equipment (including barriers and traffic signs) specified in the order.

1.9 Local traffic authorities and level crossing operators may agree a long term strategy for each crossing. Where appropriate, consideration should be given to what action may be required by each party, to permit the crossing to be closed in the long term.

1.10 Finally, there is a requirement in planning legislation for planning authorities to consult the Secretary of State and the operator of the network where a proposed development materially affects traffic over a

---

level crossing. For example, a new housing development near a crossing may cause traffic levels over the crossing to increase greatly and mean that existing protection arrangements at the crossing are no longer adequate.

---

## 2. Managing risks at level crossings

### Introduction

2.1 This part of the guidance provides advice for those involved in the design, supply, installation and maintenance, and continued assessment of level crossing suitability. It revises and updates the advice previously given in Railway Safety Principles and Guidance, part 2, section E, 'Guidance on Level Crossings'.

### Applying the guidance

2.2 This document does not set mandatory standards, though it does describe certain essential principles and features, such as interlocking and prescribed road signs and markings. It gives examples of established good practice which, if followed, are likely to be in accordance with the law.

2.3 ORR encourages innovative solutions to level crossing problems. In all cases a risk assessment will need to show that due consideration has been given to safety and that risks have been reduced so far as reasonably practicable. Innovative proposals may be constrained, to some extent, by the need for consistency for example for road signs.

2.4 The guidance is produced to help those who are responsible for providing and maintaining the protection arrangements at level crossings. This includes highways and road authorities, who should find the guidance helpful in so far as it deals with the roadway aspects of the protection arrangements. We hope that others, such as planning authorities, who may be consulted on proposed modifications to level crossings, will also find this document helpful.

2.5 Level crossings take many forms depending on whether they are on a public or private road, or for vehicle, horse or pedestrian use. The protection arrangements which are appropriate at level crossings will vary, depending upon the crossing location, for example proximity to road junctions, the level of usage and the nature of railway traffic.

2.6 An important factor in assuring the safety of level crossings is providing, so far as circumstances permit, a consistent appearance for road and rail users of any crossing. To help achieve this, several level crossing types have been developed over the years. Detailed protection arrangements for each type are described later.

2.7 The guidance applies when the protection arrangements at existing crossings are reviewed. It will also apply when new crossings are created. Arrangements at a level crossing on a road to which the public has access may be subject to an order, made by the Secretary of State, to provide for the protection of those using the crossing. Level crossing orders, made under the Level Crossings Act 1983, usually specify the protection arrangements at public vehicular crossings.

2.8 Where level crossings cannot be eliminated but are being renewed or altered, every effort should be made to improve the crossing and reduce risk to both crossing and railway users. Certain types of crossing design, particularly automatic types, whilst fit for purpose when road and rail traffic densities were lower, have been found to be prone to misuse with potentially high consequences when collisions occur. Given the high cost when crossings are installed and their long service life, ORR expects that the safest suitable crossing for the site-specific risks will be selected when renewing a crossing.

---

## Effects on existing level crossings

2.9 This guidance sets out examples of good practice appropriate for today's world. It is relevant to existing crossings where protection arrangements require improvement. Factors affecting the continued suitability of arrangements might include increased traffic levels and speeds (road and rail), new road lay-outs, and any history of misuse or near-misses. Where protection arrangements are specified in a level crossing order, the crossing operator is required to ensure that the order is complied with. In addition to this, however, crossing operators have general duties under the Health and Safety at Work etc. Act 1974 to ensure, so far as is reasonably practicable, the safety of all those using or affected by a level crossing. In effect, this means that crossing operators need to monitor regularly the suitability of arrangements and make changes when necessary. Where the crossing is subject to a level crossing order, such changes should prompt the crossing operator to request a new or amended order to reflect these changes. The level crossing order making process is described in detail in Chapter 3.

## Operating conditions

2.10 Level crossing type and design will depend on the operational requirements of the railway and road usage.

2.11 To ensure that the level of protection at the crossing remains adequate and appropriate, assess the suitability of the type of crossing when circumstances at the crossing change. This includes railway factors (for example rolling stock, signalling, electrification, speed, etc) and those of the local environment (such as housing or industrial developments, changes to road traffic conditions etc).

2.12 It is important to take into account:

- (a) normal railway operating conditions;
- (b) degraded conditions where any component or part of the railway system has failed;
- (c) foreseeable abnormal conditions to which the system may be subjected;
- (d) usage, including consideration of altered or increased usage due to incident or regular occurrences and events; and
- (e) emergency situations.

## Design and installation

2.13 Clients, designers, suppliers, contractors and installers have responsibilities under the Construction (Design and Management) Regulations 2007 in relation to level crossings.

2.14 Similarly, equipment at level crossings may be subject to other specific regulations, for example, the Electricity at Work Regulations 1989 and the Provision and Use of Work Equipment Regulations 1998 (PUWER).

2.15 Where reference is made in this document to the Traffic Signs Regulations and General Directions 2002 or to the Private Crossings (Signs and Barriers) Regulations 1996 they will be quoted as the 2002 Regulations and the 1996 Regulations respectively. References to sign diagram numbers are to diagrams in those Regulations.

## Structure of the guidance

2.16 This part of the guidance:

- (a) suggests the crossing types appropriate to the prevailing conditions;

- 
- (b) provides general guidance applicable to all types of crossing;
  - (c) gives specific details of types of crossing; and
  - (d) provides guidance on carriageway aspects and crossing equipment.

## Terminology

2.17 Throughout the document, verbs with specific meanings are used:

**should** - the primary verb for statements of guidance;

**may** - where the guidance suggests options;

**must** - only used where there is a legal/statutory requirement for the measures described to be employed. Reference to the Act or Regulations will be provided;

**is (are) required** - having decided upon a particular option or arrangements, some consequential choices stem from that first decision. This expression is used to indicate those consequential choices and where firmer guidance is considered appropriate.

2.18 Some terms that relate specifically to level crossings have a special meaning and where these terms are first mentioned in the text they are italicised and a cross reference to the definition in Appendix A is provided.

2.19 Throughout this document speeds are given in miles per hour as this is the convention for UK highway signage and the majority of UK railway signage. Conversions to kilometres per hour should use the metric equivalent specified in relation to the relevant imperial unit in the third column of the Schedule to the Units of Measurement Regulations 1995.

## Level crossing types – basic protection and warning arrangements

Figure 1

Protection from train movements	Crossing confirmed clear	Warning arrangements	Full barriers/gates	Half barriers	No barriers	Telephone “protection”
Protected	By signaller or crossing keeper		MCG			
			MCB			
			MCB (CCTV)			
	By obstacle detector		CB-OD			
				ABCL		
	By driver				AOCL	
By train crew/other		TMO				
Unprotected		Approaching Train		AHB		
					UWC (MSL)	
					FP (MSL)	
		Telephone				UWC (T)
		Line of Sight			OC	
					UWC	
					FP/BW	

MCG: manually controlled gated crossing

MCB: manually controlled barrier crossing

MCB (CCTV): manually controlled barrier crossing with closed circuit television

CB-OD: controlled barrier crossing with obstacle detection

ABCL: automatic barrier crossing locally monitored

AOCL: automatic open crossing locally monitored

TMO: train crew (or other peripatetic railway staff) operated crossing

AHB: automatic half barrier crossing

UWC (MSL): user worked crossing with miniature stop lights

FP (MSL): footpath crossing with miniature stop lights

UWC (T): user worked crossing with telephone

OC: open crossing

UWC: user worked crossing

FP/BW: footpath or bridleway crossing

Protection from train movements ensures that trains are not authorised to pass over the crossing until the crossing is closed and the crossing area has been checked to be clear.

Unprotected crossings depend on a warning being given to crossing users of an approaching train so that they can be clear before the train arrives. It is unlikely that the train can be stopped if the crossing is not clear.

Telephones are fitted to several crossing types for a range of purposes. At a UWC (T) the warning of an approaching train is achieved by contacting the signaller. For this to be effective the user must make the call and the signaller must be able to advise how close the nearest train is.

## Assessing suitability

2.20 Selecting the most suitable type of level crossing depends on various factors, one of which may be traffic volume. Table 1 gives guidance on the factors to be considered for any given location. In deciding which type of level crossing to install, consider likely road traffic delays. Determine the protection provided at a level crossing by undertaking a suitable and sufficient risk assessment. The following table is a general summary of the different crossing types. For further details see relevant sections in this chapter.

Table 1

Section	Type of crossing	Key features
4	Gated crossings operated by railway staff	<p>The <i>traffic moment</i> (see Appendix A) and <i>actual daily road vehicle usage</i> (see Appendix A) should be low.</p> <p>Railway signals interlocked with the gates are required so that it is not possible to clear the signals unless the road is fully closed by the gates, nor is it possible to open the road unless the signals are at Stop and free of <i>approach locking</i> (see Appendix A).</p>
5	Barrier crossings operated by railway staff	<p>Generally suitable for any situation.</p> <p>Railway signals interlocked with the barriers are required so that it is not possible to clear the signals unless the road is fully closed by the barriers, nor is it possible to open the road unless the signals are at Stop and free of approach locking.</p>
5A	Barrier crossings with obstacle detection	<p>This type of crossing is protected by road traffic light signals and lifting barriers on each side of the railway. An audible warning to pedestrians is also provided. The crossing is designed to operate automatically.</p> <p>Railway signals, which provide full protection to the crossing, are required on all railway approaches. These signals must be interlocked with the lifting barriers so that it is not possible to clear the signals unless the road is fully closed by the barriers, nor should it be possible to raise the barriers unless the signals are set at Stop and free of approach locking, or the train has passed the signal and traversed the crossing.</p>



Section	Type of crossing	Key features
6	Automatic half barrier crossings (AHBC)	<p>The speed of trains over the crossing should not exceed 100 mph.</p> <p>There should not be more than two running lines.</p> <p>Appropriate means to stop any train approaching the crossing in an emergency situation are required where reasonably practicable and before a train has passed the last protecting signal.</p> <p>Trains should not normally arrive at the crossing in less than 27 seconds after the amber lights of the road traffic light signals first show. At least 95% of trains should arrive within 75 seconds and 50% within 50 seconds.</p> <p>The carriageway on the approaches to the crossing should be sufficiently wide to enable vehicles to pass safely.</p> <p>There is no limit to the amount of road traffic, but the road layout, profile and traffic conditions should be such that road vehicles are not likely to become grounded or block back obstructing the railway. Good road profile is particularly important at this type of crossing. Not suitable where pedestrian usage is high.</p>
7	Automatic barrier crossings, locally monitored (ABCL)	<p>The speed of the trains over the crossings will be determined by the traffic moment but should not exceed 56 mph at any time.</p> <p>There should not be more than two running lines.</p> <p>The carriageway on the approaches to the crossing should be sufficiently wide to enable vehicles to pass safely.</p> <p>The road layout, profile and traffic conditions should be such that road vehicles are not likely to ground or regularly to block back obstructing the railway.</p>
8	Automatic open crossings, locally monitored (AOCL)	<p>The speed of the trains over the crossings will be determined by the traffic moment but should not exceed 56 mph at any time.</p> <p>There should not be more than two running lines.</p> <p>The limits on the road and rail traffic are defined in Appendix B.</p> <p>The carriageway on the approaches to the crossing should be sufficiently wide to enable vehicles to pass safely.</p> <p>The road layout, profile and traffic conditions should be such that road vehicles are not likely to ground or regularly to block back obstructing the railway.</p>
9	Open crossings	<p>The speed of trains over the crossing should not exceed 10 mph.</p> <p>There should not be more than one line over the crossing.</p> <p>The maximum daily traffic moment should not normally exceed 2000 or the peak hour traffic moment 30. The actual daily road vehicle usage should not exceed 200.</p> <p>The 85<sup>th</sup> percentile road speed at the crossing should be less than 35 mph.</p> <p>The road layout, profile and traffic conditions should be such that road vehicles are not likely to ground or regularly to block back obstructing the railway.</p>

Section	Type of crossing	Key features
10	User worked crossings (UWCs) for vehicles	<p>The speed of the trains over the crossing should not exceed 100 mph unless additional protection is provided.</p> <p>These crossings should only be used on private roads.</p> <p>There should not normally be more than two lines over the crossing.</p> <p>Where no additional protection is provided, such as miniature stop lights, the warning period (i.e. arrival time of the train from the first sighting) should be greater than the time required by users to traverse the <i>crossing length</i> (see Appendix A) by not less than 5 seconds.</p>
11	Footpath and bridleway crossings	<p>The speed of trains over the crossing should not exceed 100 mph unless additional protection is provided.</p> <p>There should not normally be more than two lines over the crossing.</p> <p>The <i>warning time</i> (see Appendix A) should be greater than the time required by users to traverse the crossing surface between the <i>decision points</i> (see Appendix A) at either end of a footpath crossing on foot, or on horseback at a bridleway crossing, unless additional protection is provided.</p> <p>Where miniature stop lights are provided, the warning period should be greater, by not less than 5 seconds, than the time required by users to traverse the crossing surface between the decision points at either end of a footpath crossing on foot, or on horseback at a bridleway crossing.</p>
12	Foot crossings at stations	<p>This type of crossing should only be considered for lightly used stations where line speed does not exceed 100 mph and no alternative arrangements are available.</p>

## General guidance

2.21 This section gives general guidance on positioning and equipment at all types of crossings.

### Positioning signalling and other railway infrastructure relative to level crossings

2.22 During normal working, no part of a stationary train should obstruct a level crossing. Where a level crossing is near a station, special arrangements may be necessary.

2.23 Determine by risk assessment where any protecting signals will be sited relative to a level crossing. Assess the likelihood and consequences of trains passing the signals without authority. If it is not possible to optimise the positions of signals, take appropriate measures to reduce the risk so far as is reasonably practicable.

2.24 Provide additional measures to protect road users where a road crosses electrified railway lines. See Section 19 for further advice.

### Equipment at level crossings

2.25 Consider the likely impact of future uses of both the land and the railway (for example changed line speeds) on sighting and safety before land adjacent to crossings is let or sold off by railway duty holders and apply appropriate restrictive terms.

---

2.26 Install all crossing equipment clear of the railway structure gauge and the edge of the carriageway. Ensure that it does not obstruct sighting.

2.27 Provide an alternative power supply at all automatic crossings, including those with miniature stop lights, to allow the crossing equipment to function normally in the event of a main power supply failure.

2.28 It may be necessary, where trains run after dark, to illuminate the crossing to enable its safe operation. If the roads to a crossing are lit, the crossing should be illuminated to at least the same standard. Any lighting should not cause glare to either road users or train drivers, interfere with the visibility of railway signals or cause avoidable annoyance to local householders.

2.29 Additional lighting may be necessary at crossings which are locally monitored by the driver of the approaching train. This is to enable the train driver to see that the crossing is unobstructed from the point at which they may have to brake the train.

2.30 Any failure or damage to the equipment at a level crossing, which may lead to incorrect or unsafe operation, should be evident to the *control point*, the driver of an approaching train, or the user of the level crossing within a reasonable time of the event occurring.

## Gated crossings operated by railway staff

### General description

2.31 This type of crossing is protected by gates, on both sides of the railway, which complete the fencing of the railway when closed across the road or the railway. The crossing is manually operated by railway staff who close the gates alternately across the road or the railway.

2.32 The normal position of the gates, either across the road or railway, may be specified in the legislation authorising construction of the line. Changes may be authorised by direction under the Road and Rail Traffic Act 1933. Directions may be issued by ORR on behalf of the Secretary of State. Where the gates do not completely fence in the railway when open to road traffic, cattle-cum-trespass guards may be required (described later in Section 14 'Additional measures to protect against trespass').

2.33 Road traffic light signals may be provided to assist with the safe operation of the gates. Where they are not provided, red lamps and red retro-reflective targets mounted on the gates, which show towards approaching road traffic when the gates are across the road, should be provided.

### Method of operation

2.34 The gates may be operated by either:

- (a) infrastructure manager staff, who are permanently stationed at a control point, sufficiently close to have a clear view to enable safe operation of the crossing; or
- (b) one of the crew of an approaching train (or other peripatetic staff) at a control point adjacent to the level crossing, after the train has been stopped short of the crossing.

2.35 The person operating the gates should have a good view of the whole crossing area and, unless road traffic light signals are provided, approaching road traffic.

2.36 Road traffic light signals, where provided, should be activated before any attempt is made to close the gates to road traffic. The lights should continue to show until the gates are fully closed across the railway.

---

2.37 The crossing operator should have an appropriate indication of the approach of trains and clear instructions as to when the gates should be closed to road traffic.

2.38 Where the crossing is operated by a member of train crew, the train must stop short of the crossing to allow the person to close the gates to road traffic. The train may then only proceed over the crossing when the train driver receives the authority from the person operating the gates. When the train has cleared the crossing the gates should be reopened to road traffic.

### **Railway signalling and control**

2.39 Provide railway signals which afford full protection to the crossing on all railway approaches. These signals should be interlocked with the gates so that it is not possible to clear the signals unless the road is fully closed by the gates, nor should it be possible to open the gates unless the signals are set at Stop and free of approach locking, or the train has passed the signal and cleared the crossing.

2.40 Where road traffic light signals are provided, a train passing a protecting railway signal at Stop should immediately cause the intermittent road traffic light signals to flash red, omitting the steady amber phase. Where a protecting railway signal is very close to a level crossing, this emergency warning to road users may be very short. Additional measures may be necessary, therefore, to ensure that the crossing is closed to road traffic before the train reaches the immediate vicinity of the crossing. Such additional measures may be specified in a level crossing order.

2.41 Where trains are required to stop short of the crossing, interlocking between signalling and gates is not required. Instead, provide a warning board at full service braking distance to remind the train driver to stop short of the crossing and a Stop board at the stopping point. The Stop board should not normally be less than 50 m before the crossing.

## **Barrier crossings operated by railway staff**

### **General description**

2.42 This type of crossing is protected by road traffic light signals and lifting barriers on both sides of the railway. An audible warning to pedestrians is also provided. The barriers are normally kept in the raised position and, when lowered, extend across the whole width of the carriageway on each approach.

2.43 The crossing is operated by infrastructure manager staff who start the road traffic light signal sequence and then lower the barriers. The lowering and raising cycles may be initiated automatically.

2.44 Road traffic light signals may not be necessary where the barriers are normally in the lowered position and are clearly visible from an appropriate distance to approaching road traffic. Where no road traffic light signals are provided, the number of road vehicles during the peak hour should not exceed 20 and the permissible speed of the railway should not exceed 100 mph.

2.45 Telephones for public use are not normally required.

### **Method of operation**

2.46 This type of crossing may be operated:

- (a) by infrastructure manager staff stationed at a control point adjacent to the crossing when the line is open to rail traffic;

---

(b) by infrastructure manager staff stationed at a control point remote from the crossing using closed-circuit television (CCTV), whenever the line is open to rail traffic;

(c) by infrastructure manager staff at a control point adjacent to the crossing after an approaching train has been stopped short of the crossing.

2.47 For all methods of operation the person operating the crossing equipment should have a clear and full view of the crossing (including the barriers) from the control point, either directly or by CCTV.

2.48 Where the barriers are normally raised, the sequence of events to close the crossing to road traffic, once the lowering cycle has been initiated either manually or automatically, is:

(a) the amber light on each of the road traffic light signals immediately shows and the audible warning begins. The amber lights should show for approximately 3 seconds (up to 5 seconds to suit road conditions);

(b) immediately the amber lights are extinguished, the intermittent red lights should show;

(c) approximately 4 to 6 seconds later the barriers should start to descend. Where pairs of barriers are provided, the *right-hand side* (see Appendix A) barriers should not begin to descend until the *left-hand side* (see Appendix A) barriers are fully down. The time for each barrier to reach the lowered position should normally be 6 to 10 seconds. At skew crossings, where the crossing distance is greater, barrier timings may need to be lengthened accordingly. The closure sequence should be monitored by the operator, particularly if queuing vehicles or heavy usage by pedestrians is likely to increase risk;

(d) the audible warning for pedestrians should stop when all the barriers are fully lowered;

(e) the intermittent red lights should continue to show; and

(f) the crossing should be viewed carefully to ensure that there are no persons or obstructions present, before 'crossing clear' is confirmed and railway signals cleared for the passage of trains

2.49 The sequence of events to open the crossing to road traffic, once the raising cycle has been initiated either manually or automatically, is:

(a) all the barriers begin to rise simultaneously and should normally rise in 4 to 10 seconds; and

(b) the intermittent red lights should be extinguished as the barriers rise.

2.50 Where barriers lower automatically, they should not lower unless at least one red light in all the road traffic light signals is shown in each direction from which users may approach the crossing. If CCTV monitoring is provided, initiation of automatic lowering should switch on the CCTV monitor and give an audible indication at the control point.

2.51 Where automatic lowering is used, provide two barriers on each approach to avoid road users becoming trapped on the crossing.

2.52 Once the barriers have started to descend, the lowering cycle is completed in the normal sequence even if all the red road traffic light signals facing in one direction fail. The barriers may then be raised when it is safe to do so. Where, in these circumstances, the barriers have not started to descend, they should remain in the raised position.

2.53 Barriers should rise as soon as practicable after all trains for which the lower sequence has been initiated or maintained, have passed clear of the crossing.

---

## Railway signalling and control

2.54 Provide railway signals, to fully protect the crossing, on all railway approaches. Interlock these signals with the lifting barriers so that it is not possible to clear the signals unless the road is fully closed by the barriers. It should not be possible to raise the barriers unless the signals are set at Stop and are free of approach locking, or the train has passed the signal and traversed the crossing.

2.55 Where the barriers are power operated, there should be controls at the control point to raise, stop, and lower the barriers. It should not be possible to clear any protecting signals until a further control to confirm 'crossing clear' has been operated with the barriers down.

2.56 If a train passes a protecting signal at Stop, the road traffic light signals should immediately show an intermittent red light (omitting the steady amber phase), and the audible warning should start. The barriers should not be lowered as this may strike or trap crossing users.

2.57 If the crossing is operated by one of the crew of an approaching train (or other peripatetic staff), after the train has been stopped short of the crossing, interlocking between the signalling and barriers is not required. Instead, a warning board is to be provided at full service braking distance from a stop board placed at a suitable point, not normally less than 50 m, before the crossing to remind the train driver to stop short of the crossing. The control point should be placed adjacent to the crossing..

2.58 To ensure that the crossing operates safely when the railway line is open to traffic, indicators at the control point should confirm that the equipment is powered and functioning correctly.

## Barrier crossings with obstacle detection

### General description

2.59 This type of crossing is protected by road traffic light signals and lifting barriers on each side of the railway. An audible warning to pedestrians is also provided. The barriers are normally kept in the raised position, and when lowered, extend across the whole width of the carriageway on each approach. (*Obstacle detection equipment* (see Appendix A) may be appropriate to reduce risk at other types of level crossing).

2.60 The crossing normally operates automatically. The closure sequence, described below, is initiated by approaching trains. Confirmation that the crossing is clear, and that railway signals may be cleared for the passage of trains, is provided automatically following a thorough scan for any significant obstruction, by obstacle detection equipment.

2.61 Telephones for emergency public use should be provided.

2.62 Equipment provided should enable the crossing to be operated manually, for example from a remote control point using CCTV. Manual operation may be necessary when a persistent obstruction is detected, when obstacle detection equipment is not in use, and for periodic monitoring of crossing usage and suitability.

2.63 This type of crossing may be suitable at sites where road traffic flows freely, road lay-out is simple and there is no significant history of misuse. Risk assessment should, in particular, consider how the risks from blocking-back of road traffic and high or problematic pedestrian usage will be controlled.



---

## Method of operation

2.64 The sequence of events to close the crossing to road traffic, once the lowering cycle has been initiated, is:

- (a) the amber light on each of the road traffic light signals immediately shows and the audible warning begins. The amber lights show for approximately 3 seconds (up to 5 seconds to suit road conditions);
- (b) immediately the amber lights are extinguished, the intermittent red lights should show;
- (c) approximately 4 to 6 seconds later the left-hand barriers should start to descend. Once the left-hand side barriers are lowered, a scan of the crossing area is performed by the obstacle detector. If the crossing is clear, the right-hand barriers will begin to descend immediately. If an obstacle is detected, and in order that it may clear the crossing, there will be an interval before the right-hand side barriers may begin to descend. The time for each barrier to reach the lowered position should normally be 6 to 10 seconds. At skew crossings, where the crossing distance can be greater, barrier timings may need to be lengthened accordingly;
- (d) it should not be possible to lower the barriers unless at least one red light in each road traffic light signal facing approaching road traffic is working;
- (e) once the barriers have started to descend, the lowering cycle should be completed in the normal sequence even if all the red lamps in any one of the road traffic light signals facing approaching road traffic fail. The barriers may then be raised when it is safe to do so. Where, in these circumstances, the barriers have not started to descend, they should remain in the raised position;
- (f) the audible warning for pedestrians should stop when all the barriers are fully lowered;
- (g) the intermittent red lights should continue to show; and
- (h) the crossing is again scanned by the obstacle detector. A clear scan, confirming 'crossing clear', is required before railway signals can be cleared for the passage of trains.

2.65 Barriers should rise as soon as practicable after all trains for which the lower sequence has been initiated or maintained, have passed clear of the crossing.

2.66 The sequence of events to open the crossing to road traffic, once the raising cycle has been initiated or maintained is:

- (a) all the barriers begin to rise simultaneously and should normally rise in 4 to 10 seconds; and
- (b) the intermittent red lights should be extinguished as the barriers rise.

## Railway signalling and control

2.67 Provide railway signals, to fully protect the crossing, on all railway approaches. Interlock these signals with the lifting barriers so that it is not possible to clear the signals unless the road is fully closed by the barriers, nor should it be possible to raise the barriers unless the signals are set at Stop and free of approach locking, or the train has passed the signal and traversed the crossings.

2.68 It should not be possible to clear any protecting signals until 'crossing clear' is confirmed either automatically by obstacle detection equipment, or manually when that equipment is not being used.

2.69 Provide discrete function controls at the control point for use when obstacle detection equipment is not being used.

---

2.70 If a train passes a protecting signal at Stop, the road traffic light signals should immediately show an intermittent red light (omitting the steady amber phase) and the audible warning should start. The barriers should not be lowered as this may strike or trap crossing users.

2.71 To ensure that the crossing operates safely when the railway line is open to traffic, indicators at the control point should confirm that the equipment is powered and functioning correctly.

## Automatic half barrier crossings (AHBC)

### General description

2.72 This type of crossing is protected by road traffic light signals and a lifting barrier on both sides of the railway. Audible warning to pedestrians is also provided. Lifting barriers are normally kept in the raised position and pivoted on the left-hand side of the road. When lowered, the barriers only extend across the entrances to the crossing leaving the exits clear.

2.73 The crossing equipment is activated automatically by an approaching train. The lowering of the barriers is preceded by the display of road traffic light signals. The period between the initial display of the road traffic light signals and the arrival of the fastest train should be sufficiently long to enable road vehicles and pedestrians to clear the crossing.

2.74 Telephones for public use, including those who are required to phone for permission to cross, are normally provided near each road traffic light signal on the right-hand side of the road. The telephones are connected to a *supervising point* (see Appendix A), which must always be open when the railway line is open.

2.75 The supervising point should have appropriate means to stop any train approaching the crossing, and means of communicating with railway staff operating the crossing equipment locally at the crossing in an emergency or abnormal situation.

### Method of operation

2.76 Provide equipment to initiate crossing operation on each track and for each direction that trains may approach. The crossing equipment is activated automatically by a train as it approaches the crossing.

2.77 The time between the amber light on each of the road traffic light signals starting to show and the train arriving at the crossing should be at least 27 seconds. The train should pass as soon after 27 seconds as possible. At least 95% of trains should arrive within 75 seconds and 50% within 50 seconds, once the closing sequence has begun. Where the crossing length is longer than 15 m, the 27 seconds should be increased by 1 second for every additional 3 m of crossing length.

2.78 In certain circumstances at *predictor crossings* (see Appendix A) in abnormal circumstances an accelerating train could arrive at the crossing slightly sooner than 27 seconds after initiation of the amber road traffic light signal. This may be acceptable at crossings where it can be shown that the likelihood of an 'early arrival' is very low. No trains should arrive at a crossing in less than 22 seconds after initiation of the road traffic light signals. If 'early arrival' is foreseeable, for example for trains accelerating from a station, arrangements should be modified accordingly.

2.79 The sequence of events to close the crossing to road traffic is:



---

(a) the amber light on each of the road traffic light signals immediately shows and an audible warning for pedestrians begins. The lights should show for approximately 3 seconds (up to 5 seconds to suit road conditions, which will lengthen the time between amber light and train arrival);

(b) immediately the amber lights are extinguished the intermittent red lights should show; and

(c) approximately 4 to 6 seconds later the barriers should start to descend and take a further 6 to 10 seconds to reach the lowered position. At skew crossings, where the crossing distance can be increased greatly, barrier timings may need to be lengthened accordingly to enable slow-moving road users to clear the crossing.

2.80 Barriers should rise as soon as practicable after the train has passed unless another approaching train is so close that a minimum of 10 seconds *road open time* (see Appendix A) cannot be achieved. In this situation the barriers should remain lowered and the intermittent red lights should continue to flash. The audible warning should change in character after the first of the trains arrives at the crossing. The change in character should be timed so as to be detectable by pedestrians at the crossing.

2.81 Both barriers should begin to rise simultaneously. This should normally take 4 to 10 seconds to reach the raised position. The intermittent red traffic light signals should continue to show and the audible warning for pedestrians continue to sound, until the barriers begin to rise.

2.82 If both intermittent red lights in any of the road traffic light signals fail, the barrier should remain lowered. If there is a total power failure, the barriers should fall and remain lowered. If either barrier fails to reach the lowered position, neither barrier should rise until both have been fully lowered. If either barrier fails to rise from the lowered position, the intermittent red traffic light signals should continue to show.

### **Railway signalling and control**

2.83 Appropriate means are required to stop trains approaching the crossing in an emergency situation.

2.84 Should a train pass a signal at Stop located between a *strike-in point* (see Appendix A) and the crossing, the road traffic light signals should immediately show an intermittent red light, omitting the steady amber phase. The audible warning for pedestrians should begin and the barriers start to lower.

2.85 Where trains may be required to stop because railway signals or stations lie within or close to the strike-in points, the sequence of events to close the crossing to road traffic may be initiated:

(a) automatically by an approaching train where stopping times of trains at a station can be predicted reasonably accurately and the time taken for trains to arrive at the crossing are within those indicated in paragraph 77;

(b) by a means that is only effective when the presence of a train is detected, for example a train crew-operated plunger linked with the train detection system. (This may be used where stopping times of trains cannot be reasonably predicted); or

(c) automatically by an approaching train where a Stop signal is provided between the strike-in point and the crossing, and is interlocked with the signalling system using a 'stopping/non-stopping' control.

2.86 Provide arrangements for local operation of the crossing equipment, with effective means to prevent unauthorised use.

2.87 To ensure that the crossing operates safely when the railway line is open to traffic, indicators at the control point should confirm that the equipment is powered and functioning correctly.

---

## Automatic barrier crossings locally monitored (ABCL)

### General description

2.88 This type of crossing appears, to the road user, to be similar to an automatic half barrier crossing. It is protected by road traffic light signals and a single lifting barrier on both sides of the railway. Audible warning to pedestrians is also provided. Lifting barriers are normally kept in the raised position and pivoted on the left-hand side of the road. When lowered, the barriers only extend across the entrances to the crossing leaving the exits clear. The period between the initial display of the road traffic light signals and the arrival of the fastest train should be sufficiently long to enable road vehicles and pedestrians to clear the crossing.

2.89 The crossing equipment is normally initiated automatically by an approaching train. The operation of the crossing equipment and the absence of obstruction on the crossing are monitored by the driver of an approaching train.

2.90 Train drivers are required to stop their trains short of the crossing unless they have received an indication that the crossing equipment is functioning correctly and have observed that the crossing is clear.

2.91 Consider providing telephones for public use. Where provided these should be connected to a supervising point which is always open when the railway line is open. Where no telephones are provided, provide signs on each side of the crossing, giving the name of the crossing and the public telephone number of a supervising point, which is always open when the railway line is open.

2.92 Staff at a supervising point should have:

- (a) control of all train movements over the crossing;
- (b) a means to communicate with railway staff operating the crossing equipment locally at the crossing:
  - (i) in an emergency; or
  - (ii) in an abnormal situation; and
- (c) a means of communicating with the train driver approaching the crossing.

### Method of operation

2.93 The crossing equipment is activated automatically by a train as it approaches the crossing. The sequence of events to close the crossing to road traffic is:

- (a) the amber light on each of the road traffic light signals immediately shows and an audible warning for pedestrians begins. The lights should show for approximately 3 seconds (up to 5 seconds to suit road conditions);
- (b) immediately the amber lights are extinguished the intermittent red lights should show; and
- (c) approximately 4 to 6 seconds later the barriers should start to descend and take a further 6 to 10 seconds to reach the lowered position.

2.94 At least 95% of trains should arrive within 75 seconds and 50% within 50 seconds, once the sequence of events to close the crossing to road traffic has begun.

2.95 Train drivers must be able to bring their train to a stand short of the crossing from the point where they can observe the crossing to be clear and observe an indication that the crossing equipment is functioning

---

correctly. Consider whether crossings longer than 15m might require an extended sequence to ensure that the crossing is clear before the train reaches the point where the driver has to start braking.

2.96 Barriers should rise, the road light signals should cease to show, and the audible warning should stop immediately, unless another approaching train is so close that a minimum of 10 seconds road open time cannot be achieved. In this situation the barriers should remain lowered and the intermittent red lights should continue to flash. The audible warning should change in character after the first of the trains arrives at the crossing. The change in character should be timed so as to be detectable by pedestrians at the crossing.

2.97 Both barriers should begin to rise simultaneously. This should normally take 4 to 10 seconds to reach the raised position. The intermittent red traffic light signals should continue to show and the audible warning for pedestrians continue to sound, until the barriers begin to rise.

2.98 Trains normally approach the crossing at a steady speed, known as the crossing speed, so that they can be halted short of the crossing from the point at which it clearly comes into the train driver's view. Preferably, trains should not stop before passing over a crossing unless it is not practicable to arrange otherwise, for example where a crossing lies immediately beyond a station platform.

2.99 If both intermittent red lights in any of the road traffic light signals fail, the barriers should continue to operate normally. If there is a total power failure, the barriers should remain in the raised position.

2.100 If the crossing remains closed for longer than could be caused by passing trains, it should automatically reopen to road traffic. The indication to the train driver that all the crossing equipment is functioning correctly should be extinguished at least 30 seconds before the road traffic light signals cease to flash and the barriers start to rise. An automatic reset function should be provided.

2.101 In the event of a failure of the main power supply (other than a momentary loss), the indication to the train driver that all the crossing equipment is functioning correctly should not be displayed. The road traffic light signals and the barriers should continue to operate normally.

### **Railway signalling and control**

2.102 The indication that the crossing equipment is functioning correctly should only be displayed when the barriers have begun to descend, and at least one of the intermittent red lights of each road traffic light signal is lit, and the main power supply is functioning normally (other than a momentary loss).

2.103 The indication must be visible to approaching train drivers when they reach the decision point (marked by a special speed restriction board) where braking needs to commence, if it is necessary to stop short of the crossing.

2.104 Any railway signals which lie between the strike-in point and the crossing should not give information which conflicts with the indication given to the train driver that the crossing equipment is functioning correctly. On a double-track line, bi-directional control to initiate the crossing equipment is normally required.

2.105 Where trains are not required to stop before passing over the crossing, the sequence of events to close the crossing to road traffic should be initiated automatically by approaching trains.

2.106 A special speed restriction board is required at the point from which the crossing speed begins. This board may display different *crossing speeds* for different types of trains.

---

2.107 An advance warning board is required at a distance from the special speed restriction board which enables trains to slow down to the crossing speed. If the crossing speed is the same as the line speed, the advance warning board should normally be 100 m on the approach to the special speed restriction board.

2.108 Where all trains are required to stop at a station between the strike-in point and the crossing, a stop board should be located at least 50 m from the crossing and an advance warning board or fixed distant signal erected at the service braking distance from the stop board. The sequence of events to close the crossing to road traffic may be initiated either:

- (a) automatically by an approaching train, where stopping times of trains at a station can be predicted reasonably accurately and the times taken for trains to arrive at the crossing are within those indicated in paragraph 2.94; or
- (b) by a means that is only effective when the presence of a train is detected, for example a train crew-operated plunger linked with the train detection system.

2.109 Where not all trains are required to stop at a station between the strike-in point and the crossing, the sequence of events to close the crossing to road traffic may be initiated either:

- (a) automatically by an approaching train where a Stop signal is provided between the strike-in point and the crossing, and is interlocked with the signalling system using a 'stopping/non-stopping' control; or
- (b) automatically by an approaching train where stopping times of trains at a station can be predicted reasonably accurately and the times taken for trains to arrive at the crossing are within those indicated in paragraph 2.94.

2.110 Provide arrangements for local operation of the crossing equipment, with effective means to prevent unauthorised use.

## **Automatic open crossings locally monitored (AOCL)**

### **General description**

2.111 This type of crossing has no barriers but is protected by road traffic light signals and an audible warning for pedestrians. The period between the initial display of the road traffic light signals and the arrival of the fastest train should be sufficiently long to enable road vehicles and pedestrians to clear the crossing.

2.112 The crossing equipment is normally initiated automatically by an approaching train. The operation of the crossing equipment and the absence of obstruction on the crossing are monitored by the driver of an approaching train.

2.113 Train drivers are required to stop their trains short of the crossing unless they have received an indication that the crossing equipment is functioning correctly and have observed that the crossing is clear.

2.114 Provide signs on each side of the crossing, giving the name of the crossing and the public telephone number of a supervising point, which is always open when the railway line is open. Telephones for public use are not normally provided.

2.115 Staff at a supervising point should have:

- (a) control of all train movements over the crossing;

- 
- (b) a means to communicate with railway staff operating the crossing equipment locally at the crossing.
    - (i) in an emergency; or
    - (ii) in an abnormal situation; and
  - (c) a means of communicating with the train driver approaching the crossing.

### **Method of operation**

2.116 The crossing equipment is activated automatically by a train as it approaches the crossing. The sequence of events to close the crossing to road traffic is:

- (a) the amber light on each of the road traffic light signals immediately shows and an audible warning for pedestrians begins. The lights should show for approximately 3 seconds (up to 5 seconds to suit road conditions ); and
- (b) immediately the amber lights are extinguished the intermittent red lights should show.

2.117 At least 95% of trains should arrive within 75 seconds and 50% within 50 seconds, once the sequence of events to close the crossing to road traffic has begun.

2.118 Train drivers must be able to bring their train to a stand short of the crossing from the point where they can observe the crossing to be clear and observe an indication that the crossing equipment is functioning correctly. Consider whether crossings longer than 15m might require an extended sequence to ensure that the crossing is clear before the train reaches the point where the driver has to start braking.

2.119 The road traffic light signals should cease to show and the audible warning should stop immediately, unless another approaching train is so close that a minimum of 10 seconds road open time cannot be achieved. In this situation the intermittent red lights should continue to flash. The audible warning should change in character after the first of the trains arrives at the crossing. The change in character should be timed so as to be detectable by pedestrians at the crossing. Consider whether other means of warning such as flashing signs showing the words 'Another train coming' might also be required.

2.120 Trains normally approach the crossing at a steady speed, known as the crossing speed, so that they can be halted short of the crossing from the point at which it clearly comes into the train driver's view. Preferably, trains should not have to stop before passing over a crossing unless it is not practicable to arrange otherwise, for example if a crossing lies immediately beyond a station platform.

2.121 If the crossing remains closed for longer than could be caused by passing trains, it should automatically reopen to road traffic. The indication to the train driver that all the crossing equipment is functioning correctly should be extinguished at least 30 seconds before the road traffic light signals cease to flash. An automatic reset function should be provided.

2.122 In the event of a failure of the main power supply (other than a momentary loss), the indication to the train driver that all the crossing equipment is functioning correctly should not be displayed. The road traffic light signals should continue to operate normally.

### **Railway signalling and control**

2.123 The indication that the crossing equipment is functioning correctly should only be displayed when at least one of the intermittent red lights of each road traffic light signal is lit and the main power supply is functioning normally (other than a momentary loss).

---

2.124 The indication must be visible to approaching train drivers when they reach the decision point (marked by a special speed restriction board) where braking needs to commence if it is necessary to stop short of the crossing.

2.125 Any railway signals which lie between the strike-in point and the crossing should not give information which conflicts with the indication given to the train driver that all the crossing equipment is functioning correctly. On a double-track line, bi-directional control to initiate the crossing equipment is normally required.

2.126 Where trains are not required to stop before passing over the crossing, the sequence of events to close the crossing to road traffic should be initiated automatically by approaching trains. A special speed restriction board is required at the point from which the crossing speed begins. This board may display different crossing speeds for different types of trains.

2.127 An advance warning board is required at a distance from the special speed restriction board which enables trains to slow down to the crossing speed. If the crossing speed is the same as the line speed, the advance warning board should normally be 100 m on the approach to the special speed restriction board.

2.128 Where all trains are required to stop at a station between the strike-in point and the crossing, a stop board should be located at least 50 m from the crossing and an advance warning board or fixed distant signal erected at service braking distance from the stop board. The sequence of events to close the crossing to road traffic may be initiated either:

- (a) automatically by an approaching train where stopping times of trains at a station can be predicted reasonably accurately and the time taken for trains to arrive at the crossing is within those indicated in paragraph 117; or
- (b) by a means that is only effective when the presence of a train is detected, for example a train crew-operated plunger linked with the train detection system.

2.129 Where not all trains are required to stop at a station between the strike-in point and the crossing, the sequence of events to close the crossing to road traffic may be initiated either:

- (a) automatically by an approaching train where a Stop signal is provided between the strike-in point and the crossing, and is interlocked with the signalling system using a 'stopping/non-stopping' control; or
- (b) automatically by an approaching train, where stopping times of trains at a station can be predicted reasonably accurately and the time taken for trains to arrive at the crossing are within those indicated in paragraph 2.117.

2.130 Additionally, where the station is between the strike-in point and the crossing, and a Stop signal is not provided between the station and the crossing, the sequence of events to close the crossing to road traffic may be initiated automatically by an approaching train if:

- (a) the railway is a single line;
- (b) the actual daily road vehicle usage is less than about 2000;
- (c) not more than 10% of trains stop at the station; and
- (d) station stops are of short duration.



---

2.131 Provide arrangements for local operation of the crossing equipment, with effective means to prevent unauthorised use.

## Open crossings

### General description

2.132 This type of crossing does not have barriers or road traffic light signals. Only road traffic signs are provided. **Road users must give way to trains at the crossing.** Road users can see approaching trains in sufficient time for them to be able to cross the railway or stop safely. Train drivers are required to stop trains short of the crossing unless they have observed that the crossing is clear. Train drivers are also required to sound the train's horn as appropriate.

2.133 Telephones for public use are not necessary. Provide signs on each side of the crossing, giving the name of the crossing and the public telephone number of a supervising point, which is always open when the railway line is open.

### Method of operation

2.134 Trains normally approach the crossing at a steady speed, known as the crossing speed, so that trains can be halted short of the crossing from the point at which it clearly comes into the train driver's view. Preferably, trains should not have to stop before passing over a crossing unless it is not practicable to arrange otherwise.

2.135 Trains are required to stop before proceeding over the crossing where:

- (a) road users cannot see approaching trains across the viewing zones (defined in Appendix C); or
- (b) the train driver cannot see the crossing from the point at which the brake should be applied to stop short of the crossing.

2.136 Trains are not required to stop again before proceeding over the crossing where:

- (a) the train has stopped at a station platform on the approach to the crossing; or
- (b) the train has already stopped for other reasons at a point from which the train driver can see the crossing.

### Railway signalling and control

2.137 Where trains are not required to stop before passing over the crossing, a combined speed restriction and whistle board should be provided at a point from which the crossing speed begins. This board displays the crossing speed of 10 mph for all types of trains.

2.138 An advance warning board is required at the distance from the combined speed restriction and whistle board which enables trains to reduce their speed to the crossing speed. If the crossing speed is the same as the line speed, the advance warning board should normally be placed 100 m on the approach to the special speed restriction board.

2.139 Where all trains are required to stop before passing over the crossing, a stop board should be located at least 25 m from the crossing and an advance warning board or fixed distant signs erected at the service braking distance from the stop board.

---

## User worked crossings (UWCs) for vehicles

### General description

2.140 This type of crossing is normally protected by gates, or lifting barriers on both sides of the railway. The gates, normally closed across the road and hung so as to open away from the railway, are operated by the users. Barriers are normally closed across the road. Signs explaining how to use the crossing safely, including when to use any telephones, are displayed to road users on each side of the crossing.

2.141 When designing and operating any type of user worked crossing it is essential that the actual use of the crossing, the type of vehicles, equipment and activities and the frequency are properly understood. This will normally require effective dialogue with the crossing users during design and at appropriate intervals to ensure that the crossing remains suitable. Joint risk assessment with users may be appropriate.

2.142 Users should have sufficient time from first seeing an approaching train, or otherwise being made aware of the approach of a train with the aid of additional protective equipment, to cross safely. The decision point should be at least 3 m from the nearest running rail.

2.143 Additional protective equipment may not be required if the minimum warning time is available. The minimum warning period should be determined by risk assessment of crossing usage and be at least 5 seconds longer than the time required to cross. Assessments should involve the crossing users and be recorded.

2.144 In assessing the time required to cross, consider:

- (a) the type and characteristics of vehicles, equipment or animals likely to go over the crossing;
- (b) the surface of the crossing and its immediate approaches; and
- (c) the position at which a vehicle, after going over the crossing, would be clear of the railway or gate on the far side.

'Example:

Crossing distance (from decision point to decision point) 12 m

Longest/slowest vehicle likely to use the crossing 18 m at 1.5 m per second

Total distance = crossing distance + vehicle length (to ensure vehicle clear of crossing) In this case the total distance is 30 m

Crossing time at 1.5 m/s = 20 seconds

Add to this the 5 second safety margin and the minimum warning period for the crossing in this example is 25 seconds

2.145 Additional protective equipment that may be provided includes:

- (a) miniature stop lights, as described in Section 18, on both sides of the crossing, especially where:
  - (i) the minimum warning time of trains cannot be obtained and the actual daily road vehicle usage exceeds 100; or



---

(ii) the provision of a telephone is impractical because it is difficult to provide reliable information concerning the whereabouts of trains, or the information supplied would be so restrictive that it would be likely to cause the user to become unduly impatient and to cross without permission; or

(iii) use of a telephone would cause excessive workload for the crossing operator; or

(iv) the line speed exceeds 100 mph.

(b) subject to the limitations noted above, telephones, on both sides of the crossing and connected to a supervising point, which is always open when the railway line is open, where:

(i) the minimum warning time of trains cannot be obtained;

(ii) there is known regular use by animals on the hoof;

(iii) fog is prevalent.

(c) audible warnings of the trains (preferably generated at the crossing itself). Where train speeds are low and the service infrequent, whistle boards positioned not more than 400 m from the crossing may help give warning of a train's approach.

2.146 To achieve the required warning time, it may be necessary to reduce the train speed over the crossing.

2.147 Telephones are not a preferred option. Where telephones are provided, vehicle drivers must follow instructions given. In some circumstances, it may also be necessary for other types of user, for example pedestrians, to telephone before crossing. Signs should make this clear.

2.148 Where miniature stop lights are provided, clear instructions should be provided for users. If lights are defective, users should be instructed to telephone the crossing operator and a contact number should be provided if there is no crossing telephone.

## Footpath and bridleway crossings

### General description

2.149 This type of crossing is found where the railway crosses a footpath or bridleway. Footpaths and bridleways are those which:

(a) are shown on definitive maps and statements maintained under Part III of the Wildlife and Countryside Act 1981; or

(b) have come into being following public path creation agreements or public path creation orders under Part III of the Highways Act 1980; or

(c) otherwise exist as either public or private rights of way.

2.150 Users are expected to use reasonable vigilance to satisfy themselves that no trains are approaching before they start to cross the line. They should cross quickly and remain alert whilst crossing. Users should have sufficient time from first seeing, or being warned of, an approaching train to cross safely.

2.151 Footpath crossings should be protected by a stile or self-closing wicket gate on both sides of the railway. They should not have a gate on one side and a stile on the other, nor different widths or types of gates. Stiles and kissing gates may not be appropriate at crossings where the use of bicycles, pushchairs, wheelchairs, etc. is foreseeable.

---

2.152 Bridleway crossings should be protected by a self-closing wicket gate on both sides of the railway. Unless required to dismount, it should be possible for a mounted horse rider to open the gates without dismounting.

2.153 Riders may be required to dismount because of the presence of overhead live conductors. Otherwise, assume that horse riders will remain mounted while crossing. Make allowances for young or inexperienced riders to lead their mounts. Consider whether cyclists use the crossing. Where appropriate, take measures to encourage cyclists to dismount.

2.154 At bridleway crossings, the gate should be at the decision point. Where this is not practicable, there should be sufficient space to allow a person on horseback to make a decision from a place of safety.

2.155 A sign explaining how to cross safely should be displayed at the decision point on each side of the crossing. For footpath crossings this should be not less than 2 m from the nearest running rails or 3 m where the line speeds are higher than 100 mph. For bridleway crossings this should not be less than 3m from the nearest running rail.

2.156 Where this type of crossing passes over multiple tracks and space between tracks exists so that a fenced, safe waiting place can be created for users, the crossing on each side of the safe waiting place should be treated as a separate crossing. A chicane may be provided on the crossing to make the position of the safe waiting place clear. Appropriate instructions to the users must be provided at appropriate points.

2.157 The minimum width between fences guiding users to the decision point or safe waiting area should be 1 m for footpath crossings. For bridleway crossings the minimum width should be 3m. These widths may need to be increased depending on user requirements.

2.158 Care should be taken not to provide misleading displays to crossing users. Where, for instance, miniature stop lights are provided on one part of a multiple track crossing, they should be provided on all parts of the crossing.

2.159 At a user worked crossing which is subject to additional footpath or bridleway crossing rights, stiles or separate gates for use by the pedestrians or riders should be provided. Vehicular gates may be locked shut and restricted to authorised private usage.

### **Method of operation**

2.160 The warning time should be greater than the time required by users to cross between the decision points at either end of a crossing. In assessing how quickly users will cross, take account of the mobility of likely users and the type of crossing surface.

2.161 As a guide, a walking speed of 1.2 metres per second (m/s) may be used where the surface is level and close to rail level. In other cases 1 m/s may be more appropriate. Increase the calculated time to cross to take account of foreseeable circumstances such as impaired mobility of users, numbers of pushchairs and bicycles or where there is a slope or step up from the decision point.

2.162 Where the warning time is insufficient, additional protective equipment should be provided and may include:

- (a) miniature stop lights as described in Section 18;

---

(b) telephones provided on both sides of the crossing and connected to a supervising point, which is always open when the railway line is open; or

(c) audible warnings of trains (preferably generated at the crossing itself). Where train speeds are low and the service infrequent, whistle boards positioned not more than 400 m from the crossing may help give warning of a train's approach.

2.163 Where whistle boards are considered, take account of:

(a) the speed of sound (330 m/s) and the speed of the train;

(b) the possibility that train drivers will not sound the horn, especially at certain times of the day or night;

(c) the possibility that train horns may be inaudible at the crossing because of background noise; and

(d) the possible impact of train horn noise on nearby residents.

2.164 Where whistle boards are provided, they are normally required on all railway approaches. The time between first hearing a horn and arrival of a train should be the same for trains travelling in either direction.

## Foot crossings at stations

### General description

2.165 This type of crossing is found between platforms at stations and may be the only route between platforms or the only practicable route for people who cannot use steps.

2.166 Only consider this type of crossing for lightly used stations where line speed does not exceed 100 mph and no alternative arrangements are available.

### Method of operation

2.167 Where passengers are always escorted by railway staff, an established form of protection is a white light, extinguished 40 seconds before the arrival of trains. A sign reading "Caution – Cross only when light shows" is placed adjacent to the white light.

2.168 Where unescorted passengers may cross, miniature stop lights are the preferred protection method. The red light should show 40 seconds before the arrival of any train. An audible warning should be provided. Where the warning is for two or more trains approaching, the character or tone of the warning sound should change distinctively after the first train arrives at the crossing. Appropriate instructions should be provided.

## Provision for pedestrians at public vehicular crossings

2.169 Appropriate provision should be made for pedestrians, taking account of the number and frequency of pedestrians and trains, at all public vehicular level crossings.

2.170 Where the approach roads are provided with a footway on either or both sides of the road, a footway or footways of adequate width should continue over the crossing. There should be sufficient space, taking into account the volume and nature of the users, for pedestrians to pass each other without the need to use part of the carriageway reserved for road vehicles. Allowance should be made for the needs of those with pushchairs and in wheelchairs.

---

2.171 Any footway should be made up to the level of the carriageway and maintained in a good and even condition.

### Road markings

2.172 Provide longitudinal road markings along each edge of any footway, to delineate the required width and define the safe route for pedestrians walking over the crossing.

2.173 Clearly mark out a safe place for pedestrians to stand when crossings are closed to road traffic on any footways approaching an automatic or open crossing.

### Audible warnings

2.174 Provide audible warning devices at all automatic crossings and barrier crossings operated by railway staff, so that pedestrians on or approaching the crossing are given adequate warning of the closure of the crossing. Devices should be capable of volume adjustment to suit local requirements.

2.175 Where road traffic light signals are provided, the warning sound should begin when the amber lights first show. At all automatic open or half barrier crossings, the warning sound continues until the intermittent red lights are extinguished. At barrier crossings operated by railway staff, the warning sound stops when the barriers are fully lowered.

2.176 At automatic open or half barrier crossings where two trains can arrive at the crossing without providing the minimum road open time, the character of the warning sound should change distinctively after the first of the trains arrives at the crossing.

2.177 At simple, un-automated, open crossings, the audible warning may be provided by horns from approaching trains.

### Pedestrian signals

2.178 Traffic signals for pedestrians (Diagram 4006 in the 2002 Regulations) may be provided at crossings, particularly where the volume of pedestrians is high or vulnerable groups use the crossing regularly. The pedestrian traffic signal may be especially helpful at skewed automatic half barrier crossings, at full barrier crossings on one way streets and at auto-lower full barrier crossings.

2.179 Pedestrian signals should face outwards from the crossing towards approaching pedestrians. Pedestrian signals are not normally considered necessary at gated crossings operated by railway staff.

### Tactile thresholds

2.180 Provide a suitable *tactile threshold* (see Appendix A) across each footway approaching a level crossing. Tactile thresholds are not required on roads where there is no footway.

2.181 Tactile thresholds should be placed before pedestrian stop markings across the footway on approach to the crossing. The purpose of the tactile threshold is to provide blind and partially-sighted people with an indication of the direction of the footway as well as the line behind which they should wait while the crossing is closed. See the Department for Transport's guidance on use of tactile paving surfaces.

### Means to control the flow of pedestrians

2.182 Where vulnerable or large numbers of pedestrians regularly use a crossing, consider appropriate means to deter them from walking on the carriageway such as guard rails on approach. Guard rails should be provided only where the footway is sufficiently wide and does not create a bottleneck.

2.183 Where pedestrians in significantly large numbers cross from one side of the road to the other while the road is closed to allow a train to pass over the crossing, consider providing a double row of non-reflecting road studs to indicate the safe place to cross.

2.184 Where a crossing lies adjacent to a railway station and the entrance or exit to the station is via the platform ramp, pedestrians should be directed from the platform to the road and vice versa so that they are protected by the crossing after leaving or before joining the train.

### Pedestrian categories

2.185 The volume of pedestrian and train flow may be determined by the train pedestrian value (TPV) which in turn defines the pedestrian categories. The TPV is the product of the maximum number of pedestrians and the number of trains passing over the crossing within a period of 15 minutes. A detailed method of calculation can be found in Appendix D. Pedestrian categories are given in Table 2.

Table 2 Pedestrian categories	
Pedestrian category	Train pedestrian value (TPV)
<b>A</b>	<b>more than 450</b>
<b>B</b>	<b>151-450</b>
<b>C</b>	<b>150 or less</b>

### Pedestrian provisions

2.186 As with all aspects of level crossing risk, the precautions for pedestrians should be determined by risk assessment. To guide that process, Table 3 suggests precautions which may be appropriate for these pedestrian categories.

Table 3 Pedestrian provisions						
Pedestrian category	Width of footway (metres)	Road markings	Audible warnings*	Pedestrian signals	Tactile threshold*	Guard rails
<b>A</b>	<b>2 or more</b>	<b>YES</b>	<b>YES</b>	<b>YES</b>	<b>YES</b>	<b>††</b>
<b>B</b>	<b>1.8 or more</b>	<b>YES</b>	<b>YES</b>	<b>††</b>	<b>YES</b>	<b>††</b>
<b>C</b>	<b>1.5 or more ‡</b>	<b>YES</b>	<b>YES</b>	<b>††</b>	<b>††</b>	<b>††</b>

**Table 3 Pedestrian provisions**

**\* Not required at gated crossings operated by railway staff**

**† A reduced width of 1 m or lack of approach funnel is normally restricted to those crossings with a daily pedestrian usage of less than about 25**

**‡ Yes if necessary**

2.187 At any crossing where the number of pedestrians or the size of the vulnerable group is exceptionally large, automatic crossings may not be suitable and a barrier crossing operated by railway staff may have to be provided.

## **Additional measures to protect against trespass**

2.188 Cattle-cum-trespass guards and fencing protection will normally be required to discourage trespass by pedestrians and, where relevant, animals straying onto the railway.

### **Cattle-cum-trespass guards**

2.189 Guards should be provided where there is movement of animals over the crossing, or where there is a significant risk of trespass by pedestrians.

2.190 Guards should be provided at all types of crossings on third rail electrified railways, except at a gated crossing operated by railway staff, where the gates when across the railway completely fence off the road and any footway from the railway.

2.191 The guards should be adjacent to the footway at the edge of, and level with, the surface of the carriageway. They should extend the full length of the crossing between the boundary fences for a distance of at least 2.6 m in any direction from the edge of the carriageway.

2.192 The guards may consist of arris rails running parallel with the running rails or some other similarly effective system. Arris rails which are triangular in section with the vertical sides approximately 115 mm high, at approximately 150 mm pitch, and with a clear space between them not exceeding 35 mm are considered to be effective.

### **Fencing**

2.193 Provide fencing:

- (a) around barrier mechanisms unless protected in other ways; and
- (b) to ensure the effectiveness of any cattle-cum-trespass guards.

2.194 At footpath crossings and bridleway crossings, consider whether additional fencing may be required between the boundary fence and the decision point. Where the gate or stile is at the decision point rather than in the boundary fence, provide additional fencing to connect the boundary fence to the decision point..

2.195 Where the road is unfenced and the adjacent land is used for grazing, and crossing gates are not provided, provide a standard highway-type cattle-grid in the roadway.

## The crossing

### Vertical profile

2.196 The profile over any vehicular crossing should have no sudden changes of vertical curvature. The profile over an automatic half barrier or user worked crossing is critical to safety. At other types of crossing it is less critical because these crossings are either manually operated by railway staff, or locally monitored by the drivers of trains travelling at restricted speeds such that they can stop short of the crossing.

2.197 The profile over automatic half barrier or user worked crossings should not cause a vehicle, such as a low-loader or a tractor and trailer, to become grounded and obstruct the railway. The likelihood of grounding depends on the characteristics of the road surface at the crossing and any potentially low-clearance vehicles that might use the crossing.

### Measurement of safe profiles

2.198 Safe profile is determined by considering the wheelbase and ground clearance of road vehicles which might foreseeably use the crossing. The maximum permitted profile hump anywhere on the road surface, over the longest foreseeable wheelbase length, is 75mm.

2.199 At automatic half barrier (AHB) crossings, the safe profile may be defined by the vehicle category, which is in turn determined by the road and rail traffic density. It is defined in Table 4 below.

2.200 Traffic data should be established by census. Take into account the likely increase in road usage following automation of a crossing, as well as other factors, such as the proximity of heavy plant operator premises, which may necessitate a flatter profile. It is important to note that Table 4 below sets minimum requirements. Local information on actual usage may well mean that the profile at a particular crossing needs to be flatter than traffic data alone would suggest.

Table 4 Measuring safe vertical profiles					
Actual daily road vehicle usage	or	Daily traffic moment	Vehicle category	Theoretical wheelbase length	
				(metres)	(feet)
More than 2000		More than 80000	1	15.3	50
2000 or less		80000 or less	2	9.75	32
600 or less		25000 or less	3	8.5	28

2.201 Provide “risk of grounding” signs as described in Section 19 for crossings with vehicle categories 2 and 3, where the profile does not meet the category 1 standard.



---

2.202 The profile should be maintained across the full width of the carriageway and the approaches. The approaches extend for a minimum of 20 m from the nearest rail for vehicle category 2 and 3 crossings, and up to 30 m for vehicle category 1 crossings.

2.203 Road approaches to crossings should be regularly inspected by the crossing operator (as well as the traffic authority or private road owners). The profile should be checked when road defects are noted or when track alterations are undertaken. Remedial works on approach roads should be undertaken as required.

2.204 At user worked crossings, determine with the users the types of vehicle or equipment likely to go over the crossing before designing the vertical profile. Once this is determined, use the maximum wheelbase length to design the safe profile based on the same maximum permitted hump of 75 mm. Determine the gradient of the approaches to the crossing in conjunction with the vertical profile required for the type of traffic using it.

2.205 Providing telephones at a user worked crossing does not reduce the need to maintain appropriate profile conditions.

### **Crossing surface**

2.206 The surface of the carriageway over a crossing and on its immediate approaches should be properly maintained and have a skid resistance comparable to that of the road approaches. Consider a higher degree of skid resistance where road speeds are high, the visibility of a crossing is limited or the road slopes downhill towards the crossing. Appropriate measures should be discussed with the traffic authority. The surface should be free from pot-holes, running rails proud of the surface, depressed areas or major undulations. Any timbers or panels used in the surface should be firmly fixed. Flangeway gaps should be kept to a minimum, particularly at skew crossings, to reduce the risk of small or narrow wheels becoming trapped.

2.207 At vehicular crossings with gates which completely fence in the railway when closed to the road or where there is no footway adjacent to the carriageway, the ground at the edges of the carriageway over the crossing should be made up to the same level as the carriageway for at least 1 m.

2.208 At user worked crossings, a satisfactory road surface, appropriate for the type of traffic using them, and adequate approaches should be provided and maintained. Where timbers are used for the crossing surface, they should be securely fixed in position and provide a clear flangeway. Where the surface is predominantly made up of ballast, it should be contained to ensure that the surface is at, or almost at, rail level and the flangeway is maintained.

2.209 At footpath crossings and bridleway crossings, the surface provided between the decision points should be unobstructed. An appropriate level crossing surface should be provided in all but remote rural locations. There should be no movable signalling or track equipment (such as sets of points) on the surface or close by, that might create a hazard. The surface should be maintained in a good and even condition at rail level with suitable non-slip properties.

2.210 The type of surface should be in keeping with, but not necessarily the same as, the surface provided on the approaches to the crossing immediately outside the railway boundary.

2.211 Where the track ballast shoulder is high, either steps or ramps for footpath crossings and ramps for bridleway crossings should be maintained to give access to the surface. Ramps are preferable but where it



is not reasonably practicable, provide steps. On steep slopes, consider whether hand-rails may be needed in addition to steps or ramps.

2.212 Where the surface is other than ballast or stone chippings, provide a non-slip surface. Where the surface is made up to rail level and stone is used as in-fill, provide a means to retain the stone.

2.213 At bridleway crossings, make the surface up to rail level.

2.214 At footpath crossings, make the surface up to rail level, where:

- (a) the crossing is in a location where housing, factories, shops etc adjoin or are close to the railway, and the crossing provides an attractive or convenient link between them;
- (b) any of the approaches on the path are metalled; or
- (c) there is heavy regular use.

### Crossing width

2.215 At all crossings, the width of the carriageway over the crossing and on the approaches should, where practicable, be constant. It should be possible for traffic to pass safely on the approaches and the crossing itself should not form an isolated passing place.

2.216 At automatic crossings, the carriageway width over the crossing should be maintained on each approach for the distances shown in Table 5. It may be necessary to increase these distances depending on the types of vehicle using the crossing.

Table 5 Crossing width				
Actual daily road vehicle usage	or	Daily traffic moment	Distances measured from the stop line (metres)	
			AHBC and ABCL	AOCL
More than 2000		More than 80000	21	21
2000 or less		80000 or less	14	14
600 or less		25000 or less	14	7

2.217 The carriageway width over an automatic half barrier crossing should normally be at least 6.1 m. A narrower carriageway, to a minimum of 5 m, may be acceptable on less busy roads. As a guide in this instance, a less busy road may be considered to be one with a daily road vehicle usage of less than 4000.

2.218 The carriageway width over a locally-monitored automatic barrier crossing (ABCL) should not normally be less than 5 m. Existing level crossings being upgraded to ABCL may be less than 5 m in width.

---

2.219 The carriageway width over a locally-monitored automatic open crossing should not be less than 5 m where the actual daily road vehicle usage is greater than 600 or the peak hour traffic moment is greater than 120.

2.220 At user worked crossings, the road surface should be at least as wide as the distance between the gate posts. The width of the crossing should not exceed 5 m to allow the use of single-leaf gates.

2.221 At footpath crossings, the width of the surface should not be less than 1 m, and at bridleway crossings, the width of the surface should not be less than 3 m.

### **Provision of lay-bys**

2.222 Consider whether lay-bys may be required at automatic half barrier crossings so that vehicles, whose drivers are required to telephone before using the crossing, can be parked clear of the carriageway.

### **Crossing alignment**

2.223 At user worked crossings, the alignment of the crossing over the tracks should enable the time required to cross to be kept to a minimum.

2.224 Footpath crossings and bridleway crossings should, where possible, be at right angles to the railway line. Where necessary seek clarification from Rights of Way Officers when determining exact routes and opportunities for diversion. Where it is proposed to divert a public footpath or bridleway crossing, consult closely with the local Rights of Way Officer.

### **Crossing approaches**

2.225 At user worked crossings, the alignment of the immediate approaches to the crossing should be in line with the alignment of the crossing itself. Light sources from road vehicles or equipment should not be allowed to cause confusion with railway signals.

## **Gates, wicket gates and barrier equipment**

### **Gates**

2.226 The gateway should be the full width of the carriageway plus at least 450 mm clearance on each side and the clearance between gate posts should be of equal width at both sides of the railway. Means should be provided to retain the gates in both open and closed positions.

2.227 When closed, the gates should extend over the full width of the carriageway. Unless legally specified otherwise, the normal position of the gates is across the road.

2.228 Consider installing power operated gates at user worked crossings . These avoid the need for multiple crossings in order to open and close gates.

2.229 At crossings on public roads, the gates should be painted white and carry red retro-reflective targets to face outwards when the gates are across the road. Additionally, consider mounting red lamps on the gates which show towards approaching road traffic when the gates are across the road.

2.230 At gated crossings operated by railway staff, the gates should be lockable when closed across the road or railway and should be conspicuous to the drivers of approaching trains when closed across the railway.

---

## Wicket gates

2.231 Where wicket gates for pedestrians are provided, they should be on the same side of the carriageway and open away from the railway. Wicket gates for footpath crossings and gated crossings operated by railway staff should not be less than 1 m wide. Wider gates may be required in accordance with local user needs. Wicket gates for bridleway crossings should not be less than 1.5 m wide.

2.232 All wicket gates should be easy to open from either side and be self-closing. Latches are not normally provided on gates. Where it is appropriate to provide latches, however, they should be easy to operate and not prevent easy egress from the railway. Where wicket gates are provided across the footway at gated crossings operated by railway staff, they should be lockable.

## Barriers

2.233 The tops of the barriers when lowered should be at least 900 mm above the road surface at the centre of the carriageway. The clearance between the bottom edge of the lowered barrier and the road surface at the centre of the carriageway should not exceed 1000 mm unless a skirt is fitted. Barriers that are designed to fall under gravity as part of their method of operation should be inclined towards the carriageway at an angle of between 5° and 10° from the vertical.

2.234 When raised no part of the barrier below 5 m should be within 450mm of the edge of the carriageway. Where the barriers cover a footway, no part of the raised barrier less than 2 m above the footway, should be within 150 mm horizontally from the outer edge of the footway.

2.235 The barriers should be as close as convenient to the railway, but no part of the equipment should be within the standard structure gauge.

2.236 Barriers should be at least 125 mm deep at their mid-points and at least 75 mm deep at their tips. Each barrier should display on both sides red and white bands about 600 mm long to the full depth of the barrier. A strip of retro-reflective material not less than 50 mm deep should be provided along the full length of each band.

2.237 Dangerous moving parts of the barrier mechanism, excluding the boom and any skirt, should be guarded effectively.

2.238 Two electric lamps (three on barriers longer than 6 m) of adequate luminous intensity should be fitted to each barrier which, when illuminated, show a red light in each direction along the carriageway. The lamps should be evenly spaced along the barriers with one lamp within 150 mm of the barrier tip. The lamps should show except when the barriers are fully raised. It may be appropriate at some user worked crossings to omit these lamps.

2.239 At barrier crossings operated by railway staff, each road approach should be protected by barriers which, when lowered, extend across the full width of the carriageway and any footway.

2.240 At barrier crossings operated by railway staff and user worked crossings, skirts should be fitted to the barriers where there is a significant risk of pedestrians deliberately passing under the lowered barriers. Where cattle or sheep are regularly walked over the crossing, skirts should be fitted. The skirts should be of a light colour, light construction and fence in the space between the lowered barriers and the road surface. Skirts are not required at automatic crossings with half barriers.

---

2.241 At user worked crossings, the barriers may be hand-operated and counter-weighted to fall when released. Such barriers should be linked so that they can be raised or lowered together from either side of the crossing.

### **Single barriers**

2.242 Where single barriers are provided they should preferably be pivoted on the left-hand side of the road. On one-way roads or on two-way roads with central reservations where special provision can be made for pedestrians, barriers may be provided on the approach to the crossing only.

### **Half barriers**

2.243 At automatic crossings with half barriers, the barriers should be pivoted on the left-hand side of the road on each approach.

2.244 On skew crossings with half barriers where the tip of the barrier points towards the railway, the point of intersection of the line extended through the barriers and the outer edge of the road, including any footway, should not be within 1000 mm of the nearest rail.

2.245 When lowered, the half barriers should extend to between 150 mm and 450 mm of the centre of the carriageway, but not over the centre line. On carriageways between 5 m and 5.7 m wide, the barriers should extend to within 800 mm of the centre line so as to leave a clear exit of at least 3 m in width. On carriageways narrower than 5 m, shorter barriers may be necessary in order to provide off-side clearance of at least 3 m.

### **Barriers on lines electrified on the overhead system**

2.246 If the railway is electrified with overhead conductors and a barrier, if displaced, could come closer than 150 mm to the conductors, the barrier should either be made of metal or be provided with a continuous conducting strip. The metal barrier or conducting strip should be connected to earth in such a manner as to ensure that inadvertent contact with the overhead conductors causes any controlling circuit-breaker to interrupt the electric traction supply. Consider whether it may be appropriate to sheath the return conductor at any crossing.

## **Telephones and telephone signs**

2.247 Telephones are not normally necessary at barrier crossings operated by railway staff, locally-monitored automatic open crossings or open crossings. At locally-monitored automatic barrier crossings consider providing telephones for public use so that equipment malfunctions can be reported.

2.248 At barrier crossings operated by a member of the train crew, or other railway staff, signs to Diagram 785 giving the telephone number of a supervising point which is always open when the railway line is open should be displayed at each side of the crossing. The name of the crossing should also be shown immediately below each sign.

2.249 Where telephones are provided as part of the safety arrangements, calls should always be routed to a suitable staffed railway location and a definite message as to whether or not it is safe to cross given.

### **At automatic crossings with half barriers**

2.250 Telephones for public use at automatic crossings with half barriers should be suitably weatherproof or housed in cabinets and connected directly to the supervising point. A two-way calling facility should be provided.

---

2.251 The power supply to the telephones should be suitably backed up so that they remain available if the main power supply fails. Faults on individual telephones or the failure of a user to replace a handset should not prevent the correct operation of the remaining telephones.

2.252 The telephone symbol to Diagram 787 (2002 Regulations) should be displayed on or adjacent to each telephone/cabinet and on two other faces. The telephones should be clearly visible from the crossing. If the telephones are not clearly visible to a person at the location of the sign to Diagram 784.1, signs to Diagram 788 are required directing potential users to the telephones.

2.253 Clear and simple instructions, which are also legible at night, should be provided for users needing to contact the supervising point. The user should not have to dial a telephone number.

2.254 In case the telephone at the crossing is out of order, the name of the crossing, its grid reference and the public telephone number of a continuously staffed supervising point should be clearly displayed.

2.255 When calls are received in the supervising point, a distinctive warning should be sounded, accompanied by a visual indication. These calls should take priority over any other calls on the telephone system and the warning should sound even if the system is currently in use.

2.256 If the railway is not open for 24 hours a day, a means to notify users of the times between which trains do not travel over the crossing should be provided. This may be in the form of a notice which is legible at night or a recorded announcement. It is essential that information given is correct and fully up to date.

2.257 The telephone system should have a facility which records that calls have been made from the crossing during periods when the railway and supervising point are closed. When the supervising point reopens, a visual and audible indication should be given that calls from the crossing have been made during the period of closure.

#### **At user worked crossings and bridleway crossings**

2.258 Telephones, where provided, should be positioned adjacent to the gates or barriers on each side of the crossing, mounted in a suitable place, at heights appropriate to the users of the crossing. The telephones should be suitably weatherproof or housed in cabinets and connected directly to a supervising point. A two-way calling facility should be provided.

2.259 The telephone symbol to Diagram 787 should be displayed on or adjacent to the cabinet/telephone. Telephones should be seen readily from the crossing or signs to Diagram 788 provided.

2.260 Clear and simple instructions to direct users to contact the supervising point should be provided. These should also be legible at night. The telephone user should not have to dial a telephone number.

2.261 The name of the crossing and its grid reference should be displayed followed by the telephone number of a continuously staffed supervising point in case the telephone at the crossing is out of order.

2.262 Evidence shows that many users fail to use telephones. Telephones create potential for human error during communications, and may distract the signaller from other tasks. Even where telephones are fitted, maintaining adequate *sighting distances* (see Appendix A) at the crossing can still reduce risk to users and the railway.

---

## Miniature stop lights (MSL)

### General description

2.263 Miniature stop lights (previously known as miniature warning lights) consist of red and green lights. They can be used at user worked crossings, footpath crossings and bridleway crossings. In some instances it may be appropriate for the warning system to be activated by the user on arrival before using the crossing. The green light normally shows, but an approaching train automatically changes the lights to red. Signs to Diagram 107 in the 1996 Regulations (see Figure 8) instructing users to cross only when the green light shows should be provided.

2.264 MSL alone may not be suitable where livestock or large or slow moving vehicles or equipment cross the railway. Additional arrangements may need to be made as determined in the risk assessment process.

### Positioning of MSL

2.265 The MSL should be located so that they face towards an approaching user. They should be clearly visible to the crossing users when operating the gates or barriers. MSL may be mounted in the sign to Diagram 107 (1996 Regulations). At crossings not provided with a telephone, the public telephone number of a continuously staffed supervising point should be displayed, so that users may enquire about crossing safely (and report MSL failure). Use of signs to Diagram 108 should be avoided.

2.266 MSL should normally be placed on the near side of the railway, facing users approaching the crossing unless siting them at the far side is more effective at conveying the message.

### MSL equipment

2.267 The red and green lights should be sufficiently bright to be clearly seen by users at the decision point. Light emitting diodes (LED) lamps are brighter and more reliable than traditional filament lamps. Low energy solutions such as flashing or on-call displays might be appropriate in certain locations. Lamps should be fitted with hoods (to aid viewing in bright sunlight) where necessary. Care should be taken to ensure that hoods do not restrict the visibility of MSL for users, including pedestrians operating gates or barriers.

### Associated signs

2.268 Traffic signs associated with the use of MSL are shown in Figure 8 of Section 19. These signs are in addition to those required at user worked crossings, footpath crossings and bridleway crossings. These signs are in accordance with the 1996 Regulations.

2.269 At user worked crossings the signs to Diagrams 109 or 110 should be mounted with the MSL on the near side of the crossing facing approaching users.

2.270 At footpath or bridleway crossings the signs to Diagram 114 should be mounted with the MSL on the near side of the crossing facing approaching users. Where a footpath or bridleway is routed over a user worked crossing, care should be taken in the placement of signs (to Diagrams 109/110 and 114) so that instructions to drivers and instructions to pedestrians/riders are not confused.

### Railway signalling and control equipment

2.271 MSL should be operated automatically by approaching trains, in accordance with the warning period required for the particular crossing.

2.272 The minimum warning period should be determined by risk assessment of crossing usage and be at least 5 seconds longer than the time required to cross.



---

2.273 The green light should show until the red light appears. As soon as the train is clear of the crossing, the red light should be extinguished and the green light should appear unless the red light is required to show for another train.

2.274 Bi-directional controls should be provided.

2.275 Consider whether special controls might be required, for example where signals or station platforms lie between the strike-in point and the crossing.

## **Traffic signals, traffic signs and road markings**

2.276 The requirements for road signs, including carriageway markings, are contained in the 2002 Regulations. These are supported by guidance in the Traffic Signs Manual (chapters 4 and 5) and information available via the Department for Transport website. Signs for use at private crossings are described in the 1996 Regulations.

### **Road traffic light signals**

2.277 The construction and specification of road traffic light signals used at level crossings are required to comply with Diagram 3014. The reverse of the backing board should be coloured grey. Lamps to the current European standard should be used.

2.278 A primary road traffic light signal should be located on the left-hand side of the carriageway, on each road approach, as close as possible to the crossing. At crossings where there are barriers, it should be located not more than 1 m before the barrier and adjacent to the barrier machine where this is on the left-hand side.

2.279 A duplicate primary road traffic light signal should be located on the right-hand side of the carriageway on each approach. Consider providing one or more additional road traffic light signals where neither the primary nor the duplicate primary signal can be seen from a side approach. Secondary road traffic light signals, located on the far side, should not be used at crossings.

2.280 No road traffic light signal should be located on the approach side of the vehicular stop line or an extension from it. Drivers stopped at the crossing need to see the road traffic light signals.

2.281 At *acute skew crossings* (see Appendix A and figure 9(b)), the duplicate primary signal may be placed in line with the vehicular stop line to shorten the length of the crossing.

2.282 At *obtuse skew automatic crossings* (see Appendix A), the duplicate primary signal may be placed closer to the railway than normal, provided that a vehicle stopped in line with the signal is not foul of the railway structure gauge. In the risk assessment consider whether special arrangements for pedestrians may be necessary (see Section 13 and Figure 9 (a) at the end of this section).

2.283 Where the normal post mounting of a road traffic light signal is impracticable, it may be mounted over the carriageway provided that no part of the horizontal structure or the signal is less than 5.5 m above the road surface.

2.284 Where a road traffic light signal is mounted over the carriageway and the railway is electrified with overhead conductors and the structure and signal, if displaced, could come closer than 150 mm to the overhead conductors, the structure and the signal should either be made of metal or be provided with a continuous conducting strip. The metal structure and signal or the conducting strip should be connected to

earth in such a manner as to ensure that inadvertent contact with the overhead conductors causes controlling circuit-breaker(s) to interrupt the electric traction supply.

2.285 In exceptional cases, for example where the central reservation is narrow or where, at very acute skew crossings, the duplicate primary road traffic light signal would encroach on the overhang clearance above the carriageway, a special design of the restricted width signal in accordance with the relevant Department for Transport's drawing may be used. Using this restricted width signal requires special authorisation from the Department.

2.286 Where mounted at the side of the road, no part of the road traffic light signal below 5 m should be within 450mm of the edge of the carriageway. This is to minimise the likelihood of damage to the sign from passing vehicles, especially vehicles with large mirrors or overhanging loads. Where the road has a steep camber, the clearance may need to be increased to 600 mm. Offset traffic signal head mounting brackets (or cranked poles) may be needed to ensure that the horizontal clearance is maintained. The centre of the road traffic light signal lens nearest the carriageway should at least 810 mm, but not more than 1500 mm, measured horizontally from the carriageway edge.

2.287 Where the signals are above a footway, a minimum headroom from the lower edge of the signal backing board of 2100 mm should be maintained.

2.288 The distance from which it is desirable that the intermittent red lights and amber lights can be seen varies according to the speed value of the road. The speed is taken as the 85<sup>th</sup> percentile of the observed speeds of approaching vehicles. Recommended minimum visibility distances are shown in Table 6. If these minimum visibility distances cannot be achieved, consider further measures for example the provision of additional advance warning signs, countdown markers etc.

**Table 6: Recommended minimum visibility distances**

85 <sup>th</sup> percentile speed of road vehicles		Minimum visibility distance (metres)
kilometres per hour (km/h)	miles per hour (mph)	
<b>50</b>	<b>30</b>	<b>70</b>
<b>65</b>	<b>40</b>	<b>90</b>
<b>80</b>	<b>50</b>	<b>150</b>
<b>95</b>	<b>60</b>	<b>220</b>
<b>115</b>	<b>70</b>	<b>300</b>

2.289 Where a crossing is close to a road junction controlled by traffic light signals, consider linking the two sets of road traffic light signals. The results of this consideration should be documented in the risk



---

assessment. Where they are linked, seek special authorisation from the local traffic authority for the connection between them.

### **Pedestrian signals**

2.290 Pedestrian light signals used at level crossings must comply with Diagram 4006 (2006 Regulations), appropriately positioned to maximise visibility.

2.291 The red figure on the pedestrian signal should be illuminated on commencement of the crossing closure sequence and should flash while the intermittent red lights of the road traffic light signals are lit. The rate of flashing should be the same as that of the intermittent red lights in the road traffic light signal.

### **Traffic signs**

2.292 Appropriate traffic signs should be provided on each road approach. Examples of the layouts are given in Figures 2 to 7 and 9. Details of the signs for use with MSL are shown in Figure 8.

2.293 At automatic crossings with half barriers, signs to Diagram 784.1 should be appropriately positioned on approach to the crossing to suit the road speed (see Figure 4). A sign to Diagram 786 should be provided in association with 784.1 and on the nearside, facing vehicles leaving the crossing.

2.294 Where lay-bys are provided and a Traffic Regulation Order is in force limiting the parking at lay-bys to 'Large or slow vehicles only', the permitted variant to the sign to Diagram 660 should be provided and the road marked in accordance with Diagram 1028.3.

2.295 At automatic crossings and open crossings, signs to Diagram 775 reading 'Keep crossing clear' should be provided on each primary and duplicate primary road traffic light signal post to face traffic approaching the crossing. At open crossings they should be mounted on both sides of the road on or near the posts carrying the St Andrew's Cross signs (Diagram 774).

2.296 Signs to Diagram 775 may be provided at gated and barrier crossings operated by railway staff where standing traffic is a problem.

2.297 At automatic crossings on double-track lines, where two trains can arrive at the crossing without providing the minimum road open time, signs to Diagram 777 reading 'Another train coming if lights continue to show' should be provided on or near each duplicate primary road traffic light signal facing outwards from the crossing.

2.298 At locally-monitored automatic open crossings on double-track lines, where two trains can arrive at the crossing without providing the minimum road open time, signs to Diagram 776 reading 'Another train coming' should be provided on the left-hand side of the road, normally 2 m on the railway side of each primary road traffic light signal and directed towards drivers of vehicles halted at the stop lines. These signs should flash at the same rate as the road traffic light signals.

2.299 Where the width of the road is less than 4 m and the number of vehicles going over the crossing during the peak hour exceeds 120, a Priority Order should be considered and signs to Diagram 615 and 811 provided accordingly.

2.300 At automatic crossings and open crossings, where the road crosses the railway at a skew angle or there are bends on one or both approaches, bend and chevron signs and count-down markers may be required. Consider also whether additional reflecting road studs along the edges of the carriageway may be required to direct drivers along the road.

---

2.301 Wherever the form of protection at a crossing has been altered, a new educational sign to Diagram 790 reading 'New level crossing control ahead' is required to be displayed for a period of not more than 3 months (see Direction 37.1 in the 2002 Regulations).

2.302 At user worked crossings, footpath crossings and bridleway crossings, a sign explaining to the user how to cross safely for example 'Stop, Look, Listen' or 'Cross only if green light shows' or 'Stop, always telephone before crossing', should be provided facing the user at the decision point or at the telephone if provided. It is important that appropriately worded signs are provided whether or not they appear in the 1996 Regulations.

2.303 Information including the name of the crossing, location reference and contact number should also be provided at level crossings. A contact number for the railway operator should also be provided.

2.304 Signs specified in the 1996 Regulations may be placed by a crossing operator on or near a private road or path. It is an offence for a user to fail to comply with any requirement, restriction or prohibition conveyed by a crossing sign lawfully placed on or near a private road or path' (Transport and Works Act 1992 and Transport and Works (Scotland) Act 2007). A public footpath or bridleway is clearly not a 'private road or path', but, where they convey an appropriate message, signs from the 1996 Regulations are commonly used. At footpath, bridleway and private crossings, other suitable signs may be used to inform users, clearly and simply, how to use the crossing safely.

#### **Related to electrified lines**

2.305 Where the railway is electrified with overhead conductors, signs to Diagram 779 should be provided with an appropriate plate (Diagram 780A). At user worked crossings, suitable signs warning of the danger from bare electrical conductors such as 'Danger, overhead live wires' should be provided and face towards the user approaching the decision point.

2.306 Overhead conductors at level crossings should be at the greatest height practicable. Signs to Diagram 780A should show a safe height which allows for suitable safe clearance under the overhead conductors.

2.307 Where currently overhead conductors at level crossings are not at maximum practicable height, steps should be taken to remedy this situation, so far as is reasonably practicable. In the interim, signs to Diagrams 779 and 780A should be provided at the last available alternative route before the crossing.

2.308 At any crossing where, currently, overhead conductors are not at the maximum practicable height, a height gauge to Diagram 781 should be erected at the 'safe height'. Signs to Diagram 780.2A should show a safe height which allows for suitable safe clearance under the overhead conductors. At user worked crossings suitable warning signs should be displayed.

2.309 In calculating the 'safe height', allowance should be made for the effect of the vertical profile of the carriageway on a road vehicle and its load.

2.310 At crossings where the gradient of the approaches is such that vehicles with large overhangs or conveying a large overhanging load could touch or come dangerously close to the overhead line equipment, even though they are lower than the 'safe height' shown on the sign to Diagram 780A or 780.2A, an additional sign depicting the hazard, such as 'Danger, overhanging load may foul live wires' should also be provided.

---

2.311 At crossings where the railway is electrified with a conductor rail, warning notices depicting the hazard, such as 'Do not touch the live rail' should be provided.

### **Related to risk of grounding**

2.312 Where there is a risk that vehicles may become grounded on the crossing, signs to Diagram 782 should be erected on the immediate approaches. Advance warning signs to Diagram 782 with distance information to Diagram 573 should be provided at the last available alternative route before the crossing.

2.313 Where telephones are provided at the crossing, signs to Diagram 783 should be mounted beneath signs to Diagram 782 on the approaches. Where telephones are not provided at the crossing, signs to Diagram 785.1 (large) should be provided on the approaches and signs to Diagram 785.1 (small) at the crossing itself.

### **Road markings**

2.314 Road markings should be provided at level crossings in accordance with the 2002 Regulations taking into account guidance in the Traffic Signs Manual.

2.315 Road markings are not normally provided at gated crossings operated only by railway staff, unless the crossing is also signalled.

### **Transverse and associated road markings**

2.316 Transverse road markings should extend across the left-hand half of each two-way carriageway, or across the full width of a carriageway which is either one-way or has no centre line marking.

2.317 Where road traffic light signals are installed, transverse Stop lines to Diagram 1001 should be provided at right angles to the carriageway on each approach approximately 1 m before the primary road traffic light signal. At locally-monitored automatic open crossings (AOCLs) increase this to 2 m. The 300 mm size variant is recommended.

2.318 At open crossings, Give Way lines to diagram 1003 should be provided at right angles to the carriageway on each approach to the crossing, but not less than 2 m from the running edge of the nearest rail. Give Way signs to diagram 602 should also be provided. The triangular road marking to diagram 1023 should be provided in advance of the Give Way lines.

2.319 At user worked crossings on private roads, carriageway markings are not normally used. However, where a STOP sign to diagram 601.1 is provided, a transverse Stop line to diagram 1002.1 and the word STOP to diagram 1022 should also be provided unless the road surface is unsuitable. If the private road is one to which the public has access, these markings must be used, utilising a short length of road surfacing if necessary.

2.320 At automatic crossings and open crossings, a pedestrian Give Way line to diagram 1003.2 should be provided across any footway. It should also be extended across the right-hand side of a carriageway marked with a centre line, unless there are guard rails between the carriageway and the footway. Do not use it at crossings where the full width is controlled by barriers.

2.321 The pedestrian Give Way line should be at right angles to the carriageway. It should be located approximately 1 m on the approach side of any road traffic light signal, except at open crossings where it should be in line with the Give Way markings on the left-hand side of the carriageway. No part of the line should be less than 2 m from the running edge of the nearest running rail.

2.322 At obtuse skew crossings, the pedestrian Give Way line should be provided in conjunction with a pedestrian signal. The end of this pedestrian line at the edge of the carriageway should be located not less than 2 m from the nearest running rail. In these cases the pedestrian Give Way line on the approach side of the road traffic light signal may then be omitted (see Figure 9).

### Longitudinal road markings

2.323 The type of longitudinal road marking to use generally depends on the width of the carriageway.

2.324 Where the road passes over the crossing a continuous line to diagram 1012.1 should be provided along each edge of the carriageway. Line widths are detailed in table 4-5 in Chapter 5 of the Traffic Signs Manual. A 100 mm wide line should also be provided along the back edge of each footway and, if separated from the main carriageway, along the front edge. The markings should be continued as necessary on each approach to clearly define the footway.

2.325 Where the width of the carriageway over the crossing is less than 5 m, centre line markings will not normally be provided.

2.326 Where the width of the carriageway over the crossing is between 5 and 5.5 m, the centre of the carriageway between the Stop or Give Way lines should be marked with the appropriate longitudinal warning line to diagram 1004, 1004.1, 1008 or 1008.1. The warning line should extend back from each Stop line for at least the minimum number of marks indicated in table 4-3 of the Traffic Signs Manual Chapter 5, or for at least 6 m if beyond that distance the carriageway is less than 5 m wide.

2.327 Where the width of the carriageway on the immediate approaches is 5.5 m or more, the centre of the carriageway over the crossing should be marked with a double continuous white line to diagram 1013.1A. The lines should be continued along the approaches where justified by the normal visibility criteria for double white lines. At automatic half barrier crossings extend the double continuous white lines for at least 12 m back from the Stop line. Unless the double continuous line extends further back from each Stop line than the distance indicated in table 7, precede it by a double white line to diagram 1013.1D, with the continuous line nearer to drivers approaching the crossing.

2.328 The minimum length of double white lines depends on the 85<sup>th</sup> percentile speed of cars using the road, and on the general width of the carriageway, excluding any part of the crossing or approaches which may have been specially widened. Recommended overall lengths of the marking to diagram 1013.1A, or a combination of that marking and diagram 1013.1D, are shown in table 7. Where the carriageway is wider than 7.3 m the lengths in table 7 may be increased by up to 50%, but the double lines should not extend beyond the position of the sign to diagram 784.1, where this is used, unless a lay-by is provided.

**Table 7: Lengths of double white lines**

85 <sup>th</sup> percentile speed	Recommended length of double lines measured from the Stop line
Miles per hour (mph)	metres
<b>up to 30</b>	<b>up to 30</b>
<b>31 to 40</b>	<b>30 to 45</b>

**Table 7: Lengths of double white lines**

over 40	45 to 60
---------	----------

2.329 At least one deflection arrow to Diagram 1014 must be provided on each approach to the double centre carriageway markings at crossings. It is normal for two such arrows to be used on each approach. Where a driver's forward view is limited, as at a crest, a third arrow may be necessary to give adequate forewarning. Arrows should be positioned in accordance with part 5 of the Traffic Signs Manual Chapter 5, summarised in Table 8 below.

**Table 8: Location of deflection arrows**

Speed limit (mph)	Length of arrow (m)	Distance of tip of arrow from the start of the unbroken line		
		First arrow	Second arrow	Third arrow
30	4.5	13.75	43.75	79.75
40	4.5	19.75	55.75	109.75
50	6	21	66	138
60	6	30	84	165

### Road studs

2.330 Double continuous white lines must be supplemented by a single row of white road studs. The studs should be white bi-directional reflecting and laid at intervals of between 3 and 4.5m. Any stud within 2 m of a running rail should be made of plastic.

### Yellow box markings

2.331 Yellow box markings to diagram 1045 should be provided at automatic half barrier crossings where road traffic flow in any one direction exceeds the guideline figures in table 9 below. A yellow box marking might be appropriate at any type of crossing where blocking by queuing road traffic is foreseeable, regardless of the table 9 figures.

**Table 9: Yellow box markings**

Overall width of carriageway (metres)	Yellow box to be provided if vehicle numbers in any one hour in either direction exceed
5.0 to 5.9	500
6.0 to 7.4	600

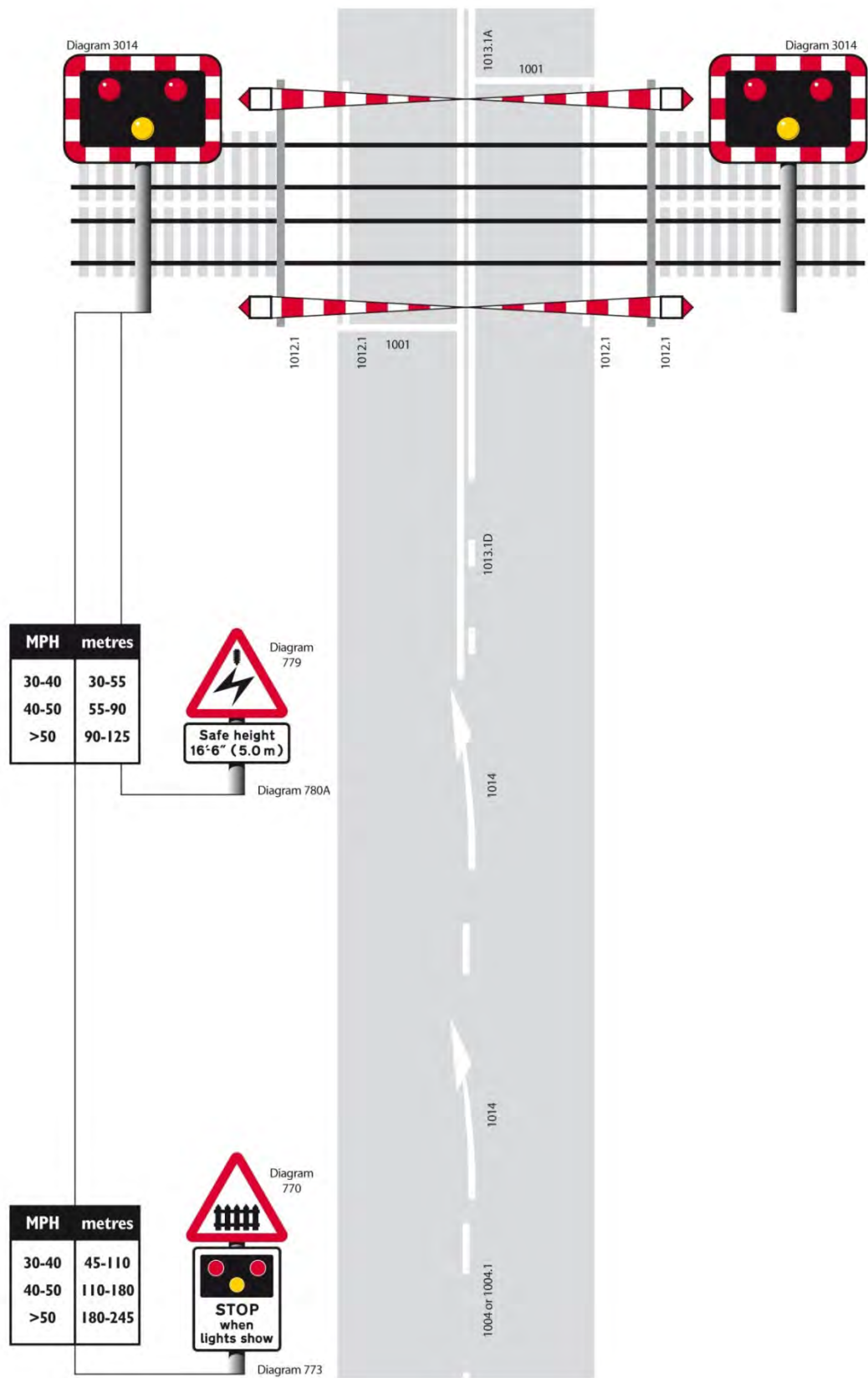
---

**Table 9: Yellow box markings**

<b>7.5 and over</b>	<b>750</b>
---------------------	------------

2.332 Where a long yellow box is required the marking should be extended using additional diamond shaped units on the approach side and additional diagonal crosses on the trailing side of the crossing. The maximum permitted length of a yellow box is 30 m.

Figure 2: Typical layout of barrier crossing (with additional risks)





© 2015 Pearson Education, Inc. or its affiliate(s). All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, recording, or by any information storage or retrieval system, without prior written permission from Pearson Education, Inc. or its affiliate(s).



© 2015 Pearson Education, Inc. or its affiliate(s). All rights reserved.





Figure 7: Typical layout of user worked crossing with adjacent footway or bridleway

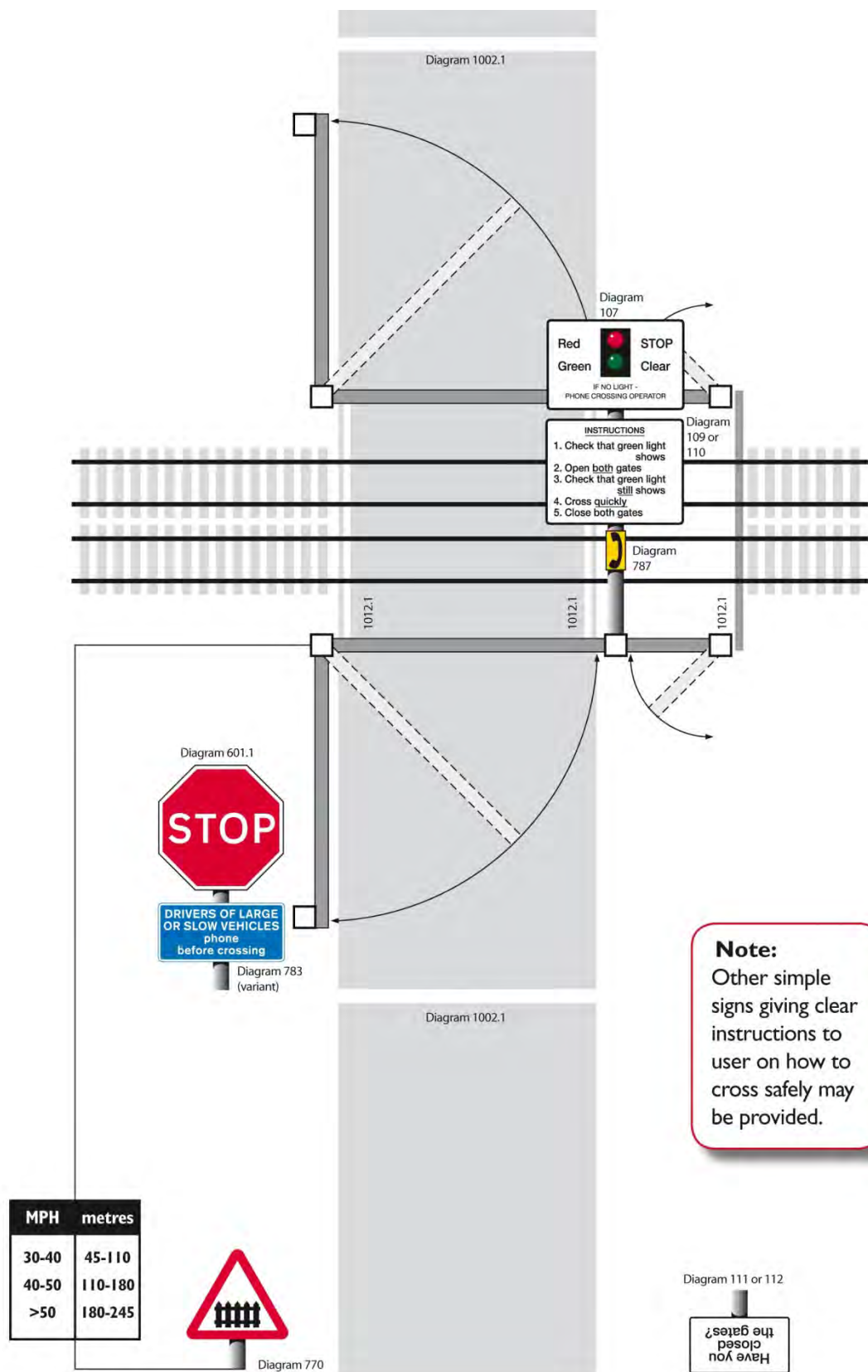


Figure 8: Special signs for use with MSL



Diagram 107

Preferred – provide telephone number if necessary

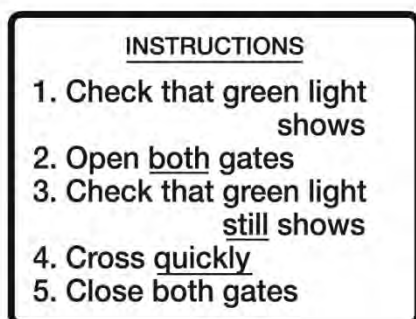


Diagram 109

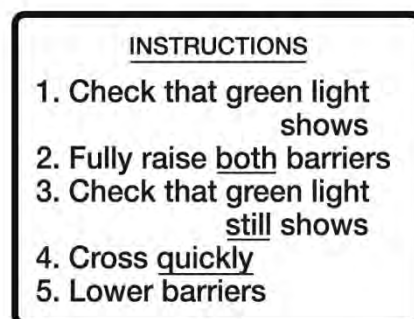


Diagram 110

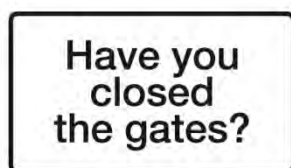


Diagram 111

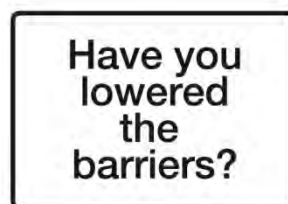


Diagram 112

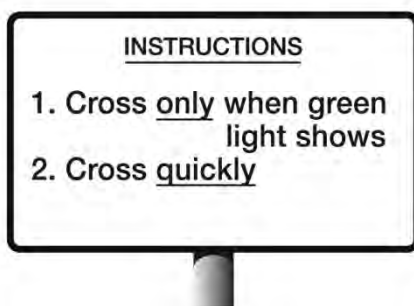
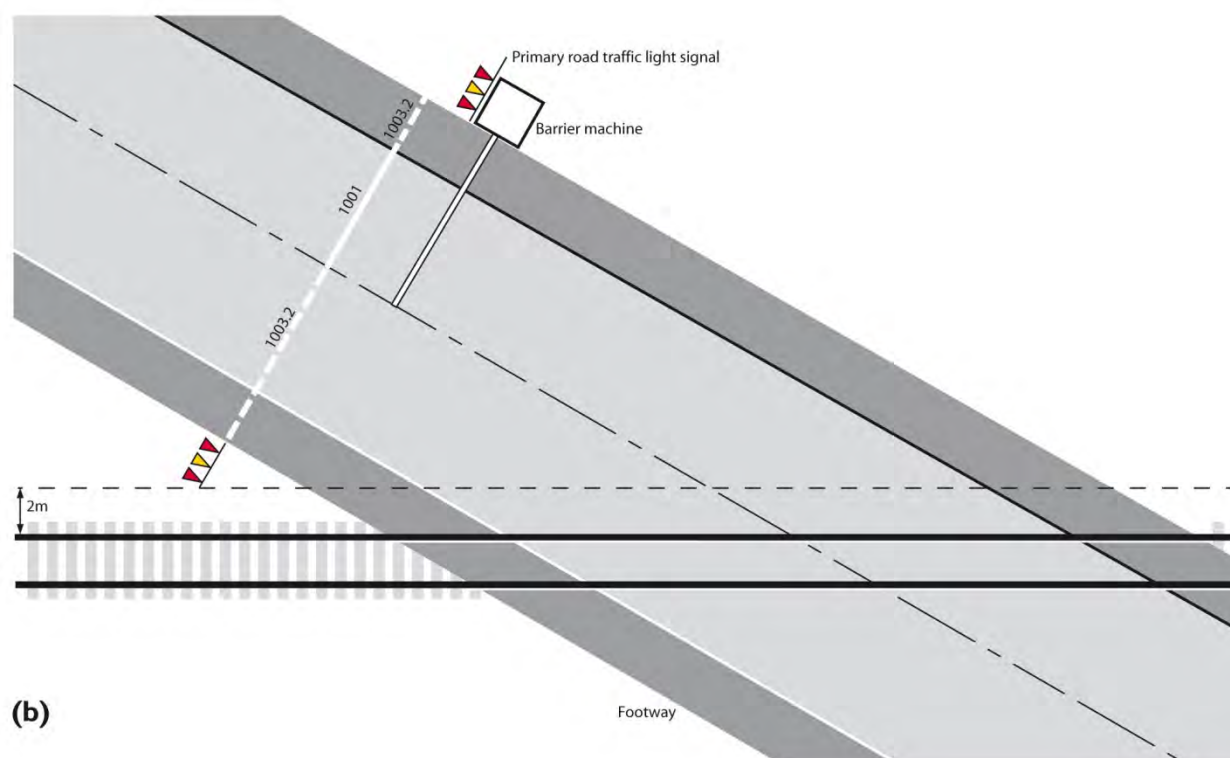
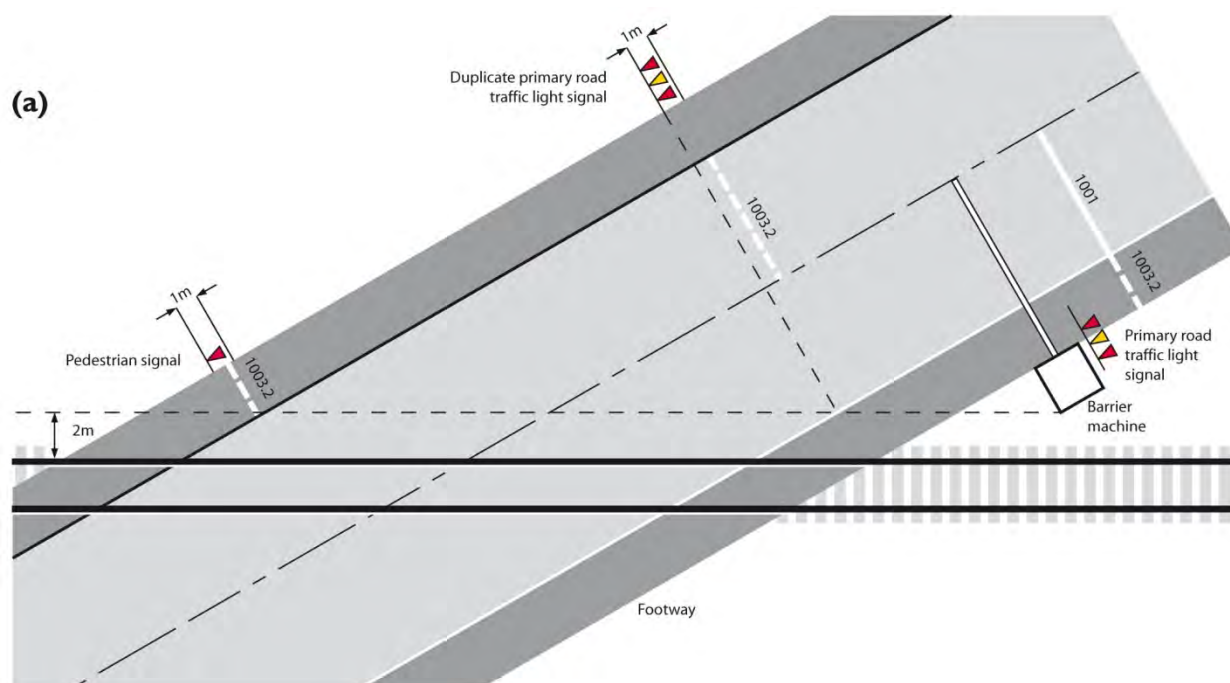


Diagram 114



**Figure 9: typical layout of an obtuse skew crossing (a) and an acute skew crossing (b) indicating the arrangement of the transverse road markings and road traffic light signals (not to scale)**



---

### Notes to Figure 9

A pedestrian stop line is to be provided across the footway whenever a pedestrian signal is provided. The pedestrian stop line shall be approximately 1 m in advance of the pedestrian signal. This pedestrian stop line shall be positioned in such a manner that the end of the line at the edge of the carriageway is not less than 2 m from the nearest running rail.

The provision of a pedestrian signal may be appropriate at skew crossings with significant pedestrian usage.

In the case of an extremely obtuse skew crossing, like the one in Figure 9, the following arrangement may be considered as an alternative:

- (a) the omission of the pedestrian stop line and the pedestrian signal on the right-hand side footway; and
- (b) the duplicate primary road traffic light signal and the pedestrian stop line across the footway and across the right-hand side of the carriageway may be positioned closer than the minimum 2 m from the nearest running rail.



---

## 3. Level crossing order submissions

### Overview and introduction

3.1 When the construction of railways was authorised, mainly in the 19<sup>th</sup> century, the individual enabling Act of Parliament specified how the railway was to cross other ways (for example roads and footpaths), either by bridge or on the level. Where the crossing was on the level, the arrangements for protecting the users, both railway and highway, were specified.

3.2 Since initial construction, use of the roads and railway has changed considerably, as has the cost of and delay caused by level crossings, and from the 1950s level crossings have been modernised to permit remote or automatic operation with lifting barriers and/or road traffic signals.

3.3 In order to permit the railway operator to change the protective arrangement specified in the original Act, a legal process was introduced which empowered the Secretary of State for Transport to make statutory orders specifying the new or updated arrangements at individual crossings to which the public has access. This process is currently authorised through provisions in the Level Crossings Act 1983.

3.4 This order making process is managed by ORR on behalf of the Secretary of State for Transport. The process is normally initiated by the operator of a level crossing, and requires consultation with the local traffic authority. An order provides for the protection of those using a level crossing and may place duties on both the crossing operator and local traffic authority. An order may make such provision as the Secretary of State considers necessary for the safety or convenience of crossing users.

3.5 This guide is intended to be an *aide-memoire* to assist railway level crossing operators in making level crossing order submissions to ORR for consideration. It also provides information for statutory consultees on the process, together with other background information. It takes account of the changes introduced in the Level Crossings Act 1983 by the Road Safety Act 2006.

### The order making process in outline

3.6 The process is normally initiated by the crossing operator proposing a new or amended order. The local traffic authority and the ORR must be consulted. A request and draft order is then submitted and there is a statutory consultation period for the local traffic authority to make representations. On behalf of the Secretary of State, ORR considers any representations, and then decides whether to make the order, with or without amendments. The order is made to come into force when the relevant work is completed.

3.7 This Chapter includes advice on managing of level crossings, what an order should contain, and on the process for requesting, considering and making an order. It also includes contact details (Appendix E) and the wording of the Level Crossings Act 1983, as amended (Appendix F).

## Background and other information on level crossing management

### Modernisation of existing level crossings

3.8 The primary objective should be to close level crossings permanently, following the closure or diversion of a highway, road or by the provision of a bridge or under-pass. As a secondary objective, it may be practicable to reduce the status of the crossing, for example from vehicular to footpath or bridleway only. Simple renewal and retention of existing crossings should be seen as a last resort. Crossing renewals

---

should not introduce new risks to the railway or users. In determining whether reasonably practicable solutions exist, other than renewing an existing crossing, the operator should take into account the whole-life costs of installing and maintaining level crossings.

### **Authorisation of level crossings**

3.9 Level crossings on public highways normally need to be authorised by statutory means to establish the rights and obligations of road and rail users. An order under the Level Crossings Act 1983 does not authorise a crossing, but does provide the means for any changed protective arrangements at that crossing to be effectively placed, recorded and enforced.

### **New level crossings**

3.10 Except in exceptional circumstances, ORR does not support the creation of any new level crossings, of any type. A new public highway level crossing in England and Wales may require a Transport and Works Act Order<sup>11</sup> or other appropriate statutory authorisation to create 'the right to cross the railway on the level'. In Scotland an order under the Transport and Works (Scotland) Act 2007 may be required. ORR is consulted on such proposals and may object during any relevant consultation exercise. Normally, any new road required to cross a railway should do so by a bridge or underpass.

3.11 Where a new level crossing is authorised under the Transport and Works Act 1992 or similar legislation, a level crossing order (obtained by the processes outlined in this document) may be needed to specify the necessary protective arrangements.

### **Temporary vehicular level crossings and temporary increased use**

3.12 Bringing into use temporary level crossings, (excepting those for sole use by employees of the relevant transport undertaking) for instance to enable construction works to take place, must comply with the Railways and Other Guided Transport Systems (Safety) Regulations 2006 as amended. This also applies in the case of temporary increased use of private level crossings.

3.13 If the crossing is one to which the public has access, and the protection arrangements need to be altered from those specified in the authorising Act (for example manual gates to remotely operated full barrier CCTV), a level crossing order is the most appropriate mechanism for sanctioning the relevant changes.

### **Change in line speeds**

3.14 Any project involving a change to line speeds over a length of route will require reassessment of risk and operational requirements at all crossings. Closure, where possible, should be pursued. Where a private user worked crossing is one to which the public has access, any significant changes may make it appropriate for all protection arrangements to be recorded in a level crossing order.

## **Level crossing orders: scope, content and format**

3.15 A level crossing order details the protective arrangements at a level crossing. A new or amended order may bring about changes to those protective arrangements. Orders can revoke earlier orders, disapply requirements under other legislation (for example the authorising Act, a Light Railway Order or an order made under the Transport and Works Act 1992) and enable road traffic signs (including signals and road markings) to be placed (and have legal effect) upon a highway or other road to which the public has

---

<sup>1</sup> See Section 1 & Schedule 1 of the Transport and Works Act 1992. Transport and Works Act Orders are dealt with by the Transport and Works Act Unit, Dept for Transport, Great Minster House, 76 Marsham Street, London, SW1P 4DR

---

access. It may place duties on both the level crossing operator and the local traffic authority, in relation to the safety or convenience of users of the crossing.

3.16 In England and Wales any level crossing on a “highway<sup>2</sup> or other road to which the public has access” may be subject to a level crossing order made under the Level Crossings Act 1983, though in many cases this will not be necessary. “Access” includes pedestrian, vehicular or on horseback, and is not restricted to a public right of way. It is a matter of fact, rather than right. Thus an order may be made for a “private” crossing if the public has access to it, even though there are no public rights of way over it or over the road up to the crossing. In Scotland the law, and in particular the definition of a ‘road’, is a little different. The effect is that in Scotland a level crossing order can only be made for a crossing if it is on a road to which the public has a *right* of access.

3.17 Level crossing orders may normally only be requested by the operator of the crossing (defined in relation to a level crossing as the person carrying on an undertaking which includes maintaining the permanent way at the crossing<sup>3</sup>). However, the Secretary of State may make an order without the request of an operator, and ORR may, by serving notice on an operator, require the operator to request an order.

3.18 The level crossing order specifies how the crossing shall be operated and the protective equipment (which includes barriers, traffic signs, signals and road markings) to be provided at the crossing by both the operator and local traffic authority.<sup>4</sup> The type of level crossing should normally conform with one of the types described in this guidance document. The level crossing order consideration process takes account of the safety and convenience of users, road and rail, and the status of the crossing. Where necessary and appropriate to particular circumstances at individual crossings, protective arrangements may be varied from the standard guidance.

3.19 Orders normally contain several parts. The order itself contains the citation, principal duties, revocation of earlier orders and other details. It records who applied for the order in its title, though this does not affect the validity of the order if the operator subsequently changes. It may also explicitly or implicitly disapply parts of earlier legislation applying to the crossing.

3.20 There are three supporting Schedules, which contain details of:

- The location of the crossing (in both road and railway terms), together with a record of the local traffic authority and, if appropriate, the status of the crossing for which the protection is provided (Schedule 1);
- What equipment the operator must provide (Schedule 2 part 1);
- How the operator must operate the crossing (Schedule 2 part 2);
- What the local traffic authority must provide (Schedule 3 part 1); and
- How the local traffic authority shall conduct its undertaking in relation to the level crossing (Schedule 3 Part 2).

---

<sup>2</sup> See definition in the Level Crossings Act 1983, inserted by the Level Crossing Regulations 1997 and the Highways Act 1980

<sup>3</sup> See section 1(11) Level Crossings Act 1983

<sup>4</sup> See the amendments made to section 1(20(a) of the Level Crossings Act 1983 by section 50(2) of the Road Safety Act 2006

---

3.21 Orders for each type of crossing are made to a standard format, for which templates are available from ORR on application. However, where particular features, requirements or equipment need to be included, any proposed additional wording should be discussed with ORR at an early stage. Templates normally contain a number of options or alternative paragraphs (dealing with yellow box markings or centre of carriageway markings, for instance).

3.22 Any change that affects, or alters, the content of a level crossing order (including variation, amendment and revocation orders) requires statutory consultation (see timescales below). There is no mechanism for exemption from statutory consultation, nor can the minimum consultation and two-month period for representations be reduced. Level crossing orders can amend or vary earlier orders, and can revoke an earlier order completely.

3.23 Amendment or variation orders can be used to amend or vary individual words or paragraphs. Variation and amendment orders that affect an earlier order must explicitly provide for the earlier order to remain in force. ORR will not normally progress a variation or amendment order, and will require the submission of a new, complete draft order:

- where there are significant changes to any existing order;
- where a change of level crossing type is proposed;
- where there are already three or more existing amendments or variations to an original order;
- where the traffic sign numbering within an existing order relates to other than the current edition of the 2002 Regulations; or
- where significant time (more than two years) has elapsed since consultation, commissioning has been delayed, or circumstances have changed significantly since the original consultation.

3.24 New orders other than variation and amendment orders should explicitly revoke all earlier orders together with any amendment or variation orders that have not previously been revoked. Where an earlier order is revoked, the correct, full citation as quoted (This order may be cited as...) in the earlier order itself must be used.

## Level crossing order request and consideration process

3.25 A “flow chart” outlining the order making process can be found at Figure 10. It is intended to be illustrative rather than prescriptive. In general, the earlier matters are discussed and resolved, the less scope there is for unforeseen timescale and resource problems to affect implementation of the proposed works.

### Initial proposals

3.26 Level crossing modernisation project teams should make ORR aware of their proposals 12-24 months or more in advance of the proposed commissioning date so as to allow time to discuss the engineering aspects and the draft level crossing order with ORR. At this stage it is important to resolve issues of principle, such as the risk assessment to inform to what extent the crossing should meet current standards, or whether renewal as a different type of crossing will be appropriate. The proposed use of any novel equipment may require special consideration and should be discussed with ORR at this stage.

3.27 Consider whether a public consultation meeting will be needed (see public meetings below). Either at this stage or as part of the initial consultation with ORR and the local traffic authority, a site visit by interested parties should normally be arranged.

---

## Consultation with local traffic authority and ORR

3.28 New consultation provisions were introduced by the Road Safety Act 2006<sup>5</sup>. Before submitting a request for an order to the Secretary of State, an operator must consult both ORR and the local traffic authority about the draft order he intends to submit to the Secretary of State, and must allow a reasonable period for them to make representations. The purpose is to permit any matters of concern to be raised and resolved in advance of the Secretary of State's formal consideration of the order. The 2006 Act also amended the Level Crossings Act 1983 to permit level crossing orders to place requirements on local traffic authorities<sup>6</sup>.

3.29 Clearly, the proposed content of an order, in particular the schedules placing duties on the local traffic authority, needs to be discussed at as early a stage as possible, and particular attention should be given to the first consultation under the new arrangements with each local traffic authority. Attention should also be given at this stage to establishing an agreed status of the crossing, particularly where private vehicular rights are involved. Ideally, all matters should be resolved at this time, and the statutory consultation process should not raise any further issues or matters of comment.

3.30 As a minimum, the crossing operator must consult with the local traffic authority in the area the crossing is situated, and ORR. There is no longer a statutory duty to consult with the planning authority, but ORR considers that it is good practice to continue to do so. The crossing operator should consider consulting on as wide a basis as is felt necessary, for instance with planning authorities, parish and community councils. In the case of crossings with private rights, consider consulting the authorised users and the owner of the private road. Consider also consulting the authorised users, if the crossing is an accommodation or occupation crossing with public footpath or bridleway rights. Where operation of the crossing involves a train operator, such as for train crew operated crossings or automatic crossings initiated by station staff, the relevant train and station operators should be consulted. Responses to this consultation should be directed to and be considered by the crossing operator.

3.31 There is no statutory guidance on the process required or how far in advance of the draft order circulation date this consultation should be carried out. However, it will need to include a written summary of the proposal, a preliminary draft of the proposed order and an outline layout, and may, where appropriate and practicable, include a site visit. A record should be kept of issues raised and the considerations and decisions arising from them.

3.32 Evidence that consultation has been carried out, how it was done, what responses were received and what action has been taken should accompany the later draft order submission.

### *Public meetings*

3.33 Although not a statutory requirement, "public" consultation meetings should also be considered and held with relevant local authorities and other relevant bodies as part of this consultation process where there are significant changes to the method of operation planned (for example conversion of manual gates to automatically controlled barriers). Such meetings within the local community, to describe the railway operator's proposals, will give advance warning of local concerns and allow time to consider any objections raised by the communities concerned.

---

<sup>5</sup> See the new sections 1(8) and 1(8A) to the Level Crossings Act 1983 introduced by section 50(7) of the Road Safety Act 2006

<sup>6</sup> See the new section 1(2)(a) to the Level Crossings Act 1983 introduced by section 50(2) of the Road Safety Act 2006

---

3.34 The organisation and cost of such meetings are the railway operator's responsibility. ORR has, in the past, chaired such meetings in an independent capacity, and is prepared to continue doing so when requested, provided sufficient advance warning is given. Minutes should be kept and distributed to the communities concerned and ORR. Such meetings should be held as early as possible (12-24 months in advance). Local representatives such as the highway and planning authorities, town, parish and community councils, police (local as well as British Transport Police), other emergency services, National Farmers Union and any other significant local users should be invited as appropriate.

3.35 The railway operator should be prepared to give a brief presentation explaining the operation of the proposed level crossing and should be able to answer technical and any other questions. ORR will be pleased to explain the legislation and order making process to those present. A record should be kept of items raised at these meetings.

3.36 Any undertakings made to local communities should be carefully considered before being given, as failure to honour undertakings can lead to such issues being raised again during the formal consideration of the order, thus possibly delaying the making of the order.

#### **Draft order submission and supporting information required**

3.37 A list of supporting documents and information required to accompany order requests is provided in Appendix G. Here you will also find guidance on making and recording the results of a 'suitable and sufficient' risk assessment. Which documents need to be provided will depend on the particular circumstances of each level crossing; the list is for guidance and is neither exhaustive nor prescriptive. Where there are deviations from established guidance or practice, these should be justified. Evidence of the legal status of the crossing should be provided, if necessary.

3.38 If you have any doubts on what information is required, please contact ORR before making your submission. The information provided should come from one single point of contact in the relevant part of the organisation.

3.39 After consulting ORR and the local traffic authority about the draft order, the crossing operator must give them written notice of his intention to make a request for an order to the Secretary of State. That notice must specify a period (of at least two months) within which ORR and the local traffic authority can make representations to the Secretary of State, and must be accompanied by a copy of the draft order that is being requested.

3.40 The consultation letter to the local traffic authority and ORR should include an end date for consultation (at least two months), and a proposed or likely commissioning date for the new arrangements. Responses or objections from consultees at this stage should be directed to the Secretary of State for Transport c/o Level Crossing Team, ORR, One Kemble Street, London, WC2B 4AN.

3.41 The crossing operator should ensure that it can demonstrate delivery of these notification documents to the consultees. Copies of such letters should accompany the request to the Secretary of State. Details of any responses to the initial consultation process, and any action taken should also be included.

#### **Draft order consideration and order making**

3.42 Correspondence to the Secretary of State for Transport and the Office of Rail Regulation should be addressed to: The Secretary of State for Transport, c/o, Level Crossing Team, ORR, One Kemble Street, London, WC2B 4AN.



---

3.43 The draft order will be considered, taking into account guidance, relevant standards and the particular circumstances at the crossing. The primary considerations are whether the proposal is adequately safe and represents an appropriate balance between safety and convenience for all crossing users, road and rail.

3.44 Where relevant issues are raised concerning matters other than the safety or convenience of users, such as rights of way over a crossing, or the convenience of road users other than those using a crossing, these will be taken into account in ORR's assessment of the draft order. However, the draft order may in these circumstances need to be referred to the Secretary of State for a decision.

3.45 It is at this stage that minor amendments to the proposed order, such as correcting dimensions in the original draft, are incorporated. More significant additions may be made, for instance where the assessment process has identified the need to better address particular risks at the crossing.

3.46 Consultation responses are also considered, and if appropriate the order may be modified to take account of these matters.

3.47 If there are public rights of way/convenience issues raised by the consultation, ORR may seek guidance from the Department for Transport. In some cases ORR is not empowered to make an order on behalf of the Secretary of State, and in such cases the draft order will be referred to the Secretary of State with a recommendation. ORR will inform the railway operator as soon as it becomes aware of any issues likely to delay the making of an order that might affect a proposed commissioning date.

### **Inspection of level crossings subject to orders**

3.48 Implementing the arrangements specified in an order remains the responsibility of the crossing operator and local traffic authority. All level crossing works are subject to inspection at ORR's discretion. Variation or amendment orders, detailing minor changes only, may not necessitate inspection.

3.49 The inspection should normally be arranged shortly after the revised arrangements have been brought into use. Consultees, including a representative of the relevant traffic authority, should be invited by the railway operator to join the inspection. Any deficiencies identified should be corrected and the action taken confirmed in writing.

3.50 Failure to implement properly the arrangements specified in an order will be considered using ORR's established enforcement decision making process. Formal enforcement, including notices and prosecution, may be used.

### **Traffic Signs Authorisations**

3.51 Traffic Signs Authorisations are required if the railway operator wishes to place a sign on a public highway that is not shown within the 2002 Regulations, or wishes to place a sign from the 1996 Regulations on a public highway (including a public footpath) or road or other highway to which the public has access. Such requests should be made to ORR along with details of the size of the sign/signal, colour, size of lettering/numerals, etc. A detailed explanation of why the sign is required and copies of any supporting correspondence from local authorities (such as Police, Traffic authority) should be provided. Two copies of a map (minimum scale 1:2500) should be supplied, one showing the position of the proposed sign(s) marked with a cross, the other unmarked. ORR will progress the request on behalf of the railway operator.

### **Timescales**

3.52 Where order requests are incomplete or inaccurate, the timescales indicated below will be extended. Where assessment of an application reveals that it is incomplete, then further assessment may be delayed



---

until the relevant information is provided. Where a request is grossly deficient ORR may recommend the Secretary of State declines to make an order, and the consultation cycle will need to be restarted from the initial consultation phase. To avoid wasted effort by operators, local authorities and ORR's inspectors, the crossing operator should liaise with ORR at an early stage to ensure all necessary information will be available when required.

#### *Consultation*

3.53 Before submitting a request for an order, the crossing operator must formally advise and consult ORR and the local traffic authority of his intention to do so (section 1(8A) of the Level Crossings Act 1983). Consulting the local planning authority is also good practice, even though there is no longer a statutory requirement to do so. The timescales are not set down, but this should be undertaken at the earliest opportunity. Sufficient time should be allowed for a public meeting if necessary and, once the consultation is started, sufficient reasonable time should be allowed for responses to be made and considered. Two months may be considered as an absolute minimum for this to be done effectively. If adequate time is not allowed, or the consultation is otherwise ineffective, it may result in comments being made and needing to be considered after the statutory consultation. If consultation is not effectively carried out, the subsequent draft order submission might be legally challenged.

#### *Circulation of draft order*

3.54 The last date for comments should be included in the letter accompanying the draft order. Note that new level crossing orders, and variations or amendments to existing orders, however minor the changes, all have to go through a statutory consultation process in full. There is no power in the Level Crossings Act to shorten or waive the minimum consideration period.

#### *Consideration of draft order together with any consultation responses*

3.55 Considering draft orders and making a recommendation for signature cannot take place until the consultation period has ended. The recommendation can be that the order is made as submitted, that an order is not made, or that an amended order is made. In practice the majority of orders made fall into the last category.

3.56 Crossing operators are therefore advised to allow a minimum of four months between circulation of the draft order and the proposed commissioning date. The level crossing is required to comply with the level crossing order at all times and, therefore, the crossing operator must ensure that the new order is dated to 'come into force' on the commissioning date.

3.57 The earlier a crossing operator makes the application for an order, the less likely there will be timescale problems. While ORR will make every attempt to meet reasonable project timescales, it cannot deal with last-minute applications unless there are exceptional circumstances. Poor planning will not be considered as an exceptional circumstance.

3.58 The required "coming into force" date should normally be confirmed to ORR. Cancellation or postponement of a planned commissioning should be advised to ORR at the earliest opportunity. Once made, an order cannot easily be revoked.

## **Information for local traffic and planning authorities**

3.59 A process for making orders in relation to level crossing protection has been in place since the late 1950s, and local authorities have, since that time, been part of that process. Even before level crossing modernisation began, local highway authorities had responsibility for traffic signs on the road approaches to level crossings, and this responsibility has not changed.

---

3.60 The modifications to the Level Crossings Act 1983 introduced by the Road Safety Act 2006 formalised good practice in consulting on changes to level crossings in advance of formal circulation of a draft order. The changes also permit the order to record and clarify the local traffic authority's responsibility for the approaches to the crossing. Where new traffic control measures are required (such as a centre-carriageway "median strip" to prevent "zig-zagging" around half barriers) the responsibility for provision and maintenance should be agreed through consultation and incorporated in the draft order. The final division of responsibilities will be made clear in the level crossing order. As a general principle, it may be considered appropriate for the party introducing any increased risk to bear the responsibility for controlling it. Where there is any failure to provide or maintain any traffic signs required by the order, ORR will consider whether formal enforcement is appropriate.

3.61 Where traffic signs on the approach to a crossing need to be changed, for example if a local traffic authority wishes to introduce one way traffic flow, proposals must be discussed and agreed with the railway operator in ample time for any necessary revision to the level crossing order to be made. This will determine when revised arrangements may be brought into force.

3.62 New orders may record the need for local traffic authorities and level crossing operators to agree a long term strategy for each crossing. Where appropriate, consideration should be given to what measures may be required, by each party, to permit the crossing to be closed in the long term.

3.63 New orders may also specifically require the local traffic authority and the crossing operator to co-operate in the joint management of risk at the crossing. This will require the local traffic authority to make the crossing operator aware of any significant temporary or permanent changes affecting the nature and characteristics of road traffic approaching the crossing. Such changes might include a revised road layout, traffic calming measures or a change in permissible road speed.

### **Planning decisions affecting level crossings**

3.64 There is a requirement in planning legislation<sup>7</sup> for planning authorities to consult the Secretary of State for Transport and /or the railway operator where development materially affects traffic over any type of level crossing. In Scotland, the requirement is for the planning authority to consult Network Rail Infrastructure Limited or any other railway undertakers likely to be affected where the development is likely to result in a material increase in the volume or material change in the character of traffic using a level crossing over a railway. ORR acts on behalf of the Secretary of State in these matters, and can offer guidance at an early stage as to what might be material in the particular circumstances of individual crossings. Any impact on safety will depend on the type of level crossing involved. Existing protection may no longer be adequate.

3.65 Planning authorities should take careful note of comments from crossing operators. Consideration should be given to opportunities for closure of the level crossing concerned in favour of bridge underpass or diversionary routes. If a planning decision necessitates a change in level crossing protection, consideration should be given to the funding of the changes and to the timescales for implementation consistent with the requirements of the level crossing order making process. Changes in level crossing protection may well incur additional costs for local traffic authorities as well as the crossing operator.

---

<sup>7</sup> Town and Country Planning (General Development Procedure) Order 1995 SI 1995 No 419; Regulation 25 and Schedule 5 of the Town and Country Planning (Development Management Procedure) (Scotland) Regulations 2008 as amended

---

## Requiring a request for a level crossing order

3.66 The Level Crossings Act 1983 section 1(6A) gives ORR, where it is of the opinion that an order is required, the power to issue a written notice to the operator of a crossing to require the operator to request a level crossing order. The notice will contain details of the reasons for the opinion, and places a statutory duty on the operator to request an order.

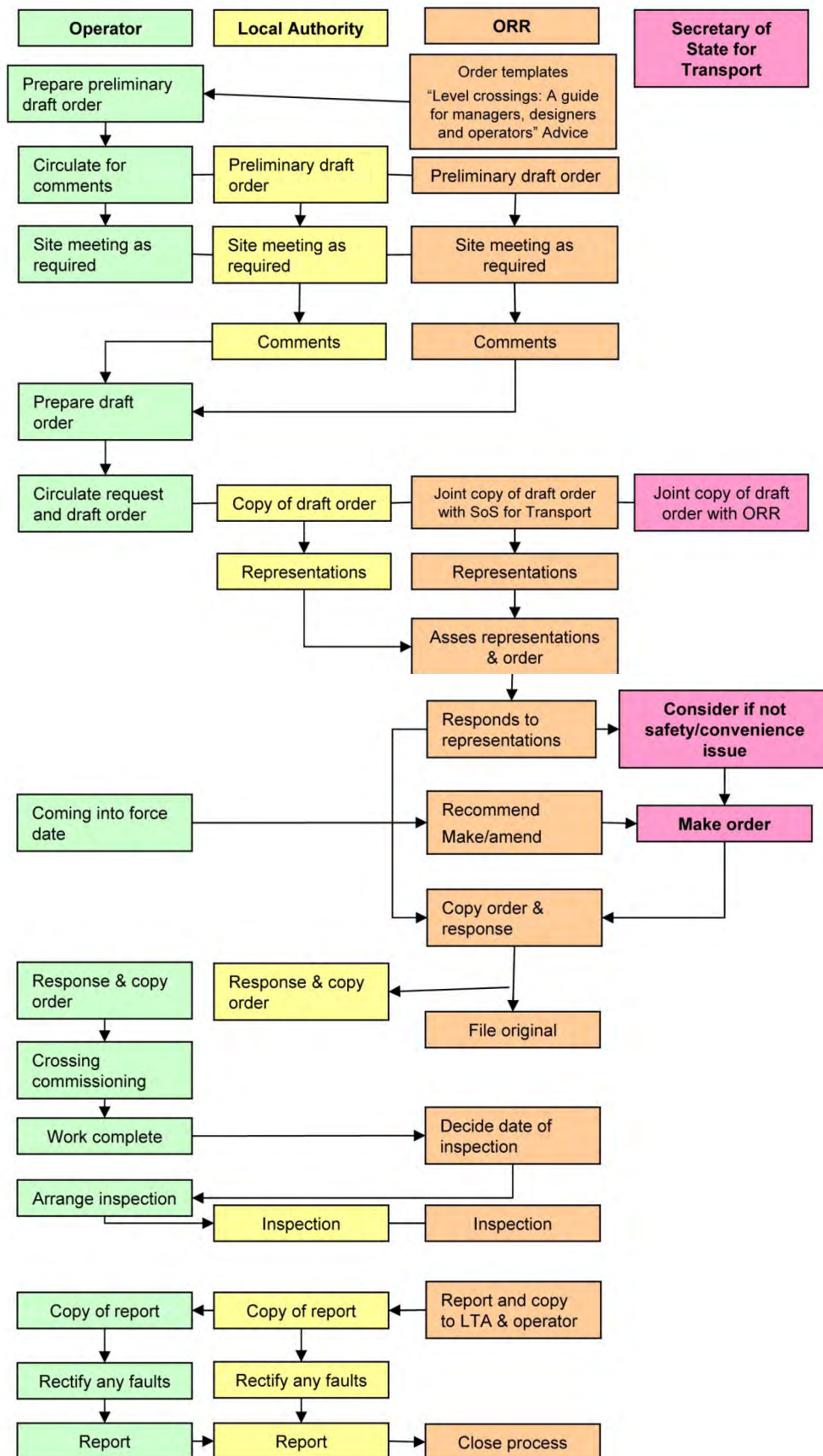
3.67 The subsequent request for an order will be considered by ORR as normal, but making the order is not delegated to ORR in these circumstances. In such cases the order is made by the Secretary of State, taking into account any recommendation from ORR.

3.68 If the operator declines to make a request for an order, the Secretary of State can be advised to make an order without a request. Alternatively, ORR may serve an improvement notice, under the Health and Safety at Work etc Act 1974, requiring an operator to request an order. Failure to comply with such a notice can lead to prosecution.

## Relevant legislation and publications

3.69 The most relevant legislation is the Level Crossings Act 1983 (as amended by the Level Crossings Regulations 1997 and the Road Safety Act 2006). Operators should also be familiar with the Health and Safety at Work etc Act 1974, the Railways and Other Guided Transport Systems (Safety) Regulations 2006 as amended and the Traffic Signs Regulations and General Directions 2002. See Appendix H.

**Figure 10: Level crossing order process**



---

# Appendix A - Common terms

Where possible the document has been written in plain English and the use of technical expressions or jargon has been avoided.

The following explains what is meant by certain terms used within the document that relate specifically to level crossings:

**‘Actual daily road vehicle usage’** means the number of road vehicles passing between 06.00 and 24.00 averaged over a 9-day period.

**‘Acute (skew) crossing’** is a crossing at which the angle measured in an anticlockwise direction from the road to the running rail is less than a right angle.

**‘Approach locking’** is a feature of the signalling interlocking. In the context of a level crossing it should prevent the crossing opening to road traffic after protecting signals have been placed to danger if there is a risk of an approaching train not having received a complete warning sequence of signals.

**‘Control point’** is a location from which the equipment at a crossing is controlled.

**‘Crossing length’** applies to any vehicular crossing. At a crossing equipped with gates or full barriers it is the distance between the gates or barriers measured across the railway. At an open crossing or one equipped with half barriers it is the distance measured from the give way or stop line to a point at which a road vehicle would be clear of the railway or crossing equipment on the far side.

**‘Crossing speed’** applies to locally-monitored crossings and open crossings. It is the maximum speed at which trains are allowed to travel from a point (indicated by the position of a special speed restriction board) on the approach to a crossing until the front of the train arrives at the crossing.

**‘Decision point’** applies to user worked crossings, footpath crossings and bridleway crossings. It is a point where guidance on crossing safely is visible and at which a decision to cross or wait can be made in safety.

**‘Left-hand side’** means the left-hand side of the road or carriageway as it would appear to a person approaching the crossing along that road or carriageway.

**‘Obstacle detection’**: An obstacle detector is a device or system for proving a level crossing is clear, as part of the closure sequence. An obstacle detector may comprise one detector or a system of obstacle detectors, for example a primary high-integrity obstacle detector to detect any obstruction capable of derailing a train, together with a lower-integrity Complementary obstacle detector to detect possibly low-lying, obstructions not capable of derailing a train.

**‘Obtuse (skew) crossing’** is a crossing at which the angle measured in an anticlockwise direction between the road and the running rail is greater than a right angle.

**‘Predictor crossing’** is a crossing at which the likely arrival time of trains is calculated automatically by the equipment at crossing. The timing of closure sequence is thus set according to the approach speed of trains

---

**‘Right-hand side’** means the right-hand side of the road or carriageway as it would appear to a person approaching the crossing along that road or carriageway.

**‘Road open time’** is the time after the road traffic light signals have ceased to show and any barriers are clear of the road, before the road traffic light show again for another train.

**‘Sighting distance’** is the distance measured along the railway from a decision point to the point at which an approaching train becomes visible in any direction from which a train may approach.

**‘Strike-in point’** is the position on the track at which the presence of a train is detected and the operating sequence of the crossing is initiated.

**‘Supervising point’** is the location from where the crossing is supervised. Most commonly this is either a local or remote signal-box but can be another location.

**‘Tactile threshold’** is an area of tactile paving slabs laid in a specific pattern for the guidance of visually-impaired pedestrians.

**‘Traffic moment’** is the number of road vehicles using the crossing multiplied by the number of trains passing in a given period.

**‘Warning time’** is the shortest possible time for trains to travel the sighting distance or, where whistle boards are provided, the shortest time between the sound being heard at the crossing and the train arriving at the crossing. In calculations of warning time the highest attainable train speed should be used.



## Appendix B - Limitation on road and rail traffic at AOCL

1 Actual daily road vehicle usage is converted to effective daily road vehicle usage using Table 10 because the relationship between the accident probability and the actual road traffic volume is not linear. Converting the actual road traffic volume to the effective figure will give the same accident probability if the probability:traffic flow relationship is a straight line. (For a detailed explanation, see the report 'Automatic open level crossings - A review of safety' by Professor P F Stott, published in 1987 by HMSO, ISBN 0 11 5508317).

2 The effective daily road vehicle usage is then multiplied by the daily number of trains to give the effective traffic moment and hence the maximum permitted crossing speed which can be derived from Table 11.

**Table 10**

Actual daily road vehicle usage	Effective daily road vehicle usage
<b>250</b>	<b>230</b>
<b>500</b>	<b>425</b>
<b>750</b>	<b>580</b>
<b>1000</b>	<b>705</b>
<b>1250</b>	<b>810</b>
<b>1500</b>	<b>890</b>
<b>1750</b>	<b>955</b>
<b>2000</b>	<b>1010</b>
<b>2500</b>	<b>1080</b>
<b>3000</b>	<b>1115</b>
<b>3500</b>	<b>1115</b>
<b>4000</b>	<b>1080</b>
<b>4500</b>	<b>1040</b>



**Table 10**

<b>5000</b>	<b>990</b>
<b>6000</b>	<b>885</b>
<b>7000</b>	<b>765</b>
<b>8000</b>	<b>650</b>
<b>9000</b>	<b>540</b>
<b>10000</b>	<b>475</b>

**Table 11**

Effective traffic moment	Maximum permitted crossing speed
	<b>miles per hour (mph)</b>
<b>4000</b>	<b>55</b>
<b>4600</b>	<b>50</b>
<b>5400</b>	<b>45</b>
<b>6500</b>	<b>40</b>
<b>8200</b>	<b>35</b>
<b>10130</b>	<b>30</b>
<b>13100</b>	<b>25</b>
<b>15000</b>	<b>less than 25</b>

# Appendix C - Definition of viewing zone at open crossings

The viewing zone (the shaded region as shown in Figure 11) is defined by lines connecting points 'X' and 'Y' given in Table 12.

**Figure 11: Definition of viewing zone at open crossings**

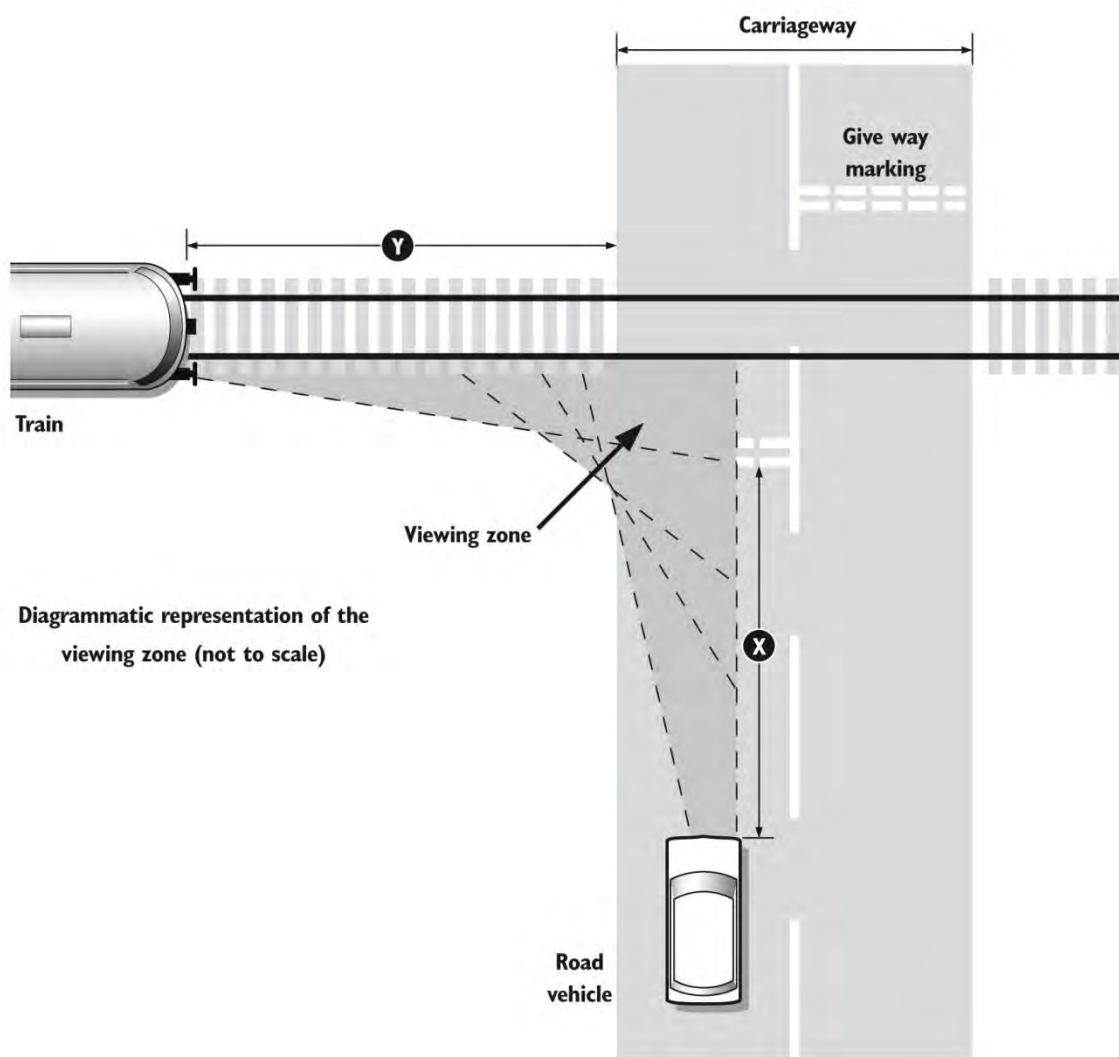


Table 12: Viewing zones			
Distances 'x' (metres)	Distances 'y' (metres) for crossing lengths of:		
	7 m	14 m	21 m
<b>2</b>	<b>140</b>	<b>170</b>	<b>200</b>
<b>10</b>	<b>40</b>	<b>45</b>	<b>55</b>
<b>20</b>	<b>25</b>	<b>30</b>	<b>35</b>
<b>40</b>	<b>20</b>	<b>25</b>	<b>30</b>

Distance 'X' is the distance of road vehicle users from the 'give way' line on the approach. Distance 'Y' is the distance of an approaching train from the crossing. A crossing which crosses the railway at right angles over a single line is normally considered to be 7 m long, but at longer crossings it should be possible to see trains earlier. Where road gradients are steep, distances 'X' should be varied accordingly. Where the 85th percentile road speed is less than 15 mph (25 km/h), the maximum value of 'X' may be 20 m.

---

# Appendix D - Train pedestrian value (TPV) calculation

- 1 TPVs are calculated by multiplying the number of pedestrians who pass over the railway by any route at the crossing within any period of 15 minutes by the number of trains passing over the crossing in the same period.
- 2 Normally a census should be taken over a nine day period, between the hours 06.00 and 24.00, particularly where high volumes or vulnerable groups of pedestrians are involved. Where the number of pedestrians is low, the actual number may be determined by an estimate. Where there are regular events which boost pedestrian usage, these should be included in the census.
- 3 Where the data are obtained from a census, only the maximum number of pedestrians in any period of 15 minutes in the day needs to be established. Where an estimate is accepted, the number of pedestrians used in calculating TPV should be deemed to be 75% of the largest hourly value to obtain an equivalent maximum figure for a period of 15 minutes to cater for the non-uniform distribution of pedestrian flow.
- 4 The number of trains should be deemed to be 25% of those passing over the crossing in a period of one hour. This hour should be either:
  - (a) the same hour used to give the estimated hourly value of numbers of pedestrians; or
  - (b) the hour which includes the 15 minutes when the pedestrian number is established by census.
- 5 The number of trains should be rounded up to the next integer and should not normally be less than one

---

## Appendix E - ORR level crossings team – Contact details

The ORR Level Crossings Team can be contacted at the Office of Rail Regulation, 3rd Floor, One Kemble Street, London, WC2B 4AN  
Telephone: 0207 282 2000.

All submissions (both consultation and requests for orders) should be made to this address rather than direct to any out-based office or inspector. Core operating times are Monday to Friday, 09:00-17:00, though some staff may be available both before and after these times via ORR switchboard telephone number 020 7282 2000.

In addition, a number of local inspectors, working in the Network Rail routes or the heritage sector, take a significant role in the assessment of schemes. These inspectors may be used as the first point of contact for day-to-day enquiries.

---

# Appendix F - Level Crossings Act 1983

Level Crossings Act 1983, as amended by the Transport and Works Act 1992, Level Crossings Regulations 1997, Railways Act 2005 and Road Safety Act 2006

1 -(1) Subject to the following provisions of this section, the Secretary of State may, in relation to any place where a railway crosses a road on a level (in this section referred to as a "level crossing"), by order provide for the protection of those using the level crossing.

(1A) Subsection (1) above applies whether or not the crossing is in use when the order is made; and if it is not in use when the order is made the order shall be made so as to come into force when it is in use.

(2) An order under this section may make such provision as the Secretary of State considers necessary or expedient for the safety or convenience of those using the crossing; and, in particular –

- (a) may require the operator of the crossing or the local traffic authority (or both) to provide at or near the crossing any protective equipment specified in the order and to maintain and operate that equipment in accordance with the order; and
- (b) may impose on the operator requirements as to the operation of the railway at or near that crossing.

(3) While an order is in force under this section in relation to a level crossing –

(a) (repealed)

(b) subject to any exceptions specified in the order, any provision made by or under any enactment as to the crossing (or level crossings including that crossing) and imposing requirements as to protective equipment at or near the crossing, the supervision of the crossing (including the provision of buildings for the purposes of supervision) or the operation of the railway at or near the crossing shall not apply in relation to the crossing.

(4) Nothing in subsection (3)(b) above affects any provision as to traffic signs made under the Road Traffic Regulation Act 1967; but a traffic sign placed on or near a road in pursuance of an order under this section shall be treated for the purposes of section 54(4) of that Act as having been placed as provided by that Act.

(4A) Nothing in subsection (3)(b) above affects any provision made by or under Part 1 of the Health and Safety at Work etc. Act 1974.

(5) An order under this section –

(a) may be varied or revoked by a subsequent order under this section; and

(b) may impose requirements as to protective equipment provided before the making of the order.

(6) The Secretary of State may make an order under this section in respect of a level crossing on being requested to do so by the operator of the crossing or without a request by the operator.

---

(6ZA) The Secretary of State may not make an order without a request by the operator unless:

- (a) he has consulted the Office of Rail Regulation and the local traffic authority about the order he proposes to make; and
- (b) having done so, he has sent to the operator, the Office of Rail Regulation, and the local traffic authority a copy of a draft order he proposes to make and a notice specifying the period (not being less than two months) within which they may make representations to him in respect of his proposal to make the order.

(6A) Where the Office of Rail Regulation gives written notice to an operator of a crossing that in its opinion a request should be made to the Secretary of State to make an order under this section in respect of that crossing and the notice states the reasons for that opinion, the operator shall be under a duty to make such a request.

(7) Where the operator of a crossing requests the Secretary of State to make an order under this section, the request shall be accompanied by a draft of the order which the operator is requesting the Secretary of State to make.

(8) Before making a request the operator—

- (a) must consult the Office of Rail Regulation and the local traffic authority about the draft order he intends to submit to the Secretary of State; and
- (b) having done so, must give written notice to the Office of Rail Regulation and the local traffic authority of his intention to make a request.

(8A) A notice given under subsection (8)–

- (a) must be accompanied by a copy of the draft order which the operator intends to submit to the Secretary of State; and
- (b) must specify the period (not being less than two months) within which the Office of Rail Regulation and the local traffic authority may make representations to the Secretary of State in respect of the request.

(9) The Secretary of State shall consider any representations made to him pursuant to subsection 6ZA or 8A above if they have been made within the period specified in the notice referred to in the subsection concerned and may then, if he decides to make the order, make it in accordance with the draft sent to persons pursuant to the subsection concerned or with such modifications as he thinks fit.

(10) This section applies where a Government department is operating a railway at a level crossing as it applies in other cases.

(10A) Any order made under section 124 of the Transport Act 1968 or section 66 of the British Transport Commission Act 1957 and in force immediately before 1st April 1997, including any requirements or conditions laid down under the order, shall have effect as if it had been made under this section.

(10B) In performing his functions under this Act the Secretary of State shall take account of any advice given to him with respect thereto by or on behalf of the Office of Rail Regulation.



---

(11) In this section –

"barrier" includes gate;

"local traffic authority", in relation to a crossing, means the authority which for the purposes of the Road Traffic Regulation Act 1984 is the local traffic authority for the road crossed by the railway at the crossing;

"operator", in relation to a crossing, means any person carrying on an undertaking which includes maintaining the permanent way;

"protective equipment" includes barriers, lights, traffic signs, manual, mechanical, automatic, electrical, telephonic or television equipment or other devices;

"road" means any highway or other road to which the public has access; and

"traffic sign" has the same meaning as in the Road Traffic Regulation Act 1984.

2.- (1) This Act may be cited as the Level Crossings Act 1983.

(2) This Act shall come into force at the end of the period of three months beginning with the day on which it was passed.

(3) This Act does not extend to Northern Ireland.

---

# Appendix G - Supporting documentation - level crossing order assessment checklist

Items on this list will normally be required, but you will need to consider the particular circumstances at each individual level crossing to determine whether all the items listed are required, or whether additional documentation may be needed to support your assessment.

## Major works at existing level crossings, including change in protection method, complete renewal or major modernisation

1) An outline project description and risk assessment, together with justification that the type of protection proposed is suitable for current or foreseeable road and rail traffic levels. As a minimum, to be suitable and sufficient, the risk assessment process will need to:

- Identify all the hazards at the crossing for each type of user. Consider all possibilities including foreseeable misuse, seasonal variations and abnormal working. Design should eliminate risk where reasonably practicable.
- Evaluate the risks posed to all users, road and rail, by the identified hazards. Consider the likelihood of an accident and the probable results. Level crossing accidents are usually serious, and have the potential to be catastrophic.
- Consider how risks might arise or change over the expected life of the crossing.
- Identify how, and to what extent, the chosen measures control risk. Taking into account the important issue of road-user convenience, all reasonably practicable steps to reduce risk should be taken. Explain how the chosen risk control measures will maintain or, preferably, improve on previous safety arrangements.
- Identify any residual risks and be able to justify why no further action is warranted.
- Be recorded and clearly reflected in the design and installation of the Crossing.

## Practical guidance on recording assessment findings

Regulation 3 of the Management of Health and Safety at Work Regulations 1999 requires the making of a 'suitable and sufficient' health and safety risk assessment for the purpose of identifying the measures that need to be taken to comply with the relevant law. The *significant findings* of the assessment should be recorded.

- 
- i. The simple purpose of all this is to help dutyholders make good decisions in compliance with the law. The record of assessment will set out the reasoning behind those decisions. A written record will also be a convenient means for showing others that a proper process has been followed. The selection of protection arrangements should be based on the findings of the risk assessment.
  - ii. In making decisions about risk reduction, regard must be given to the 'general principles of prevention' set out in schedule 1 of the above Regulations, whereby avoidance of risk is the first choice and issuing instructions is the last. A reference to the approved code of practice and guidance to the Management of Health and Safety at Work Regulations 1999 can be found in Appendix H – Publications.
  - iii. There is no single 'right way' of setting out assessment findings. There is no set style or length, though railway infrastructure managers may find it helpful to develop their own standardised formats. In most cases it should be possible to present the significant findings of assessment in a concise manner. There is much up to date information freely available on the topic of safety at level crossings. Railway infrastructure managers should be quite capable of undertaking, in-house, risk assessments and presenting their findings to a good standard. They will, of course, need to take into account the advice, and responsibilities, of other stakeholders, such as local traffic authorities.
  - iv. The record of assessment should be presented as a single, identifiable, document or bundle of information. Where necessary, and to avoid duplication, reference should be made to other documents such as ground plans, census results, published safety statistics, etc. In many cases a quantitative risk modelling process is used in support of the assessment. This is good, though care needs to be taken to ensure that the workings, sensitivities and limitations of any such process are understood by all concerned.
  - v. The record of assessment should:
    - Describe when and how the assessment was undertaken and who was involved, i.e. the users of user worked crossings;
    - Make clear what input data was used and confirm steps taken to ensure its accuracy;
    - Explain how assessment findings have been interpreted and 'sense checked' by competent persons;
    - Record the arrangements put in place to control risk, providing the reasoning for their selection or, in the case of measures not used, rejection. In determining the cost-effectiveness of new safety measures, pricing should be in line with the competitive market;
    - Give proper consideration to the needs of crossing users whether in vehicles or not and whether at public or private.

2) Ground plans showing the level crossing at a scale of 1:50 or 1:100.

3) A plan, at a suitable scale, showing the highway approaches and positions of all proposed signs and road markings and a sketch showing the position of road traffic signals and barriers.

4) For all automatic crossings, half barrier crossings (not locally monitored) and relevant vehicular user worked crossings, a scale drawing detailing the category of road profile proposed, and showing the vertical road profile across the full width of carriageway over the crossing and on all approaches along the length of

---

the carriageway for a distance of 30 m from the nearest rail. The drawing should demonstrate that the claimed profile is achieved. (Items 3, 4 and 5 can be presented on one drawing).

5) As appropriate to the submission, signalling scheme plans (or relevant parts) showing:

**a) for Automatic Half Barrier Crossings (AHBC)**

‘Strike-in points’, control tables for protecting signals if there are station controls or similar within the scheme, distance of protecting signals from the crossing and line speeds and calculations relating to the acceleration of trains, where required.

**b) for Automatic Half Barriers Locally Monitored (ABCL) and Automatic Open Crossings Locally Monitored (AOCL)**

The position of stop boards, special speed restriction boards (SSRB), advance warning boards (AWB), ‘strike-in points’, details of the calculations and standards used to position the boards and strike-in points, gradients and line speeds (please contact ORR in advance of making any AOCL or ABCL submission if other signalling alterations are proposed in the vicinity of the level crossing).

**c) for Automatic Open Crossings Locally Monitored (AOCL)**

A robust, comprehensive, risk assessed justification for the continued provision of AOCL type crossing equipment (or Manually Operated Crossings Locally Monitored) rather than any form of barrier crossing will be required in all cases. Orders for new AOCL crossings will not normally be considered.

**d) for Open Crossings (OC)**

The position of stop boards, special speed restriction boards (SSRB), advance warning boards (AWB), calculations and standards used to position the boards, gradients, line speeds and details of the viewing zone proposed.

**e) for Manually Controlled Barriers with CCTV (MCB CCTV) and for Manually Controlled Barriers (MCB)**

The position of protecting signals and control tables, the position of ‘strike-in points’, if authority for auto-lowering is sought, gradients and line speeds.

**f) for Miniature Stop Light crossings (MSL)**

‘Strike-in points’, control tables for protecting signals if there are station controls or similar within the scheme, distance of protecting signals from the crossing, line speeds and details of authorised usage of the crossing.

6) A detailed road traffic census (covering all user types) covering a minimum of a representative 9-day period between 0600-2400 hours to accompany all automatic crossing submissions, particularly AOCL, and at MCB CCTV crossings, if authority for auto-lowering is sought. Seasonal variation in traffic levels should be addressed in any supporting census analysis. Permitted and normal road traffic approach speeds should be included. Rail traffic census details should also be supplied. Recent (less than 18 months old) traffic census information should be available if requested for other submissions. (A project may be delayed

---

if this information has not been taken into account.) Anticipated barrier down-time should be considered if significant changes are proposed, for example conversion from AHB to MCB.

7) Photographs of the existing level crossing from all road and rail approaches.

8) For new MCB CCTV level crossings or where the signaller's control arrangements are changed, an ergonomics/human factors report on the proposed signaller control functions, workload and furniture layout is required.

9) A statement of the status of the crossing, for example 'private road with public bridleway and footpath' and, if a public vehicular crossing, reference to the authority under which the railway is permitted to cross the road on the level - the original railway Act.

10) A draft level crossing order (or draft variation order) and a request addressed to the Secretary of State for Transport for consideration of the draft, along with copies of the covering letters sent to the statutory consultees.

11) Confirmation of the consultation with local authorities and ORR, with details of any matters raised and resultant changes.

## **Minor works at existing level crossings**

12) A statement of compliance with standards and regulations signed by a competent person within the crossing operator's organisation.

13) An outline description and risk assessment of the proposed work.

14) A draft level crossing order (or draft variation order) and a request addressed to the Secretary of State for Transport for consideration of the draft, along with copies of the covering letters sent to the statutory consultees.

15) Confirmation of the consultation with local authorities and ORR, with details of any matters raised and resultant changes.

16) Items 3-9 above, as appropriate.

---

# Appendix H - Legislation and publications

## Legislation

The Railway Clauses Consolidation Act 1845 and the Railways Clauses Consolidation (Scotland) Act 1845

Road and Rail Traffic Act 1933

The Electricity at Work Regulations 1989 (Statutory Instrument No 1989/635)

New Roads and Street Works Act 1991

Transport and Works Act 1992

The Town and Country Planning and General Development Procedure Order 1995 (Statutory Instrument No. 1995/419)

The Private Crossings (Signs and Barriers) Regulations 1996 (Statutory Instrument No 1996/1786)

Railway Safety (Miscellaneous Provisions) Regulations 1997  
(ISBN 0-7176- 1262-7)

The Provision and Use of Work Equipment Regulations (PUWER) 1998 (Statutory Instrument No 1998/2306)

Railway Safety Regulations 1999 (ISBN 0-7176-2442-0)

The Management of Health and Safety at Work Regulations 1999 (Statutory Instrument No 1999 3242)

Level Crossings Act 1983 (as amended by the Level Crossings Regulations 1997 and the Road Safety Act 2006)

The Railways and Other Guided Transport Systems (Safety) Regulations (ROGS) 2006 (Statutory Instrument No. 2006/599) as amended by The Railways and Other Guided Transport Systems (Safety) (Amendment) Regulations 2011

The Construction (Design and Management) Regulations 2007 (Statutory Instrument No 2007/320)

The Traffic Signs Regulations and General Directions 2002 (as amended by the Traffic Signs (Amendment) Regulations and General Directions 2008 -Statutory Instrument No 2008/2177)

The Equality Act 2010

---

## Publications

A guide to the Level Crossing Regulations 1997 L97 (ISBN 0 7176 1261 9)

Approved Code of Practice: Safe use of work equipment. Provision and use of work equipment regulations 1998 L22 HSE Books 2008 ISBN: 9780717662951

The Traffic Signs Manual, Chapters 4/5, (ISBN 978 0 11 552411 0 and ISBN 0 11 5524797), found on DfT's website at: <http://www.dft.gov.uk/pgr/roads/tss/tsmanual/>

Installation of Traffic Signals and Associated Equipment (ISBN 0 11 552008 2)

Safety at Street Works and Road Works, Code of Practice  
(ISBN 0 11 551958 0)

Railway Group Standards and Network Rail Line Standards and Codes of Practice

Guidance on the use of Tactile Paving Surfaces (rev June 2007) found on DfT's website at: <http://www.dft.gov.uk/transportforyou/access/peti/guidanceontheuseoftactilepav6167>

Prevention of Trespass and Vandalism on Railways - a good practice guide  
(ISBN 0 7176 1661 4)





© Crown copyright 2012

You may re-use this information (excluding logos) free of charge in any format or medium, under the terms of the Open Government Licence. To view this licence, visit [www.nationalarchives.gov.uk/doc/open-government-licence/](http://www.nationalarchives.gov.uk/doc/open-government-licence/) or email: [psi@nationalarchives.gsi.gov.uk](mailto:psi@nationalarchives.gsi.gov.uk)

Where we have identified any third party copyright information you will need to obtain permission from the copyright holders concerned.



OFFICE OF RAIL REGULATION

## User Worked Railway Level Crossings

### Devising a safe system of work for crossing the railway

This guidance is for businesses whose employees may need to cross the railway.

It is a list of issues to consider when drawing up a safe system of work for employees who cross the railway. It is focused on a type of crossing called a **user worked crossing**. These crossings require people to operate the crossing themselves.

Issues to consider include:

**1. Does your business need to use the crossing?**

Is there an alternative route?

Is there any scope for closure, if your business is the “authorised user” for the crossing?

**2. Can you eliminate the risk from crossing in any other way?**

Can you take animals across in a vehicle rather than on foot?

Can you change the land use to avoid or minimise the need to cross?

**3. Understanding the crossing controls**

What does the railway provide at the crossing for those using it?

**4. Understanding the instructions at the crossing**

Have you applied them to your circumstances?

Have you tested your workers’ understanding of them?

**5. Additional hazards**

Such as sloping ground, overhead lines, skewed crossing, which may affect workers’ safety whilst crossing

**6. Planning for foreseeable abnormal situations**

Are you crossing with an unusual vehicle (e.g. tracked)?

Is reversing necessary?

Is there snow and ice?

**7. What to do if there is a problem with the crossing controls**

Can you and your workers recognise when there is a problem and take appropriate action?

**8. Out of hours arrangements**

Do you know what the alternative contact number is?

Have your workers got it to hand?

**9. What to do in an emergency**

Do you and your workers know how and when to contact the signaller?



OFFICE OF RAIL REGULATION

## User Worked Railway Level Crossings

### Other issues to consider in drawing up a safe system of work include:

- Pre plan your operations and discuss them with Network Rail
  - Is there a window to work in – such as planned possessions when the railway is not in use?
  - Can Network Rail provide a railway crossing attendant for the duration of your operations?
  - Could you employ your own banksman / gateman / phone operator to make your operation safer across the level crossing?
- Consider locking the gates if it is only your workers who are using the crossing.

### Briefing workers who cross the railway

#### Briefing for workers on general use: They need an

- Understanding of the crossing instructions
- Understanding of the method of work

#### Briefing for workers on specific crossings: They need to know

- When to use the phone (and/or alternatives)
- If there is a lack of pedestrian facilities – how to cross safely

#### Briefing for workers on foreseeable abnormal situations: They need to know what to do about

- Adverse Weather – fog, darkness
- Abnormal situations / loads / vehicles / reversing
- Damaged, missing or malfunctioning controls at the crossing
- Out of hours arrangements
- Emergencies

Report damaged or defective crossings to Network Rail **08457 11 41 41**

Report misuse to:

British Transport Police on **0800 40 50 40** or Crimestoppers on **0800 555 111**

## Railway Level Crossings on Farms

### Safety notice

Every year people and farm vehicles are struck by trains while operating user worked level crossings on farmland. These simple rules will help to prevent deaths and injuries:



**Follow the instructions** given on the signs at the crossing every time you cross.



Always open the gates on **both sides** of the railway before taking a vehicle over the crossing. Make sure your exit from the crossing is **clear**.



Always **close** the gates on both sides of the railway **immediately** after use. This will prevent the next user driving straight across the railway without stopping.



If you employ staff or contractors or invite visitors, make sure that you have told them **how** to use the crossing safely.



If you get stuck on the crossing, get yourself and passengers out of the vehicle and clear of the crossing **immediately**. Inform Network Rail (or relevant railway operator) of the circumstances as quickly as possible.



**Never** attempt to cross if you can see or hear a train approaching and never stop your vehicle on or close to the railway lines.



**Train speeds are deceptive**. Don't be fooled into thinking that you can 'beat' an approaching train. The train will not normally be able to stop.



**Abnormal loads**, including vehicles that are unusually slow moving, require special arrangements to be made. You should contact Network Rail (or relevant railway operator) who will make arrangements to allow the vehicle to cross the line safely.

Report damaged or defective crossings to Network Rail **08457 11 41 41**

Report misuse to:

British Transport Police on **0800 40 50 40** or Crimestoppers on **0800 555 111**